# DETERMINING A MEROMORPHIC FUNCTION BY ITS PREIMAGES OF FINITE SETS

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**Abstract.** We give some sufficient conditions for the zero set of a polynomial to be a unique range set for meromorphic functions, in cases of ignoring multiplicity and with *m*-truncated multiplicity. As consequences, we obtained some previous results of Yi ([23]).

# 1. Introduction. Main results

In this paper, by a meromorphic function we mean a meromorphic function on the complex plane  $\mathbb{C}$ .

Let f be a non-constant meromorphic function on  $\mathbb{C}$ . For every  $a \in \mathbb{C}$ , we define the function  $\nu_f^a : \mathbb{C} \to \mathbb{N}$  by

$$\nu_f^a(z) = \begin{cases} 0 & \text{if } f(z) \neq a \\ d & \text{if } f(z) = a \text{ with multiplicity } d, \end{cases}$$

and set  $\nu_f^{\infty} = \nu_{\frac{1}{f}}^0$ . Define the function  $\overline{\nu}_f^a$ :  $\mathbb{C} \to \mathbb{N}$  by  $\overline{\nu}_f^a(z) = \min \{\nu_f^a(z), 1\}$ and set  $\overline{\nu}_f^{\infty} = \overline{\nu}_{\frac{1}{f}}^0$ .

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Let *m* be a positive integer. For every  $a \in \mathbb{C} \cup \{\infty\}$ , we define the function  $\nu_{f,m}^a$  from  $\mathbb{C} \cup \{\infty\}$  into  $\mathbb{N}$  by

$$\nu^a_{f,m)}(z) = \begin{cases} 0 & \text{if } \nu^a_f(z) > m \\ \nu^a_f(z) & \text{if } \nu^a_f(z) \leq m \end{cases}$$

and set  $\nu_{f,m)}^{\infty} = \nu_{\frac{1}{f},m)}^{0}$ , and define the function  $\overline{\nu}_{f,m)}^{a}$  from  $\mathbb{C} \cup \{\infty\}$  into  $\mathbb{N}$  by  $\overline{\nu}_{f,m)}^{a}(z) = \min \ \{\overline{\nu}_{f,m)}^{a}(z), 1\}$ , and set  $\overline{\nu}_{f,m)}^{\infty} = \overline{\nu}_{\frac{1}{f},m)}^{0}$ .

We denote by  $\mathcal{M}(\mathbb{C})$  the field of meromorphic functions in  $\mathbb{C}$ . For  $f \in \mathcal{M}(\mathbb{C})$ and  $S \subset \mathbb{C} \cup \{\infty\}, S \neq \emptyset$ , we define the preimage of S counting multiplicity by

$$E_f(S) = \bigcup_{a \in S} \{ (z, \nu_f^a(z)) : z \in \mathbb{C} \},\$$

and the preimage of S ignoring multiplicity by

$$\overline{E}_f(S) = \bigcup_{a \in S} \{ (z, \overline{\nu}_f^a(z)) : z \in \mathbb{C} \}.$$

Furthermore, we define the preimage of S counting multiplicity with m-truncated multiplicity by

$$E_{f,m}(S) = \bigcup_{a \in S} \{ (z, \nu_{f,m}^{a})(z) \} : z \in \mathbb{C} \},$$

and by a similar manner,

$$\overline{E}_{f,m}(S) = \bigcup_{a \in S} \{ (z, \overline{\nu}^a_{f,m}(z)) : z \in \mathbb{C} \}.$$

Note that  $E_{f,1)}(S) = \overline{E}_{f,1)}(S)$  and  $E_{f,1)}(S) \subset \overline{E}_f(S)$ .

Let  $\mathcal{F}$  be a nonempty subset of  $\mathcal{M}(\mathbb{C})$  and let a set  $S \subset \mathbb{C} \cup \{\infty\}$ . Two functions f, g of  $\mathcal{F}$  are said to share S, counting multiplicity (share  $S \operatorname{CM}$ ) if  $E_f(S) = E_g(S)$ , to share S, ignoring multiplicity (share  $S \operatorname{IM}$ ) if  $\overline{E}_f(S) = \overline{E}_g(S)$ , and to share S, counting multiplicity with m-truncated multiplicity (share  $S_m$ ) CM) if  $E_{f,m}(S) = E_{g,m}(S)$ , and to share S, ignoring multiplicity with mtruncated multiplicity (share  $S_m$ ) IM) if  $\overline{E}_{f,m}(S) = \overline{E}_{g,m}(S)$ . Let f, g be two non-constant meromorphic (entire) functions. If the condition  $E_f(S) = E_g(S)$ (resp.,  $\overline{E}_f(S) = \overline{E}_g(S)$ ) implies f = g for any two non-constant meromorphic (entire) functions f, g, then S is called a unique range set counting multiplicity (resp., ignoring multiplicity) for meromorphic (entire) functions, or in brief, URSM (URSE) (resp., URSM-IM (URSE-IM)).  $S_m$ ) is called a unique range set counting multiplicity with m-truncated multiplicity (resp., ignoring multiplicity with *m*-truncated multiplicity) for meromorphic (entire) functions if the condition  $E_{f,m}(S) = E_{g,m}(S)$  (resp.,  $\overline{E}_{f,m}(S) = \overline{E}_{g,m}(S)$ ) implies f = g for any pair of non-constant meromorphic (entire) functions, or in brief, URSM<sub>m</sub>)-CM (URSE<sub>m</sub>) -CM) (resp., URSM<sub>m</sub>)-IM (URSE<sub>m</sub>) -IM)).

In 1976 F. Gross ([9]) proved that there exist three finite sets  $S_j$  (j = 1, 2, 3) such that any two entire functions f and g satisfying  $E_f(S_j) = E_g(S_j)$ , j = 1, 2, 3 must be identical. In the same paper F. Gross posed the following question:

**Question 1.** Can one find two (or possible even one) finite set  $S_j$  (j = 1, 2) such that any two entire functions f and g must be identical if  $E_f(S_j) = E_g(S_j)$  (j = 1, 2)?

H. X. Yi [18]–[20], [22], [24] first gave an affirmative answer to Question 1. Since then, many results have been obtained for this and related topics (see [2]–[14], [16], [18]–[24]).

Concerning Question 1, a natural question is the following.

**Question 2.** What is the smallest cardinality for such a finite set S such that any two non-constant meromorphic functions f and g must be identical, if either  $E_f(S) = E_g(S)$  or  $\overline{E}_f(S) = \overline{E}_g(S)$ ?

So far, the best answer to Question 2 for the case of URSM was obtained by Frank and Reinders ([6]). They proved the following result.

**Theorem A.** The set  $\{z \in \mathbb{C} | P_{FR}(z) = \frac{(n-1)(n-2)}{2}z^n + n(n-2)z^{n-1} + \frac{(n-1)n}{2}z^{n-2} - c = 0\}$ , where  $n \ge 11$  and  $c \ne 0, 1$ , is a unique range set for meromorphic functions counting multiplicity.

In 1997, H. X. Yi ([21]) first gave an answer to Question 2 for the case of URSM-IM with 19 elements. He considered polynomials of the form

$$P_Y(z) \in \mathbb{C}[z]: \quad P_Y(z) = z^n + a^m + b,$$

where (m, n) = 1, n > 2m + 14 and  $m \ge 2$  and proved that  $S = \{z \in \mathbb{C} \mid P_Y(z) = 0\}$  is a URSM-IM. Bartels's Theorem ([3]) said that  $S = \{z \in \mathbb{C} \mid P_{FR}(z) = 0\}$  is a URSM-IM if  $n \ge 17$ . So far, the best answer to Question 2 for the case of URSM-IM was obtained by B. Chakraborty ([4]). He proved the following result.

**Theorem B.** Let  $S = \{z \in \mathbb{C} \mid P_{FR}(z) = 0\}$ . If  $n \ge 15$ , then S is a URSM-IM.

In [1] the following new class of unique range sets for meromorphic functions ignoring multiplicity with 15 elements was given.

Let  $n \in \mathbb{N}^*, n \geq 3$ . Consider polynomial P(z):

(1.1) 
$$P_K(z) = z^n - \frac{2na}{n-1}z^{n-1} + \frac{na^2}{n-2}z^{n-2} + 1 = Q_K(z) + 1,$$

where  $a \in \mathbb{C}$ ,  $a \neq 0$ . Suppose that

$$(1.2) Q_K(a) \neq -1, -2$$

**Theorem C** ([1]). Let  $P_K(z)$  be defined by (1.1) with condition (1.2), and let  $S = \{z \in \mathbb{C} \mid P(z) = 0\}$ . If  $n \ge 15$ , then S is a URSM-IM.

