

# ON THE UNIQUENESS PROBLEM OF NON-ARCHIMEDEAN MEROMORPHIC FUNCTIONS AND THEIR DIFFERENTIAL POLYNOMIALS

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**Abstract.** In this paper, we discuss the uniqueness problem for differential polynomials  $(P^n(f))^{(k)}, (Q^n(g))^{(k)}$ , sharing the same value, where  $P, Q$  are polynomials of Fermat-Waring type,  $f$  and  $g$  are meromorphic functions on a non-Archimedean field.

## 1. Introduction

Let  $\mathbb{K}$  be an algebraically closed field of characteristic zero, complete for a non-Archimedean absolute value. We denote by  $\mathcal{A}(\mathbb{K})$  the ring of entire functions in  $\mathbb{K}$ , by  $\mathcal{M}(\mathbb{K})$  the field of meromorphic functions, i.e., the field of fractions of  $\mathcal{A}(\mathbb{K})$ , and  $\bar{\mathbb{K}} = \mathbb{K} \cup \{\infty\}$ . We assume that the reader is familiar with the notations in the non-Archimedean Nevanlinna theory (see [18 ]). Let  $f$  be a non-constant meromorphic function on  $\mathbb{K}$ . For every  $a \in \mathbb{K}$ , define the function  $\nu_f^a : \mathbb{K} \rightarrow \mathbb{N}$  by

$$\nu_f^a(z) = \begin{cases} 0 & \text{if } f(z) \neq a \\ m & \text{if } f(z) = a \text{ with multiplicity } m, \end{cases}$$

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and set  $\nu_f^\infty = \nu_{\frac{1}{f}}^0$ . For  $f \in \mathcal{M}(\mathbb{K})$  and  $S \subset \mathbb{K} \cup \{\infty\}$ , we define

$$E_f(S) = \bigcup_{a \in S} \{(z, \nu_f^a(z)) : z \in \mathbb{K}\}.$$

Let  $\mathcal{F}$  be a nonempty subset of  $\mathcal{M}(\mathbb{K})$ . Two functions  $f, g$  of  $\mathcal{F}$  are said to *share  $S$ , counting multiplicity*, if  $E_f(S) = E_g(S)$ . Let a set  $S \subset \mathbb{K} \cup \{\infty\}$  and  $f$  and  $g$  be two non-constant meromorphic (entire) functions. If  $E_f(S) = E_g(S)$  implies  $f = g$  for any two non-constant meromorphic (entire) functions  $f, g$ , then  $S$  is called a unique range set for meromorphic(entire) functions or, in brief,  $URSM(URSE)$ . Several interesting results on  $URSE$  and  $URSM$  for non-Archimedean entire and meromorphic functions have been obtained (see [6], [13], [17] and [18]). The smallest unique range set for meromorphic functions has 10 elements and was given by Hu and Yang [17]. Recently, many results were obtained also for differential polynomials, for example, of the form  $(f^n)^{(k)}$  (Khoai, An, and Lai [12]; An, Hoa, and Khoai [3]), and of the form  $(f)^{(\prime)}P'(f)$ , (Boussaf, Escassut and Ojeda [5]). In [12] Khoai, An, and Lai proved the following result.

**Theorem A.** *Let  $f(z)$  and  $g(z)$  be two non-constant meromorphic functions on  $\mathbb{K}$ , and let  $n, k$  be two positive integers with  $n \geq 3k + 8$ . If  $(f^n)^{(k)}$  and  $(g^n)^{(k)}$  share 1 CM, then  $f(z) = tg(z)$  for a constant  $t$  such that  $t^n = 1$ .*

In [22] Yang posed the problem: is it true that the equality  $f^{-1}(S) = g^{-1}(S)$  with  $S = \{-1, 1\}$  for polynomials of the same degree  $f, g$  implies that either  $f = g$  or  $f = -g$ ? This problem was solved in [19] and [20].

In this paper, instead of functions  $f$  and  $g$  we consider differential operators of the form  $(P^n(f))^{(k)}, (Q^n(g))^{(k)}$ , sharing the same value, where  $P, Q$  are polynomials of Fermat-Waring type. Then we establish an uniqueness theorem for non-Archimedean meromorphic functions and their differential polynomials.

Concerning the mentioned above problem of Yang, and related topics (see, for example [20]), we consider the following problem. Let  $S, T$  be the zero sets of polynomials  $P(z), Q(z)$ , respectively, then how we can say about the relations of  $f, g$ , if  $E_f(S) = E_g(T)$ ?

Now let us describe main results of the paper.

Let  $d, m, n, k \in \mathbb{N}^*$  and  $a_1, b_1, c, a_2, b_2 \in \mathbb{K}; a_1, b_1, c, a_2, b_2 \neq 0$ .

We will let

$$(1.1) \quad P(z) = z^d + a_1 z^{d-m} + b_1, \quad Q(z) = z^d + a_2 z^{d-m} + b_2,$$

be polynomials of degree  $d$  of Fermat-Waring type in  $\mathbb{K}[z]$  without multiple zeros. We shall prove the following theorems.

