

## AN ELEMENTARY METHOD OF SOLVING FUNCTIONAL EQUATIONS

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**Abstract.** In the present paper we prove in an elementary way that if for a fixed  $\lambda \in (0, 1)$  the functions  $f, g : \mathbb{R} \rightarrow \mathbb{R}$  satisfy the equation

$$\frac{f(b) - f(a)}{b - a} = g(\lambda a + (1 - \lambda)b)$$

for all  $b > a$  then  $f$  is a quadratic polynomial, and  $g = f'$ . Moreover, if  $\lambda \neq \frac{1}{2}$ , then  $f$  is a linear polynomial and  $g = f'$ . This result is obtained with no regularity assumptions on  $f$  or  $g$  and generalizes a theorem from [4].

### 1. Introduction

Roman Ger (cf. [5]) has drawn our attention to the paper [4] where the authors ask the following questions:

**Question 1.** Which differentiable functions  $f : \mathbb{R} \rightarrow \mathbb{R}$  satisfy

$$(1.1) \quad \frac{f(b) - f(a)}{b - a} = f' \left( \frac{a + b}{2} \right)$$

for all  $b > a$ ?

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**Question 2.** Let us fix a  $\lambda \in (0, 1)$ . Which differentiable functions  $f : \mathbb{R} \rightarrow \mathbb{R}$  satisfy

$$(1.2) \quad \frac{f(b) - f(a)}{b - a} = f'(\lambda a + (1 - \lambda)b)$$

for all  $b > a$ ?

The following theorem is proved in [4].

**Theorem.** *Let us fix a  $\lambda \in (0, 1)$ , and suppose that a (differentiable) function  $f : \mathbb{R} \rightarrow \mathbb{R}$  satisfies the condition*

$$\frac{f(b) - f(a)}{b - a} = f'(\lambda a + (1 - \lambda)b)$$

*for all  $a < b$ . Then  $f$  is a quadratic polynomial. If  $\lambda \neq \frac{1}{2}$ , then  $f$  is a linear polynomial.*

In the proof the authors use **triple** differentiability of  $f$ . It has been known, at least since J. Aczél's result (cf. [1]) that **no regularity** is needed to solve even a more general equation than (1.1). Indeed, Aczél has shown that

$$(1.3) \quad \frac{f(b) - f(a)}{b - a} = g(a + b),$$

has a unique solution and this is of the form

- $f(x) = \alpha x^2 + \beta x + \gamma$
- $g(x) = \alpha x + \beta$

for some constants  $\alpha, \beta$  and  $\gamma$ . Later J. Aczél and M. Kuczma published a paper [2] where they proved, among others, the above result for functions  $f$  and  $g$  defined in an interval  $I \subseteq \mathbb{R}$ .

Functional equations stemming from the Mean Value Theorems have been studied by several mathematicians, let me quote J. Aczél [1], J. Aczél and M. Kuczma [2], S. Haruki [6], B. Kocłęga-Kulpa and T. Szostok [7], [8], [9] and [10], B. Kocłęga-Kulpa, T. Szostok and Sz. Wąsowicz [11] and [12], A. Lisak and M. S. [13], I. Pawlikowska [15], T. Riedel and M. S. [16], M. S. [17] and [18], T. Szostok [23], and quite recent papers by Z. M. Balogh, O. O. Ibrogimov and B. S. Mityagin [3], also M. Schwarzenberger [22], and above all by P. K. Sahoo and T. Riedel in the book [20]. It is astonishing that the authors were unaware of these items, especially the last one.

Let us observe that (1.2) may be written in more general form

$$(1.4) \quad \frac{f(b) - f(a)}{b - a} = g(\lambda a + (1 - \lambda)b)$$

for all  $a < b$ . Here  $f$  and  $g$  are arbitrary functions mapping  $\mathbb{R}$  into itself. Since the left-hand side is symmetric in  $a, b$  we infer that

$$(1.5) \quad \frac{f(b) - f(a)}{b - a} = g((1 - \lambda)a + \lambda b)$$

for all  $b < a$ . Denoting

$$(1.6) \quad m_\lambda(a, b) = \begin{cases} \lambda a + (1 - \lambda)b & \text{if } a < b \\ (1 - \lambda)a + \lambda b & \text{if } a \geq b, \end{cases}$$

we can write (1.4) and (1.5) as

$$\frac{f(b) - f(a)}{b - a} = g(m_\lambda(a, b))$$

for all  $a, b, a \neq b$ , or, after multiplying both sides by  $b - a$  as

$$(1.7) \quad f(b) - f(a) = g(m_\lambda(a, b))(b - a)$$

for all  $a, b \in \mathbb{R}$ .