In 2000, H. Fujimoto established a sufficient condition for a finite subset S of  $\mathbb{C}$  to be a uniqueness range set for meromorphic functions. Let us recall his result.

For a discrete subset  $S = \{a_1, a_2, ..., a_n\} \subset \mathbb{C}$ , we consider its generated polynomial with the following form

(1.3) 
$$P(z) = (z - a_1)(z - a_2) \cdots (z - a_n).$$

Assume that the derivative of P(z) has mutually distinct k zeros  $d_1, d_2, \ldots, d_k$  with multiplicities  $m_1, m_2, \ldots, m_k$ , respectively. We often consider polynomials satisfying the following condition introduced by Fujimoto [7]:

$$(1.4) P(d_i) \neq P(d_j), 1 \le i < j \le k.$$

The number k is called the *derivative index* of P(z).

A polynomial P(z) is called a strong uniqueness polynomial for meromorphic (entire) functions if for arbitrary two non-constant meromorphic (entire) functions f and g, and a nonzero constant c, the condition P(f) = cP(g)implies f = g (see [2], [8], [12]). In this case we say P(z) is a SUPM (SUPE).

**Theorem D** ([7]). Let P(z) be a polynomial of the form (1.3) satisfying the condition (1.4). Suppose that  $k \ge 3$ , or k = 2 and  $\min\{m_1, m_2\} \ge 2$ , and P(z) is a strong uniqueness polynomial.

- 1. If n > 2k + 6 (n > 2k + 2), then S is a URSM (URSE).
- 2. If n > 2k + 12 (n > 2k + 5), then S is a URSM-IM (URSE-IM).

**Remark 1.** Regarding theorems A, B, C, D, it is easy to see that, in the case of URSM (counting multiplicity), Theorem A is a consequence of Theorem D, since  $P_{FR}$  is a strong uniqueness polynomial of degree 8 [6, p. 191, Case 2]. However, in the case of URS-IM (ignoring multiplicity), Theorem B and Theorem C are not consequences of Theorem D, because with k = 2 we have  $n \ge 17$ .

From Remark 1, a natural question is the following.

**Question 3.** Can one give some sufficient conditions for polynomial P(z) such that  $S = \{z \in \mathbb{C} \mid P(z) = 0\}$  is a URSM-IM (URSE-IM) for meromorphic (entire) functions, and then obtain Theorem B and Theorem C as consequences?

Concerning Question 3, in 1997 H. X. Yi [23] considered  $\text{URSM}_{m}$  for polynomial  $P_{FR}$  and proved the following

Theorem E. Let  $S = \{z \in \mathbb{C} \mid P_{FR}(z) = 0\}.$ 

1/ If  $n \ge 11$ , then S is a URSM<sub>3</sub>)-CM.

2/ If  $n \ge 12$   $(n \ge 7)$ , then S is a URSM<sub>2</sub>)-CM (URSE<sub>2</sub>)-CM).

3/ If  $n \ge 15$   $(n \ge 9)$ , then S is a URSM<sub>1</sub>)-CM (URSE<sub>1</sub>)-CM).

In [12] it is given a sufficient condition for a finite subset S of  $\mathbb{C}$  to be a  $\operatorname{URSM}_{m}$ -CM.

**Theorem F.** Let P(z) be a strong uniqueness polynomial of the form (1.3) satisfying the condition (1.4) with  $P'(z) = nz^{m_1}(z-d_2)^{m_2}\cdots(z-d_k)^{m_k}$  and  $S = \{z \in \mathbb{C} \mid P(z) = 0\}$ . Suppose that  $k \geq 3$ , or k = 2 and  $\min\{m_1, m_2\} \geq 2$ , and all zeros and poles of f and g have multiplicity at least s, l, respectively.

1. If  $n > 2k - 2 + \frac{4}{s} + \frac{4}{l}$   $(n > 2k - 2 + \frac{4}{s})$ , then S is a URSM (URSE) and is a URSM<sub>m</sub>)-CM (URSE<sub>m</sub>)-CM) with  $m \ge 3$ .

2. If  $n > 2k - \frac{3}{2} + \frac{4}{s} + \frac{9}{2l}$   $(n > 2k - \frac{3}{2} + \frac{4}{s})$ , then S is a URSM<sub>2</sub>)-CM (URSE<sub>2</sub>)-CM)

3. If  $n > 2k + \frac{4}{s} + \frac{6}{l}$   $(n > 2k + \frac{4}{s})$ , then S is a URSM<sub>1</sub>)-CM (URSE<sub>1</sub>)-CM).

**Remark 2.** Regarding Theorems E, F, it is easy to see that, in the case of  $URSM_m$ , Theorem E is a consequence of Theorem F, since  $P_{FR}$  is a strong uniqueness polynomial of degree 8 [6, p. 191, Case 2] and by taking k = 2, s = 1, l = 1 in Theorem F.

Note that  $E_{f,1}(S) = \overline{E}_{f,1}(S)$ ,  $E_{f,1}(S) \subset \overline{E}_f(S)$  and  $P'_{FR}(z)$  and  $P'_{K}(z)$  both have a zero at 0 with higher multiplicities:

$$P_{FR}^{'}(z) = \frac{n(n-1)(n-2)}{2}z^{n-3}(z-1)^2, \quad P_{K}^{'}(z) = nz^{n-3}(z-a)^2.$$

These facts and Remark 1, Remark 2 suggest us to consider polynomial P(z) with  $P'(z) = nz^{m_1}(z-d_2)^{m_2}...(z-d_k)^{m_k}$ .

We give some sufficient conditions for polynomial P(z) such that  $S = \{z \in \mathbb{C} \mid P(z) = 0\}$  is a uniqueness range set for the cases of ignoring multiplicity (URSM-IM and URSE-IM) and of ignoring multiplicity with *m*-truncated multiplicity (URSM<sub>m</sub>)-IM and URSE<sub>m</sub>)-IM). As consequences, we obtain Theorem

D in the case of URSM-IM and construct new uniqueness range sets for the cases of URSM-IM and URSE-IM, and of  $\text{URSM}_m$ -IM and  $\text{URSE}_m$ -IM. In particular, we obtain again Theorem B and Theorem C.

Now let us describe main results of the paper. We first give a sufficient condition for a finite subset S of  $\mathbb{C}$  to be a uniqueness range set for the cases of URSM-IM and URSE-IM and of URSM<sub>m</sub>)-IM and URSE<sub>m</sub>)-IM.

**Theorem 1.** Let P(z) be a strong uniqueness polynomial of the form (1.3) satisfying the condition (1.4) with  $P'(z) = nz^{m_1}(z-d_2)^{m_2}\cdots(z-d_k)^{m_k}$  and  $S = \{z \in \mathbb{C} \mid P(z) = 0\}$ , and let m be a positive integer. Suppose  $k \ge 3$ , or k = 2 and  $\min\{m_1, m_2\} \ge 2$ .

If n > 2k + 10 (n > 2k + 4), then S is a URSM-IM (URSE-IM) and URSM<sub>m</sub>)-IM (URSE<sub>m</sub>)-IM).

**Corollary 2.** Theorem 1 implies Theorem D in the case of URSM-IM (URSE-IM).

Indeed, suppose that P(z) with  $P'(z) = n(z-d_1)^{m_1}(z-d_2)^{m_2}\cdots(z-d_k)^{m_k}$ satisfies the conditions of Theorem D in the case of URSM-IM. Write

$$P(z) = (z - d_1)^n + b_1(z - d_1)^{n-1} + \dots + b_{n-1}z + b_0,$$

and set

$$R(z) = z^{n} + b_{1}z^{n-1} + \dots + b_{n-1}z + b_{0}, \quad t_{i} = d_{i} - d_{1}, \quad i = 1, \dots, k,$$

and  $T = \{z \in \mathbb{C} \mid R(z) = 0\}$ . Then

$$P(z) = R(z - d_1), \ R'(z) = nz^{m_1}(z - t_2)^{m_2} \cdots (z - t_k)^{m_k}.$$

Since P(z) is a strong uniqueness polynomial and  $\overline{E}_f(S) = \overline{E}_g(S)$ , we see that R(z) is a strong uniqueness polynomial of the form (1.3) satisfying the condition (1.4) with  $R'(z) = nz^{m_1}(z-t_2)^{m_2}\cdots(z-t_k)^{m_k}$  and  $k \ge 3$ , or k = 2 and  $\min\{m_1, m_2\} \ge 2$  and  $\overline{E}_f(T) = \overline{E}_g(T)$ . Then, applying Theorem 1, we conclude that if n > 2k + 10 (n > 2k + 4), then T is a URSM-IM (URSE-IM). Therefore, S is a URSM-IM (URSE-IM) if n > 2k + 10 (n > 2k + 4).

As a consequence of Theorem 1, we construct following new uniqueness range sets, which are URSM-IM (URSE-IM) and  $\text{URSM}_m$ -IM (URSE<sub>m</sub>)-IM).

Let l, p be positive integers, and let  $a \in \mathbb{C}$  be a nonzero constant. Set

(1.5) 
$$P(z) = (l+p+1)\left(\sum_{i=0}^{p} {\binom{p}{i}} \frac{(-1)^{i}}{l+p+1-i} a^{i} z^{l+p+1-i}\right) + 1,$$

For simplicity, we set n = l + p + 1 and

$$Q(z) = (l+p+1) \left( \sum_{i=0}^{p} {p \choose i} \frac{(-1)^{i}}{l+p+1-i} a^{i} z^{l+p+1-i} \right).$$

Then P(z) = Q(z) + 1. Suppose that

(1.6) 
$$Q(a) \neq -1, \neq -2.$$

Note that P(z), defined by (1.5) with condition (1.6), is a polynomial of degree n = l + p + 1 having no multiple zeros.

So,  $P'(z) = nz^l(z-a)^p$  has a zero at 0 of order l.

Note that polynomials of the form (1.6) were investigated in [2] and [12].

Then we prove the following

**Theorem 2.** Let P(z) be defined by (1.5) with conditions (1.6) and let  $S = \{z \in \mathbb{C} \mid P(z) = 0\}$ . If  $n \ge 15$   $(n \ge 7)$ , then S is a URSM-IM (URSE-IM) and URSM<sub>m</sub>)-IM (URSE<sub>m</sub>)-IM).

**Remark 3.** By using Theorem 1, we can construct uniqueness range sets for case  $k \geq 2$ . In paticular, we obtain Yi's Theorem in [21], Bartels's Theorem in [3], Theorem B, and Theorem C, by taking  $P_Y$ ,  $P_{FR}$ ,  $P_K$  to be strong uniqueness polynomials, respectively.

## 2. Lemmas and definitions

We assume that the reader is familiar with the notations of the Nevanlinna theory (see, for example, [5], [15]). We need some lemmas.

**Lemma 2.1.** ([5, p. 98], [15, p. 43]) Let f be a non-constant meromorphic function on  $\mathbb{C}$  and let  $a_1, a_2, \ldots, a_q$  be distinct points of  $\mathbb{C}$ . Then

$$(q-1)T(r,f) \le \overline{N}(r,f) + \sum_{i=1}^{q} \overline{N}(r,\frac{1}{f-a_i}) - N_0(r,\frac{1}{f'}) + S(r,f),$$

where  $N_0(r, \frac{1}{f'})$  is the counting function of those zeros of f', which are not zeros of function  $(f - a_1) \cdots (f - a_q)$ , and S(r, f) = o(T(r, f)) for all r, except for a set of finite Lebesgue measure.

**Lemma 2.2.** ([17, Lemma 3]) For any non-constant meromorphic function f,

$$N(r, \frac{1}{f'}) \le N(r, \frac{1}{f}) + \overline{N}(r, f) + S(r, f).$$

**Definition.** Let f be a non-constant meromorphic function, and k be a positive integer. For simplicity, we set  $\nu_f(z) = \nu_f^0(z)$  and denote by  $\overline{N}_{(k}(r, f)$  (resp.,  $\overline{N}_{(k}(r, \frac{1}{f}))$ ) the counting function of the poles (resp., zeros of f with  $\nu_f(z) \ge k$ ) with  $\nu_f^{\infty} \ge k$ , where each pole (zero) is counted only once. We also denote by  $\overline{N}(r, \frac{1}{f}; f \neq 0)$  the counting function of the zeros z of f' satisfying  $f(z) \neq 0$ , where each zero is counted only once.

Let be given two non-constant meromorphic functions f and g. For simplicity, denote by  $\nu_1(z) = \nu_f(z)$  (resp.,  $\nu_2(z) = \nu_g(z)$ ), if z is a zero of f (resp., g). Let  $\overline{E}_f(0) = \overline{E}_g(0)$ . We denote by  $N(r, \frac{1}{f}; \nu_1 = \nu_2 = 1)$  (resp.,  $\overline{N}(r, \frac{1}{f}; \nu_1 > \nu_2)$ ) the counting function of the common zeros z, satisfying  $\nu_1(z) = \nu_2(z) = 1$  (resp.,  $\nu_1(z) > \nu_2(z) \ge 1$ ), where each zero is counted only once) and by  $N(r, \frac{1}{f}; \nu_1 \ge 2)$  the counting function of the zeros z of f, satisfying  $\nu_1(z) \ge 2$ . Similarly, we define the counting functions  $\overline{N}(r, \frac{1}{a}; \nu_2 > \nu_1)$ ,  $N(r, \frac{1}{a}; \nu_2 \ge 2)$ .

Let *m* be a positive integer and  $\overline{E}_{f,m}(0) = \overline{E}_{g,m}(0)$ .

We denote by  $\overline{N}(r, \frac{1}{f}; m \geq \nu_1 > \nu_2)$  (resp.,  $\overline{N}(r, \frac{1}{g}; m \geq \nu_2 > \nu_1)$  the counting function of the common zeros z, satisfying  $m \geq \nu_1(z) > \nu_2(z) \geq 1$  (resp.,  $m \geq \nu_2(z) > \nu_1(z) \geq 1$ ), where each zero is counted only once and by  $N(r, \frac{1}{f}; m \geq \nu_1 \geq 2)$  (resp.,  $N(r, \frac{1}{g}; \nu_2 \geq 2)$ ) the counting function of the zeros z of f, satisfying  $m \geq \nu_1(z) \geq 2$  (resp.,  $m \geq \nu_2(z) \geq 2$ ).

**Lemma 2.3.** Let f, g be two non-constant meromorphic functions. Set

$$F = \frac{1}{f}, \quad G = \frac{1}{g}, \quad L = \frac{F^{''}}{F^{'}} - \frac{G^{''}}{G^{'}}, \quad S(r) = S(r, f) + S(r, g).$$

Suppose that  $L \not\equiv 0$ .

1) If 
$$E_{f,1}(0) = E_{g,1}(0)$$
, then  
i)  $\overline{N}(r, \frac{1}{f}) + \overline{N}(r, \frac{1}{g}) \le N(r, L) + \frac{1}{2}(N(r, \frac{1}{f}) + N(r, \frac{1}{g})) + S(r).$ 

Moreover, if a is a common simple zero of f and g, then L(a) = 0.

$$\begin{split} \text{ii)} \quad N(r,L) \leq \overline{N}_{(2}(r,f) + \overline{N}_{(2}(r,g) + \overline{N}_{(2}(r,\frac{1}{f}) + \overline{N}_{(2}(r,\frac{1}{g}) + \\ & + \overline{N}(r,\frac{1}{f'}; f \neq 0) + \overline{N}(r,\frac{1}{g'}; g \neq 0). \end{split}$$