Let us substitute  $x := m_\lambda(a, b)$  and  $y := b - a$ . This substitution is an automorphism of  $\mathbb{R}^2$  onto itself. Moreover, we have

$$(1.8) \quad \begin{cases} a = x - (1 - \lambda)y \\ b = x + \lambda y \end{cases}$$

if  $y > 0$ , and

$$(1.9) \quad \begin{cases} a = x - \lambda y \\ b = x + (1 - \lambda)y \end{cases}$$

if  $y \leq 0$ . Now, substituting (1.8) or (1.9) into (1.7) we obtain

$$(1.10) \quad g(x)y = \begin{cases} f(x + \lambda y) - f(x - (1 - \lambda)y) & \text{if } y > 0 \\ f(x + (1 - \lambda)y) - f(x - \lambda y) & \text{if } y \leq 0. \end{cases}$$

It is the above equation that we are going to solve.

### 1.1. Solution of (1.10)

Let us introduce the following operator acting in the space of functions of two variables. For every function  $\varphi : (x, y) \mapsto \varphi(x, y)$ , and every pair  $(u, v)$  we put

$$\Gamma_{(u, v)}\varphi(x, y) := \varphi(x + u, y + v) - \varphi(x, y),$$

for  $(x, y) \in \text{Dom}\varphi$  fulfilling  $(x + u, y + v) \in \text{Dom}\varphi$  as well. Observe that in particular we have for  $\varphi(x, y) = g(x)y$  the following

$$\begin{aligned}
 \Gamma_{(u,v)}g(x)y &= g(x+u)(y+v) - g(x)y = \\
 (1.11) \quad &= [g(x+u) - g(x)]y + g(x+u)v = \\
 &= [\Delta_u g(x)]y + g(x+u)v,
 \end{aligned}$$

where  $\Delta$  is the Fréchet difference operator. For arbitrary  $(x, y) \in \mathbb{R}^2$  let us choose arbitrarily  $u_1 \in \mathbb{R}$ , and select a  $v_1 \in \mathbb{R}$  so that  $u_1 + \lambda v_1 = 0$  (resp.  $u_1 + (1 - \lambda)v_1 = 0$ ) depending on whether  $y > 0$  or  $y \leq 0$ . Applying  $\Gamma_{(u_1, v_1)}$  to both sides of (1.10) we obtain

$$(1.12) \quad [\Delta_{u_1} g(x)]y + g(x+u_1)v_1 = \begin{cases} -\Gamma_{(u_1, v_1)}f(x - (1 - \lambda)y) & \text{if } y > 0 \\ -\Gamma_{(u_1, v_1)}f(x - \lambda y) & \text{if } y \leq 0. \end{cases}$$

Now, let choose arbitrarily  $u_2 \in \mathbb{R}$  and select a  $v_2 \in \mathbb{R}$  so that  $u_2 - (1 - \lambda)v_2 = 0$  (resp.  $u_2 - \lambda v_2 = 0$ ) depending on whether  $y > 0$  or  $y \leq 0$ . Applying  $\Gamma_{(u_2, v_2)}$  to both sides of (1.12) we obtain

$$(1.13) \quad [\Delta_{u_2} \Delta_{u_1} g(x)]y + \psi(x, u_1, u_2) = 0,$$

where  $\psi(x, u_1, u_2) = \Delta_{u_1}g(x+u_2)v_2 + \Delta_{u_2}g(x+u_1)v_1$  does not depend on  $y$ . The left-hand side of (1.13) is a polynomial in  $y$ , so we have

$$\Delta_{u_2} \Delta_{u_1} g(x) = 0,$$

for every  $x, u_1, u_2 \in \mathbb{R}$ . But this means (cf. eg. [21]) that there exist a constant  $\beta$  and an additive function  $A_1$  such that

$$(1.14) \quad g(x) = \beta + A_1(x)$$

for every  $x \in \mathbb{R}$ . From (1.7), putting  $a = 0$  and using equalities (1.6) we obtain

$$(1.15) \quad f(b) = f(0) + \begin{cases} g((1 - \lambda)b)b & \text{if } 0 < b \\ g(\lambda b)b & \text{if } 0 \geq b. \end{cases}$$