$$\begin{array}{ll} 2) \ \ If \ \overline{E}_{f,m}(0) = \overline{E}_{g,m}(0), \ m \geq 1, \ then \\ & \ i) \ \ \overline{N}(r, \frac{1}{f}) + \overline{N}(r, \frac{1}{g}) + \overline{N}(r, \frac{1}{f}; m \geq \nu_1 > \nu_2) + \\ & \quad + \overline{N}(r, \frac{1}{g}; m \geq \nu_2 > \nu_1) \leq N(r, L) + \frac{1}{2}(N(r, \frac{1}{f}) + N(r, \frac{1}{g})) + \\ & \quad + N(r, \frac{1}{g}; m \geq \nu_2 > \nu_1) \leq N(r, L) + \frac{1}{2}(N(r, \frac{1}{f}) + N(r, \frac{1}{g})) + \\ & \quad + N(r, \frac{1}{f}; m \geq \nu_1 \geq 2) + N(r, \frac{1}{g}; m \geq \nu_2 \geq 2) + S(r). \\ & \ ii) \ \ N(r, L) \leq \overline{N}_{(2}(r, f) + \overline{N}_{(2}(r, g) + \overline{N}(r, \frac{1}{f}; m \geq \nu_1 > \nu_2) + \\ & \quad + \overline{N}(r, \frac{1}{g}; m \geq \nu_2 > \nu_1) + \overline{N}_{(m+1}(r, \frac{1}{f}) + \overline{N}_{(m+1}(r, \frac{1}{g}) + \\ & \quad + \overline{N}(r, \frac{1}{f'}; f \neq 0) + \overline{N}(r, \frac{1}{g'}; g \neq 0). \\ & \ iii) \ \ \overline{N}(r, \frac{1}{f}) + \overline{N}(r, \frac{1}{g}) \leq \overline{N}_{(2}(r, f) + \overline{N}_{(2}(r, g) + \\ & \quad + \frac{1}{2}(N(r, \frac{1}{f}) + N(r, \frac{1}{g})) + N(r, \frac{1}{f}; \nu_1 \geq 2) + N(r, \frac{1}{g}; \nu_2 \geq 2) + \\ & \quad + \overline{N}(r, \frac{1}{f'}; f \neq 0) + \overline{N}(r, \frac{1}{g'}; g \neq 0) + S(r). \end{array}$$

3) If  $\overline{E}_f(0)=\overline{E}_g(0),$  then (see: [13, Lemma 2.4], [14, Lemma 2.2], [1, Lemma 2.3])

$$\begin{split} \text{i)} \quad N(r,L) &\leq \overline{N}_{(2}(r,f) + \overline{N}_{(2}(r,g) + \overline{N}(r,\frac{1}{f};\nu_1 > \nu_2) + \\ &\quad + \overline{N}(r,\frac{1}{g};\nu_2 > \nu_1) + \overline{N}(r,\frac{1}{f'};f \neq 0) + \overline{N}(r,\frac{1}{g'};g \neq 0). \\ \text{ii)} \quad \overline{N}(r,\frac{1}{f}) + \overline{N}(r,\frac{1}{g}) + \overline{N}(r,\frac{1}{f};\nu_1 > \nu_2) + \overline{N}(r,\frac{1}{g};\nu_2 > \nu_1) \leq N(r,L) + \\ &\quad + \frac{1}{2}(N(r,\frac{1}{f}) + N(r,\frac{1}{g})) + N(r,\frac{1}{f};\nu_1 \geq 2) + \\ &\quad + N(r,\frac{1}{g};\nu_2 \geq 2) + S(r). \\ \text{iii)} \quad \overline{N}(r,\frac{1}{f}) + \overline{N}(r,\frac{1}{g}) \leq \overline{N}_{(2}(r,f) + \overline{N}_{(2}(r,g) + \frac{1}{2}(N(r,\frac{1}{f}) + N(r,\frac{1}{g})) + \\ &\quad + N(r,\frac{1}{f};\nu_1 \geq 2) + N(r,\frac{1}{g};\nu_2 \geq 2) + \overline{N}(r,\frac{1}{f'};f \neq 0) + \\ &\quad + \overline{N}(r,\frac{1}{g'};g \neq 0) + S(r). \end{split}$$

**Proof.** We have

(2.1) 
$$L = \frac{f''}{f'} - 2\frac{f'}{f} - \frac{g''}{g'} + 2\frac{g'}{g}.$$

We now consider the poles of L. By (2.1), it is clear that L has only simple poles, moreover, if a is a pole of L, then:

 $\begin{array}{l} + \ f(a) = \infty, \ \mathrm{or} \ f^{'}(a) = 0 \ \mathrm{with} \ f(a) \neq 0, \ \mathrm{or} \ f(a) = 0, \ \mathrm{or} \\ + \ g(a) = \infty, \quad \mathrm{or} \ g^{'}(a) = 0 \ \mathrm{with} \ g(a) \neq 0, \ \mathrm{or} \ g(a) = 0. \end{array}$ Now let a be a pole of f with  $\nu_{f}^{\infty}(a) = 1$ , set

$$F(z) = R(z)(z-a),$$

where R(z) is a holomorphic function;  $R(a) \neq 0$ . Then, we have

(2.2) 
$$\frac{F''}{F'} = \frac{R'' \cdot (z-a) + 2R'}{R' \cdot (z-a) + R},$$

and similarly for a pole of g. From this, it implies that L has no poles at simple poles of f and g

1) i) Note that

$$\overline{N}(r, \frac{1}{f}; \nu_1 \ge 2) \le \frac{1}{2}(N(r, \frac{1}{f}; \nu_1 \ge 2), \quad \overline{N}(r, \frac{1}{g}; \nu_2 \ge 2) \le \frac{1}{2}(N(r, \frac{1}{g}; \nu_2 \ge 2).$$

From this and since  $E_{f,1}(0) = E_{g,1}(0)$ , we have

$$\begin{split} N(r, \frac{1}{f}; \nu_{1} = 1) &= N(r, \frac{1}{g}; \nu_{2} = 1), \\ \overline{N}(r, \frac{1}{f}) &= N(r, \frac{1}{f}; \nu_{1} = 1) + \overline{N}(r, \frac{1}{f}; \nu_{1} \geq 2) \leq \\ &\leq \frac{1}{2}N(r, \frac{1}{f}; \nu_{1} = 1) + \frac{1}{2}(N(r, \frac{1}{f}; \nu_{1} = 1) + N(r, \frac{1}{f}; \nu_{1} \geq 2) = \\ &= \frac{1}{2}N(r, \frac{1}{f}; \nu_{1} = 1) + \frac{1}{2}N(r, \frac{1}{f}), \\ \overline{N}(r, \frac{1}{g}) &= N(r, \frac{1}{g}; \nu_{2} = 1) + \overline{N}(r, \frac{1}{g}; \nu_{2} \geq 2) \leq \\ &\leq \frac{1}{2}N(r, \frac{1}{g}; \nu_{1} = 1) + \frac{1}{2}(N(r, \frac{1}{g}; \nu_{1} = 1) + N(r, \frac{1}{g}; \nu_{1} \geq 2) = \\ &= \frac{1}{2}N(r, \frac{1}{g}; \nu_{1} = 1) + \frac{1}{2}N(r, \frac{1}{g}), \ \overline{N}(r, \frac{1}{f}) + \overline{N}(r, \frac{1}{g}) \leq \\ (2.3) &\leq N(r, \frac{1}{f}; \nu_{1} = \nu_{2} = 1) + \frac{1}{2}(N(r, \frac{1}{f}) + N(r, \frac{1}{g})). \end{split}$$

Suppose a is a zero of f with multiplicity  $\nu_1 = 1$ . Since  $E_{f,1}(0) = E_{g,1}(0)$ , a is a zero of g with multiplicity  $\nu_2 = 1$ . Set

$$F = \frac{F_1}{z-a}, \quad G = \frac{G_1}{z-a},$$

where  $F_1$ ,  $G_1$  are holomorphic functions;  $F_1(a) \neq 0$ ;  $G_1(a) \neq 0$ .

By straight calculations, we have

(2.4) 
$$L = \frac{F_1^{"}.(z-a)}{F_1'.(z-a) - F_1} - \frac{G_1^{"}.(z-a)}{G_1'.(z-a) - G_1}$$

This shows L(a) = 0 if a is a common simple zero of f and g.

Moreover, by the logarithmic derivative lemma, we have

$$\begin{split} m(r,L) &= m(r,\frac{F^{''}}{F^{'}} - \frac{G^{''}}{G^{'}}) \leq m(r,\frac{F^{''}}{F^{'}}) + m(r,\frac{G^{''}}{G^{'}}) = \\ &= S(r,F^{'}) + S(r,G^{'}). \end{split}$$

On the other hand, from Lemma 2.2 we get

$$T(r, F') \le 2T(r, f) + S(r, f), \ T(r, G') \le 2T(r, g) + S(r, g).$$

So

$$S(r,F^{'}) = S(r,f), \; S(r,G^{'}) = S(r,g)$$

From this and (2.4) we get

$$\begin{split} N(r,\frac{1}{f};\nu_1 = \nu_2 = 1) &\leq N(r,\frac{1}{L}) \leq T(r,\frac{1}{L}) = T(r,L) + O(1) = \\ &= N(r,L) + m(r,L) + O(1) \leq \\ &\leq N(r,L) + S(r,f) + S(r,g). \end{split}$$

From this and (2.3) we obtain conclusion i).

ii) By (2.1)- (2.4) and note that L has only simple poles, we can see that if a is a pole of L, then

+  $f(a) = \infty$  with  $\nu_f^{\infty}(a) \ge 2$ , or f'(a) = 0 with  $f(a) \ne 0$ , or f(a) = 0 with  $\nu_f(a) \ge 2$ ,

or

$$+ g(a) = \infty$$
 with  $\nu_g^{\infty}(a) \ge 2$ , or  $g'(a) = 0$  with  $g(a) \ne 0$ , or  $g(a) = 0$  with  $\nu_g(a) \ge 2$ .

From this we obtain conclusion ii).

2) If m = 1, then

$$\overline{N}(r, \frac{1}{f}; m \ge \nu_1 > \nu_2) = 0, \ \overline{N}(r, \frac{1}{g}; m \ge \nu_1 > \nu_2) = 0, \ N(r, \frac{1}{f}; m \ge \nu_1 \ge 2) = 0,$$
$$N(r, \frac{1}{g}; m \ge \nu_1 \ge 2) = 0, \ \overline{N}_{(m+1)}(r, \frac{1}{f}) = \overline{N}_{(2)}(r, \frac{1}{f}), \ \overline{N}_{(m+1)}(r, \frac{1}{f}) = \overline{N}_{(2)}(r, \frac{1}{f}).$$

From this and Part 1) it follows that inequality 2) holds with m = 1.

Now we prove the inequality for  $m \ge 2$ . i) By the hypothesis we have  $\overline{E}_{f,m}(0) = \overline{E}_{g,m}(0), m \ge 2$ .

By using properties of the Stieltjes integral (see [5, p. 14]), we get:

$$N(r, \frac{1}{f}) - n(0, \frac{1}{f}) = \sum_{0 < |a_i| < r} \log \frac{r}{|a_i|},$$

where  $a_i$  are zeros of f, counting multiplicity and

$$N_{(m}(r, \frac{1}{f}) - n(0, \frac{1}{f}) = \sum_{0 < |a_i| < r} \log \frac{r}{|a_i|},$$

where  $a_i$  are zeros of f, counting multiplicity with m-truncated multiplicity and

$$\overline{N}(r, \frac{1}{f}) - \overline{n}(0, \frac{1}{f}) = \sum_{0 < |a_i| < r} \log \frac{r}{|a_i|},$$

where  $a_i$  are zeros of f, ignoring multiplicity and

$$\overline{N}_{(m}(r,\frac{1}{f}) - \overline{n}(0,\frac{1}{f}) = \sum_{0 < |a_i| < r} \log \frac{r}{|a_i|},$$

where  $a_i$  are zeros of f, ignoring multiplicity with m-truncated multiplicity. We obtain the similar equalities for  $N(r, \frac{1}{f}; m \ge \nu_1 \ge 2)$ ,  $\overline{N}(r, \frac{1}{g})$ ,  $N_{(m}(r, \frac{1}{g})$ ,  $\overline{N}_{(m}(r, \frac{1}{g}), \overline{N}_{(m}(r, \frac{1}{g}), \overline{N}_{(m}(r, \frac{1}{g}), N(r, \frac{1}{f}; m \ge \nu_2))$ ,  $N(r, \frac{1}{g}; m \ge \nu_2 \ge 2)$ ,  $\overline{N}(r, \frac{1}{g}; m \ge \nu_2 > \nu_1)$ . We are going to prove Part 2 by using these inequalities and the arguments in [13, Lemma 2.4], [14, Lemma 2.2], and [4, Lemma 2.6].

Set

$$\begin{split} M &= \overline{N}_{m}(r, \frac{1}{f}) + \overline{N}_{m}(r, \frac{1}{g}) + \overline{N}(r, \frac{1}{f}; m \ge \nu_1 > \nu_2) + \overline{N}(r, \frac{1}{g}; m \ge \nu_2 > \nu_1), \\ T &= N(r, \frac{1}{f}; \nu_1 = \nu_2 = 1) + \frac{1}{2}(N_m)(r, \frac{1}{f}) + N_m)(r, \frac{1}{g})) + \\ &+ N(r, \frac{1}{f}; m \ge \nu_1 \ge 2) + N(r, \frac{1}{g}; m \ge \nu_2 \ge 2). \end{split}$$

We first prove that  $M \leq T$ .

Let a be a zero of f with multiplicity  $p \leq m$ . From  $\overline{E}_{f,m}(0) = \overline{E}_{g,m}(0)$  it follows that a is a zero of g with multiplicity  $q \leq m$ . We consider the following cases:

Case 1.  $p = q \leq m$ .

If p = q = 1, then a is counted with 1 + 1 + 0 + 0 = 2 times in M and it is counted with  $1 + \frac{1}{2}(1+1) = 2$  times in T.

If  $p = q \ge 2$ , then a is counted with 1 + 1 + 0 + 0 = 2 times on M and it is counted with  $0 + \frac{1}{2}(p+p) + p + p = 3p > 2$  times in T.

Case 2. q .

If q = 1, then  $p \ge 2$  and a is counted with 1 + 1 + 1 + 0 = 3 times in M and we see that a is counted with  $0 + \frac{1}{2}(p+1) + p + 0 = p + \frac{p+1}{2} > 3$  times in T.

If  $q \ge 2$ , then p > 2 and a is counted with 1 + 1 + 1 + 0 = 3 times in M. we see that a is counted with  $0 + \frac{1}{2}(p+q) + p + q = 0 + \frac{3(p+q)}{2} > 3$  times in T.

Case 3.  $p < q \leq m$ .

The proof of Case 3 is completed by using the arguments similar to ones in Case 2.

So  $M \leq T$ . Moreover,

$$\begin{split} \overline{N}(r,\frac{1}{f}) &= \overline{N}_{m}(r,\frac{1}{f}) + \overline{N}_{(m+1}(r,\frac{1}{f}), \ \overline{N}(r,\frac{1}{g}) = \overline{N}_{m}(r,\frac{1}{g}) + \overline{N}_{(m+1}(r,\frac{1}{g});\\ N(r,\frac{1}{f}) &= N_{m}(r,\frac{1}{f}) + N_{(m+1}(r,\frac{1}{f}), \ N(r,\frac{1}{g}) = N_{m}(r,\frac{1}{g}) + N_{(m+1}(r,\frac{1}{g});\\ \overline{N}_{(m+1}(r,\frac{1}{f}) &\leq \frac{1}{2}N_{(m+1}(r,\frac{1}{f}), \ \overline{N}_{(m+1}(r,\frac{1}{g}) \leq \frac{1}{2}N_{(m+1}(r,\frac{1}{g}). \end{split}$$

From this and  $M \leq T$  it follow that

$$\begin{split} \overline{N}(r,\frac{1}{f}) + \overline{N}(r,\frac{1}{g}) + \overline{N}(r,\frac{1}{f}; m \ge \nu_1 > \nu_2) + \overline{N}(r,\frac{1}{g}; m \ge \nu_2 > \nu_1) \le \\ \le N(r,\frac{1}{f}; \nu_1 = \nu_2 = 1) + \frac{1}{2}(N(r,\frac{1}{f}) + N(r,\frac{1}{g})) + \\ + N(r,\frac{1}{f}; m \ge \nu_1 \ge 2) + N(r,\frac{1}{g}; m \ge \nu_2 \ge 2). \end{split}$$

By the proof of i) of Part 1) we get  $N(r, \frac{1}{f}; \nu_1 = \nu_2 = 1) \leq N(r, L) + S(r)$ . Combining above inequalities we obtain conclusion i) of 2.

ii) Suppose a is a zero of f with multiplicity  $\nu_1 = p \leq m$ . Since  $\overline{E}_{f,m}(0) = \overline{E}_{g,m}(0)$ , a is a zero of g with multiplicity  $\nu_2 = q \leq m$ . Set

$$F = \frac{F_1}{(z-a)^p}, \ G = \frac{G_1}{(z-a)^q},$$

where  $F_1$ ,  $G_1$  are holomorphic functions;  $F_1(a) \neq 0$ ;  $G_1(a) \neq 0$ . By straight calculations, we have

(2.5) 
$$L = \frac{F_1^{"} \cdot (z-a) + (1-p)F_1'}{F_1' \cdot (z-a) - pF_1} - \frac{G_1^{"} \cdot (z-a) + (1-q)G_1'}{G_1' \cdot (z-a) - qG_1} + \frac{q-p}{z-a}.$$