We insert the general forms (1.14) and (1.15) into (1.10) to obtain among others that

$$\begin{aligned}
 (1.16) \quad A_1(x)y + \beta(y) &= [\beta + A_1((1 - \lambda)(x + \lambda y))](x + \lambda y) - \\
 &\quad - [\beta + A_1((1 - \lambda)(x - (1 - \lambda)y))](x - (1 - \lambda)y)
 \end{aligned}$$

for all  $x > 0$ ,  $y \in \left(0, \frac{x}{1 - \lambda}\right)$ . Rearranging the terms in (1.16), and comparing those containing  $x$  and  $y$  we obtain in particular the equality

$$A_1(\lambda x)y = A_1((1 - \lambda)y)x$$

for all  $x > 0$  and  $y \in \left(0, \frac{x}{1-\lambda}\right)$ . Diving both sides by  $xy$  we get

$$\frac{A_1(\lambda x)}{x} = \frac{A_1((1-\lambda)y)}{y}$$

or

$$(1.17) \quad \lambda \frac{A_1(\lambda x)}{\lambda x} = (1-\lambda) \frac{A_1((1-\lambda)y)}{(1-\lambda)y}$$

for all  $x > 0$  and  $y \in \left(0, \frac{x}{1-\lambda}\right)$ . Fix  $x > 0$  and define  $\alpha := \frac{A_1(\lambda x)}{\lambda x}$ . Putting  $z := (1-\lambda)y$  we get from (1.17)

$$(1.18) \quad (1-\lambda)A_1(z) = \lambda\alpha z$$

for all  $z \in (0, x)$ . Thus  $A_1$  is continuous on an interval  $(0, x)$ , and being additive it has to be so on the whole  $\mathbb{R}$ . From (1.17) we get  $\lambda\alpha = (1-\lambda)\alpha$  or

$$(2\lambda - 1)\alpha = 0.$$

Thus either  $\lambda = \frac{1}{2}$  or  $\alpha = 0$ . In the case  $\lambda = \frac{1}{2}$  we get from (1.17) that  $\frac{A_1(x)}{x} = \frac{A_1(y)}{y}$  for all  $y \in (0, 2x)$  and the linearity of  $A_1$  easily follows.

In other words we proved that if  $(f, g)$  yields a solution to (1.10) then

$$(1.19) \quad g(x) = \begin{cases} \beta & \text{if } \lambda \neq \frac{1}{2} \\ \beta + \alpha x & \text{if } \lambda = \frac{1}{2}. \end{cases}$$

Now, going back to formula (1.15) we see that (with  $\gamma = f(0)$ )

$$(1.20) \quad f(x) = \begin{cases} \gamma + \beta x & \text{if } \lambda \neq \frac{1}{2} \\ \gamma + \beta x + \frac{1}{2}\alpha x^2 & \text{if } \lambda = \frac{1}{2}. \end{cases}$$

We summarize the results of the present section in

**Theorem 1.1.** *Let  $f, g : \mathbb{R} \rightarrow \mathbb{R}$  be functions and let  $\lambda \in (0, 1)$ . The pair  $(f, g)$  satisfies the equation*

$$\frac{f(b) - f(a)}{b - a} = g(\lambda a + (1-\lambda)b)$$

for all  $a < b$  if, and only if,  $f$  and  $g$  are given by (1.20) and (1.19), respectively. In particular,  $g = f'$ .

## 2. Remarks

**Remark 2.1.** We do not assume any regularity of  $f$  or  $g$ . However, some regularity is "tacitly" assumed. Namely, if we considered (1.7) with  $a, b$  belonging to a linear space  $X$  over  $\mathbb{R}$  then clearly  $g(\lambda a + (1 - \lambda)b)$  would belong to the space of additive mappings defined on  $X$ . Usually such a mapping needs not to be linear or continuous (if the space  $X$  is endowed with a topology). In our present case ( $X = \mathbb{R}$ ), following the authors of [4], we assume that acting on the difference  $b - a$  is actually multiplying the coefficient by the argument. This means that the additive mapping  $g(\lambda a + (1 - \lambda)b)$  is highly regular, as highly as possible.

**Remark 2.2.** The main problem was to get (1.7) so that instead of investigating the problem for  $a < b$ , we have obtained an equation holding for **all** arguments from  $\mathbb{R}$ . This has an important meaning for the method of solving. However, in (1.7) we have now an operation  $m_\lambda$  appearing in the argument of  $g$ . This prevents us from directly applying the general theory developed for some general equations for functions with arguments and values in Abelian groups (cf. [21], [18], [15], [13] and especially [19]). In all the mentioned papers the operations appearing in arguments of unknown functions were of the form  $(x, y) \longrightarrow \mu(x) + \nu(y)$  where  $\mu$  and  $\nu$  are homomorphisms.

**Remark 2.3.** We are going to develop further the general theory with operations not necessarily being sums of homomorphisms. The results will be published elsewhere.

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