This relation shows that L has a pole at a only if  $p \neq q$  with  $p \leq m$  and  $q \leq m$ , i.e. when f and g have a zero at a with different multiplicities and both multiplicities are  $\leq m$ . By (2.1)- (2.5) and note that L has only simple poles, we can see that if a is a pole of L, then

+  $f(a) = \infty$  with  $\nu_f^{\infty}(a) \ge 2$ , or f'(a) = 0 with  $f(a) \ne 0$ , or + f(a) = 0 with  $m \ge \nu_1(a) > \nu_2(a)$ , or g(a) = 0 with  $m \ge \nu_2(a) > \nu_1(a)$ , or + f(a) = 0 with  $\nu_f(a) \ge m + 1$ , or  $g(a) = \infty$  with  $\nu_g^{\infty}(a) \ge 2$ , or + g'(a) = 0 with  $g(a) \ne 0$ , or g(a) = 0 with  $\nu_g(a) \ge m + 1$ . From this we obtain conclusion ii). iii) Note that

$$\begin{split} N(r,\frac{1}{f};m\geq\nu_{1}\geq2)+\overline{N}_{(m+1}(r,\frac{1}{f}) &= N(r,\frac{1}{f};m\geq\nu_{1}\geq2)+\\ &+\overline{N}(r,\frac{1}{f};\nu_{1}\geq m+1)\leq\\ &\leq N(r,\frac{1}{f};\nu_{1}\geq2). \end{split}$$

Similarly,

$$N(r, \frac{1}{g}; m \ge \nu_1 \ge 2) + \overline{N}_{(m+1)}(r, \frac{1}{g}) \le N(r, \frac{1}{g}; \nu_1 \ge 2).$$

From the above inequalities and i), ii) it follows iii).

3. iii) The proof of this part is completed by using the arguments similar to ones in 2. iii). ■

**Lemma 2.4.** ([8, Theorem 1.4]) Let P(z) be a polynomial of degree n satisfying the condition (1.4). Then P(z) is a uniqueness polynomial if and only if

$$\sum_{\leq l < m \leq k} q_l q_m > \sum_{i=1}^k q_l,$$

where k is the derivative index of P.

In particular, the above inequality is always satisfied whenever  $k \ge 4$ . When k = 3 and  $\max\{m_1, m_2, m_3\} \ge 2$ , or when k = 2,  $\min\{m_1, m_2\} \ge 2$ , and  $m_1 + m_2 \ge 5$ , the above inequality also holds.

H. Fujimoto [7, Proposition 7.1] proved the following:

1

**Lemma 2.5.** Let P(z) be a polynomial of degree n satisfying the condition (1.4). Assume furthermore that  $n \ge 5$  and there are two non-constant meromorphic function f and g such that

$$\frac{1}{P(f)} = \frac{c_0}{P(g)} + c_1$$

for two constants  $c_0 \neq 0$  and  $c_1$ . If  $k \geq 3$  or if k = 2,  $\min\{m_1, m_2\} \geq 2$ , then  $c_1 = 0$ .

**Lemma 2.6.** ([2, Lemma 2.2])  $\sum_{i=0}^{p} {p \choose i} \frac{(-1)^i}{l+p+1-i}$  is not an integer, where  $l, p \ge 1$  are integers.

In [2, Lemma 2.2], Banerjee proved Lemma 2.6 for  $l, p \ge 3$ , but it is clear that this lemma is valid for  $l, p \ge 1$ .

We recall that, P(z) is defined by (1.5):

$$P(z) = (l+p+1) \left(\sum_{i=0}^{p} {p \choose i} \frac{(-1)^{i}}{l+p+1-i} a^{i} z^{l+p+1-i}\right) + 1 = Q(z) + 1.$$
$$Q(z) = (l+p+1) \left(\sum_{i=0}^{p} {p \choose i} \frac{(-1)^{i}}{l+p+1-i} a^{i} z^{l+p+1-i}\right),$$

with the condition (1.6)  $Q(a) \neq -1$ ,  $\neq -2$ , and degree of P(z) is n = l + p + 1.

**Lemma 2.7.** Let P(z) be defined by (1.5) with condition (1.6), and let  $l \ge 3$  and  $p \ge 2$ . Then P(z) is a strong uniqueness polynomial for meromorphic functions.

**Proof.** Note that,  $P'(z) = nz^l(z-a)^p$ , and P'(z) has a zero at 0 with multiplicity l and a zero at a with multiplicity p.

By Lemma 2.6, we see that  $\sum_{i=0}^{p} {p \choose i} \frac{(-1)^{i}}{l+p+1-i}$  is not an integer. Set

$$A = \sum_{i=0}^{p} {\binom{p}{i}} \frac{(-1)^{i}}{l+p+1-i}.$$

Then  $A \neq 0$ . We have P(0) = Q(0) + 1 = 1,  $P(a) = Q(a) + 1 = nAa^n + 1$ . From this and  $a \neq 0$ , we get  $P(a) \neq P(0)$ . Set F = P(f), G = P(g). From  $P(f) = cP(g), c \neq 0$ , it implies

(2.6) 
$$F = cG, \ T(r, f) + S(r, f) = T(r, g) + S(r, g), \ S(r, f) = S(r, g).$$

Now we consider the following possible cases:

Case 1.  $c \neq 1$ . If c = P(a), from (2.6) we have

(2.7) 
$$F - 1 = P(a)(G - \frac{1}{P(a)}).$$

We consider  $P(z) - \frac{1}{P(a)}$ . By P(0) = 1 and  $P(a) = c \neq 1$  we obtain  $P(0) - \frac{1}{P(a)} \neq 0$ . Because P(z) satisfies the condition (1.6) we have  $Q(a) + 1 \neq -1$ . Moreover, since  $P(a) = nAa^n + 1 = Q(a) + 1 \neq -1$  and  $P(a) = c \neq 1$  we obtain  $P(a) - \frac{1}{P(a)} \neq 0$ . Therefore  $P(z) - \frac{1}{P(a)}$  has only simple zeros, let they be given by  $b'_i, i = 1, 2, \ldots, n$ . Note that P(z) - 1 has a zero at 0 with multiplicity n - p = l + 1, and p distinct simple zeros. Let  $c'_i, i = 1, 2, \ldots, p$  be distinct simple zeros of P(z) - 1. Applying Lemma 2.1 to the function g and the values  $b'_1, b'_2, \ldots, b'_n$ , and by (2.6), (2.7) we get

$$\begin{split} (n-1)T(r,g) &= (l+p)T(r,g) \leq \\ &\leq \overline{N}(r,g) + \sum_{i=1}^{n} \overline{N}(r,\frac{1}{g-b'_{i}}) + S(r,g) \leq \\ &\leq T(r,g) + \overline{N}(r,\frac{1}{f}) + \sum_{i=1}^{p} \overline{N}(r,\frac{1}{f-c'_{i}}) + S(r,g) \leq \\ &\leq T(r,g) + T(r,f) + pT(r,f) + S(r,g) = \\ &= (p+2)T(r,g) + S(r,g) \end{split}$$

So  $(l-2)T(r,g) \leq S(r,g)$ , a contradiction to the assumption that  $l \geq 3$ . If  $c \neq P(a)$ , then from (2.6) we have

(2.8) 
$$F - c = c(G - 1).$$

We consider P(z) - c. By P(0) = 1 and  $c \neq 1$  we have  $P(0) - c = 1 - c \neq 0$ . Moreover  $c \neq P(a)$ . So  $P(a) - c \neq 0$ ,  $P(0) - c \neq 0$ . Therefore P(z) - c has only simple zeros, let they be given by  $e_i, i = 1, 2, ..., n$ . Now we consider P(z) - 1. We see that P(0) = 1, P(z) - P(0) = P(z) - 1 has a zero at 0 with multiplicity n - p = l + 1, and p distinct simple zeros. Let  $t_i, i = 1, 2, ..., p$  be distinct simple zeros of P(z) - 1. Applying Lemma 2.1 to the function f and the values  $e_1, e_2, ..., e_n$ , and by (2.8) we get

$$\begin{split} (n-1)T(r,f) &= (l+p)T(r,f) \leq \\ &\leq \overline{N}(r,f) + \sum_{i=1}^n \overline{N}(r,\frac{1}{g-e_i}) + S(r,f) \leq \end{split}$$

$$\leq T(r,f) + \overline{N}(r,\frac{1}{g}) + \sum_{i=1}^{p} \overline{N}(r,\frac{1}{f-t_i}) + S(r,f) \leq$$
  
$$\leq T(r,f) + T(r,g) + pT(r,g) + S(r,f) =$$
  
$$= (p+2)T(r,f) + S(r,f).$$

So,  $(l-2)T(r, f) \leq S(r, f)$ , a contradiction to the assumption that  $l \geq 3$ . Case 2. c = 1. Then

$$P(f) = P(g).$$

Applying Lemma 2.4 to (2.8) we obtain f = g.

## 3. Proof of Theorems

#### 3.1. Proof of Theorem 1.

Recall that P(z) is a strong uniqueness polynomial of the form (1.3),  $P(z) = (z - a_1) \cdots (z - a_n)$  with  $P'(z) = nz^{m_1}(z - d_2)^{m_2} \cdots (z - d_k)^{m_k}$  and P(z) satisfies the condition (1.4),  $P(d_i) \neq P(d_j), 1 \leq i < j \leq k$ , where k is the derivative index of P(z).

Let  $S = \{z \in \mathbb{C} | P(z) = 0\}$  and m be a positive integer. Suppose that  $k \geq 3$ , or k = 2 and  $\min\{m_1, m_2\} \geq 2$ . We are going to prove that, if n > 2k + 10 (n > 2k + 4), then S is a URSM-IM (URSE-IM) and URSM<sub>m</sub>)-IM (URSE<sub>m</sub>)-IM).

**3.1.1.** n > 2k + 10. In this case we will prove that S is a URSM-IM. Set

$$F = \frac{1}{P(f)}, \ G = \frac{1}{P(g)}, \ L = \frac{F''}{F'} - \frac{G''}{G'},$$
$$(r) = T(r, f) + T(r, g), \\ S(r) = S(r, f) + S(r, g).$$

Then T(r, P(f)) = nT(r, f) + S(r, f) and T(r, P(g)) = nT(r, g) + S(r, g), and hence S(r, P(f)) = S(r, f) and S(r, P(g)) = S(r, g).

We consider two following cases:

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**Case 1.**  $L \equiv 0$ . Then we have  $\frac{1}{P(f)} = \frac{c}{P(g)} + c_1$  for some constants  $c \neq 0$  and  $c_1$ . By Lemma 2.5 we obtain  $c_1 = 0$ .

Therefore, there is a constant  $C \neq 0$  such that P(f) = CP(g). Because P(z) is a strong uniqueness polynomial, we obtain f = g.

Case 2.  $L \not\equiv 0$ .

Claim 1. We show that

$$(3.1) \ (n-2)T(r) \le \overline{N}(r, \frac{1}{P(f)}) + \overline{N}(r, \frac{1}{P(g)}) - N_0(r, \frac{1}{f'}) - N_0(r, \frac{1}{g'}) + S(r),$$

where  $N_0(r, \frac{1}{f'})$   $(N_0(r, \frac{1}{g'}))$  is the counting function of those zeros of f', which are not zeros of function

$$(f - a_1)...(f - a_n)f(f - d_2)...(f - d_k)((g - a_1)...(g - a_n)g(g - d_2)...(g - d_k)).$$

Indeed, applying Lemma 2.1 to the functions f, g and the values  $a_1, \ldots, a_n$ ,  $0, d_2, \ldots, d_k$ , and noting that

$$\sum_{i=1}^{n} \overline{N}(r, \frac{1}{f - a_i}) = \overline{N}(r, \frac{1}{P(f)}), \ \sum_{i=1}^{n} \overline{N}(r, \frac{1}{g - a_i}) = \overline{N}(r, \frac{1}{P(g)}),$$

we obtain

$$(n+k-1)T(r) \leq \overline{N}(r,f) + \overline{N}(r,g) + \overline{N}(r,\frac{1}{P(f)}) + \overline{N}(r,\frac{1}{P(g)}) + \overline{N}(r,\frac{1}{f}) + \overline{N}(r,\frac{1}{f}) + \overline{N}(r,\frac{1}{g}) + \sum_{i=2}^{k} \overline{N}(r,\frac{1}{f-d_i}) + \sum_{i=2}^{k} \overline{N}(r,\frac{1}{g-d_i}) - N_0(r,\frac{1}{f'}) - N_0(r,\frac{1}{g'}) + S(r).$$

$$(3.2)$$

On the other hand,

$$\overline{N}(r,f) + \overline{N}(r,g) \leq (T(r,f) + T(r,g)) + S(r) = T(r) + S(r),$$
  
$$\overline{N}(r,\frac{1}{f}) + \overline{N}(r,\frac{1}{g}) \leq (T(r,f) + T(r,g)) + S(r) = T(r) + S(r),$$
  
$$\overline{N}(r,\frac{1}{f-d_i}) + \overline{N}(r,\frac{1}{g-d_i}) \leq (k-1)(T(r,f) + T(r,g)) + S(r)$$
  
$$= (k-1)T(r) + S(r), \quad i = 2, \dots, k.$$

From this and (3.2) we obtain (3.1).

Claim 2. We show that

$$\begin{split} \overline{N}(r, \frac{1}{P(f)}) + \overline{N}(r, \frac{1}{P(g)}) \leq & (\frac{n}{2} + 3)T(r) + \overline{N}(r, \frac{1}{[P(f)]'}; P(f) \neq 0) + \\ & + \overline{N}(r, \frac{1}{[P(g)]'}; P(g) \neq 0) + S(r). \end{split}$$

Indeed, by  $\overline{E}_f(S) = \overline{E}_g(S)$  we get  $(P(f))^{-1}(0) = (P(g))^{-1}(0)$ . For simplicity, we set  $\nu_1 = \nu_1(z), \nu_2 = \nu_2(z)$ , where  $\nu_1(z) = \nu_{P(f)}(z), \nu_2(z) = \nu_{P(g)}(z)$ . Note that

$$\begin{split} N_{(2}(r,P(f)) &= N(r,f), \; N_{(2}(r,P(g)) = N(r,g), \\ S(r,P(f)) &= S(r,f), \; S(r,P(g)) = S(r,g), \\ S(r) &= S(r,f) + S(r,g). \end{split}$$

Applying Part 3).iii) of Lemma 2.3 to the functions P(f), P(g), we obtain

$$\begin{split} \overline{N}(r,\frac{1}{P(f)}) + \overline{N}(r,\frac{1}{P(g)}) &\leq \overline{N}(r,f) + \overline{N}(r,g) + \frac{1}{2}(N(r,\frac{1}{P(f)}) + N(r,\frac{1}{P(g)})) + \\ &+ N(r,\frac{1}{P(f)};\nu_1 \geq 2) + N(r,\frac{1}{P(g)};\nu_2 \geq 2)) + \\ (3.3) &+ \overline{N}(r,\frac{1}{[P(f)]'};P(f) \neq 0) + \overline{N}(r,\frac{1}{[P(g)]'};P(g) \neq 0) + S(r). \end{split}$$

Moreover,

(3.4) 
$$\overline{N}(r,f) + \overline{N}(r,g) \le T(r) + S(r).$$

Obviously,

(3.5)  

$$N(r, \frac{1}{P(f)}) \leq nT(r, f) + S(r, f);$$

$$N(r, \frac{1}{P(g)}) \leq nT(r, g) + S(r, g),$$

$$N(r, \frac{1}{P(f)}) + N(r, \frac{1}{P(g)}) \leq nT(r) + S(r).$$

On the other hand, from  $P(f) = (f - a_1) \cdots (f - a_n)$  it follows that, if  $z_0$  is a zero of P(f) with multiplicity  $\geq 2$ , then  $z_0$  is a zero of  $f - a_i$  with multiplicity  $\geq 2$  for some  $i \in \{1, 2, \ldots, n\}$ , and therefore, it is a zero of f', so we have

$$N(r, \frac{1}{P(f)}; \nu_1 \ge 2) \le N(r, f').$$

From this and Lemma 2.2 we obtain

$$N(r, \frac{1}{P(f)}; \nu_1 \ge 2) \le N(r, f') \le N(r, \frac{1}{f}) + \overline{N}(r, f) + S(r, f) \le 2T(r, f) + S(r, f).$$

Similarly, we have

$$N(r, \frac{1}{P(g)}; \nu_2 \ge 2) \le N(r, g') \le N(r, \frac{1}{g}) + \overline{N}(r, g) + S(r, g) \le 2T(r, g) + S(r, g).$$

Therefore,

(3.6) 
$$N(r, \frac{1}{P(f)}; \nu_1 \ge 2) + N(r, \frac{1}{P(g)}; \nu_2 \ge 2) \le 2T(r) + S(r).$$

Combining (3.1)–(3.6) we get

$$\begin{split} \overline{N}(r,\frac{1}{P(f)}) + \overline{N}(r,\frac{1}{P(g)}) \leq & (\frac{n}{2} + 3)T(r) + \overline{N}(r,\frac{1}{[P(f)]'};P(f) \neq 0) + \\ & + \overline{N}(r,\frac{1}{[P(g)]'};P(g) \neq 0) + S(r). \end{split}$$

Claim 2 is proved.

Claim 3. We have

$$\overline{N}(r, \frac{1}{[P(f)]'}; P(f) \neq 0) + \overline{N}(r, \frac{1}{[P(g)]'}; P(g) \neq 0) \le \le kT(r) + N_0(r, \frac{1}{f'}) + N_0(r, \frac{1}{g'}) + S(r).$$

Indeed,

$$\overline{N}(r, \frac{1}{[P(f)]'}; P(f) \neq 0) = \overline{N}(r, \frac{1}{f^{m_1}(f - d_2)^{m_2} \cdots (f - d_k)^{m_k} f'}; P(f) \neq 0) \leq \\
\leq \overline{N}(r, \frac{1}{f}) + \sum_{i=2}^k \overline{N}(r, \frac{1}{f - d_i}) + \overline{N}_0(r, \frac{1}{f'}) \leq \\
\leq kT(r, f) + \overline{N}_0(r, \frac{1}{f'}) + S(r, f).$$
(3.7)

Similarly,

(3.8) 
$$\overline{N}(r, \frac{1}{[P(g)]'}; P(g) \neq 0) \le kT(r, g) + \overline{N}_0(r, \frac{1}{g'}) + S(r, g).$$

Inequalities (3.7) and (3.8) give us

$$\begin{split} \overline{N}(r,\frac{1}{[P(f)]'};P(f)\neq 0) + \overline{N}(r,\frac{1}{[P(g)]'};P(g)\neq 0) \leq \\ \leq kT(r) + \overline{N}_0(r,\frac{1}{f'}) + \overline{N}_0(r,\frac{1}{g'}) + S(r). \end{split}$$

Claim 3 is proved.

Claim 1, 2, 3 give us:

$$(n-2)T(r) \le (\frac{n}{2}+3+k)T(r) + S(r).$$

Therefore,  $(n - 2k - 10)T(r) \leq S(r)$ , this is a contradiction to the assumption that n > 2k + 10. So  $L \equiv 0$ . Therefore f = g.

**3.1.2.** n > 2k + 4. We will prove that S is a URSE-IM. Note that, if f, g are entire functions, then

$$\begin{split} N(r,f) &= 0, \quad N(r,g) = 0, \\ N(r,f^{'}) &\leq N(r,\frac{1}{f}) + \overline{N}(r,f) + S(r,f) \leq \\ &\leq T(r,f) + S(r,f), \\ N(r,g^{'}) &\leq N(r,\frac{1}{g}) + \overline{N}(r,g) + S(r,g) \leq \\ &\leq T(r,g) + S(r,g). \end{split}$$

From this and by similar arguments as in the case of URSM-IM we see that, if  $L\not\equiv 0,$  then

$$\begin{split} (n-1)T(r) &\leq \overline{N}(r,\frac{1}{P(f)}) + \overline{N}(r,\frac{1}{P(g)}) - N_0(r,\frac{1}{f'}) - N_0(r,\frac{1}{g'}) + S(r), \\ \overline{N}(r,\frac{1}{P(f)}) + \overline{N}(r,\frac{1}{P(g)}) &\leq (\frac{n}{2} + 1)T(r) + \overline{N}(r,\frac{1}{[P(f)]'};P(f) \neq 0) + \\ &+ \overline{N}(r,\frac{1}{[P(g)]'};P(g) \neq 0) + S(r), \\ \overline{N}(r,\frac{1}{[P(f)]'};P(f) \neq 0) &= \overline{N}(r,\frac{1}{f^{m_1}(f-d_2)^{m_2}\cdots(f-d_k)^{m_k}f'};P(f) \neq 0) \leq \\ &\leq \overline{N}(r,\frac{1}{f}) + \sum_{i=2}^k \overline{N}(r,\frac{1}{f-d_i}) + \overline{N}_0(r,\frac{1}{f'}) \leq \\ &\leq kT(r,f) + \overline{N}_0(r,\frac{1}{f'}) + S(r,f), \\ &\overline{N}(r,\frac{1}{[P(g)]'};P(g) \neq 0) \leq kT(r,g) + \overline{N}_0(r,\frac{1}{g'}) + S(r,g). \end{split}$$

Combining above inequalities we obtain:

$$(n-1)T(r) \le (\frac{n}{2} + 1 + k)T(r) + S(r).$$

So,  $(n-2k-4)T(r) \leq S(r)$ , a contradiction to the assumption that n > 2k+4. Thus we have  $L \equiv 0$ . The proof of this case is completed by using the arguments similar to ones in the case of URSM-IM.

**3.1.3.** n > 2k+10. We prove that S is a URSM<sub>m</sub>. By the similar arguments as in the case of URSM-IM we can see that if  $L \neq 0$ , then

$$(n-2)T(r) \le \overline{N}(r, \frac{1}{P(f)}) + \overline{N}(r, \frac{1}{P(g)}) - N_0(r, \frac{1}{f'}) - N_0(r, \frac{1}{g'}) + S(r).$$

Note that  $E_{f,m}(S) = \overline{E}_{f,m}(S)$  and

$$\begin{split} \overline{N}_{(2}(r,P(f)) = \overline{N}(r,f) &\leq T(r,f) + S(r,f), \\ \overline{N}_{(2}(r,P(g)) = \overline{N}(r,g) &\leq T(r,g) + S(r,g), \\ S(r,P(f)) = S(r,f), \ S(r,P(g)) = S(r,g), \\ S(r) = S(r,f) + S(r,g). \end{split}$$

Then applying Part 2).iii) of Lemma 2.3 to the functions P(f), P(g) we get

$$\begin{split} \overline{N}(r, \frac{1}{P(f)}) + \overline{N}(r, \frac{1}{P(g)}) &\leq \overline{N}(r, f) + \overline{N}(r, g) + \frac{1}{2} (N(r, \frac{1}{P(f)}) + \\ &+ N(r, \frac{1}{P(g)})) + N(r, \frac{1}{P(f)}; \nu_1 \geq 2) + N(r, \frac{1}{P(g)}; \nu_2 \geq 2)) + \\ &+ \overline{N}(r, \frac{1}{[P(f)]'}; P(f) \neq 0) + \overline{N}(r, \frac{1}{[P(g)]'}; P(g) \neq 0) + S(r). \end{split}$$

By the similar argument as in Claims 2, 3 of the case URSM-IM we get a contradiction to the assumption that n > 2k + 10. So  $L \equiv 0$ . The proof of this case is completed by using the arguments similar to ones in the case of URSM-IM.

**3.1.4.** n > 2k + 4. By using the arguments similar to ones in the cases of URSE-IM and URSM<sub>m</sub>) we can prove that S is a URSE<sub>m</sub>).

Theorem 1 is proved.

## 3.2. Proof of Theorem 2.

We recall that, P(z) is defined by (1.5),

$$P(z) = (l+p+1) \Big( \sum_{i=0}^{p} {p \choose i} \frac{(-1)^{i}}{l+p+1-i} a^{i} z^{l+p+1-i} \Big) + 1 = Q(z) + 1.$$
$$Q(z) = (l+p+1) \Big( \sum_{i=0}^{p} {p \choose i} \frac{(-1)^{i}}{l+p+1-i} a^{i} z^{l+p+1-i} \Big),$$

with the condition (1.6),  $Q(a) \neq -1$ ,  $Q(a) \neq -2$ , and the degree of P(z) is n = l + p + 1.

Then applying Lemma 2.7 we see that P(z) is a strong uniqueness polynomial for meromorphic functions of degree  $n \ge 6$ , if  $l \ge 3$  and  $p \ge 2$ . Polynomial P(z) satisfies the conditions of Theorem 1 with k = 2. Then applying Theorem 1 to polynomial P(z) we conclude that S is a URSM-IM (URSE-IM) and URSM<sub>m</sub>)-IM (URSE<sub>m</sub>)-IM) if  $n \ge 15(n \ge 7)$ .

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