**Theorem 1.** *Let  $f, g$  be two non-constant meromorphic functions on  $\mathbb{K}$  and let  $P(z), Q(z)$  be defined in (1.1). Assume that  $n \geq 3k + 5$ ,  $d \geq 2m + 8$  and either  $m \geq 3$  or  $(d, m) = 1$  and  $m \geq 2$ . If  $(P^n(f))^{(k)}$  and  $(Q^n(g))^{(k)}$  share 1 CM, then  $g = hf$  for a constant  $h$  such that  $h^d = \frac{b_2}{b_1}$ ,  $h^{nd} = 1$ ,  $h^m = \frac{a_2}{a_1}$ .*

**Theorem 2.** *Let  $f, g$  be two non-constant meromorphic functions on  $\mathbb{K}$  and let  $P(z), Q(z)$  be defined in (1.1). Assume that  $d \geq 2m + 8$  and either  $m \geq 3$  or  $(d, m) = 1$  and  $m \geq 2$ . If  $P(f)$  and  $Q(g)$  share 0 CM, then  $g = hf$  for a constant  $h$  such that  $h^d = \frac{b_2}{b_1}$ ,  $h^m = \frac{a_2}{a_1}$ .*

As immediate consequences of Theorem 2, we have

**Corollary 3.** *Let  $S, T$  be the zero sets of the above polynomials  $P(z), Q(z)$ , respectively, and let  $f, g$  be two non-constant meromorphic functions on  $\mathbb{K}$ . Assume that  $d \geq 2m + 8$  and either  $m \geq 3$  or  $(d, m) = 1$  and  $m \geq 2$ . If  $E_f(S) = E_g(T)$ , then  $g = hf$  for a constant  $h$  such that  $h^d = \frac{b_2}{b_1}$ ,  $h^m = \frac{a_2}{a_1}$ .*

**Corollary 4.** *Let  $S$  be the zero sets of the polynomial  $P(z)$ , and let  $f, g$  be two non-constant meromorphic functions on  $\mathbb{K}$ . Assume that  $d \geq 2m + 8$  and  $(d, m) = 1$  and  $m \geq 2$ . If  $E_f(S) = E_g(S)$ , then  $f = g$ .*

## 2. Lemmas

We assume that the reader is familiar with the notations in the non-Archimedean Nevanlinna theory (see [4], [8], [9] and [18]).

We first need the following Lemmas.

**Lemma 2.1.** ([18]) *Let  $f$  be a non-constant meromorphic function on  $\mathbb{K}$  and let  $a_1, a_2, \dots, a_q$ , be distinct points of  $\mathbb{K} \cup \{\infty\}$ . Then*

$$(q-2)T(r, f) \leq \sum_{i=1}^q N_1(r, \frac{1}{f-a_i}) - \log r + O(1).$$

**Lemma 2.2.** ([18]) *Let  $f$  be a non-constant meromorphic function on  $\mathbb{K}$  and let  $a_1, a_2, \dots, a_q$ , be distinct points of  $\mathbb{K} \cup \{\infty\}$ . Suppose either  $f - a_i$  has no zeros, or  $f - a_i$  has zeros, in which case all the zeros of the functions  $f - a_i$  have multiplicity at least  $m_i, i = 1, \dots, q$ . Then*

$$\sum_{i=1}^q (1 - \frac{1}{m_i}) < 2.$$

**Lemma 2.3.** ([12]) *Let  $f$  and  $g$  be non-constant meromorphic functions on  $\mathbb{K}$ . If  $E_f(1) = E_g(1)$ , then one of the following three cases holds:*

1.  $T(r, f) \leq N_2(r, f) + N_2(r, \frac{1}{f}) + N_2(r, g) + N_2(r, \frac{1}{g}) - \log r + O(1)$ , and the same inequality holds for  $T(r, g)$ ;
2.  $fg = 1$ ;
3.  $f = g$ .

**Lemma 2.4.** ([12]) *Let  $f$  be a non-constant meromorphic function on  $\mathbb{K}$  and  $n, k$  be positive integers,  $n > k$  and  $a$  be a pole of  $f$ . Then*

1.  $(f^n)^{(k)} = \frac{\varphi_k}{(z-a)^{np+k}}$ , where  $p = \nu_f^\infty(a)$ ,  $\varphi_k(a) \neq 0$ .
2.  $\frac{(f^n)^{(k)}}{f^{n-k}} = \frac{h_k}{(z-a)^{pk+k}}$ , where  $p = \nu_f^\infty(a)$ ,  $h_k(a) \neq 0$ .

**Lemma 2.5.** *Let  $f, (f)^{(k)}$  be non-constant meromorphic functions on  $\mathbb{K}$  and  $k$  be a positive integer. Then*

$$T(r, (f)^{(k)}) \leq (k+1)T(r, f) + O(1).$$

**Proof.** By Lemma 2.4, and noting that  $m(r, \frac{(f)^{(k)}}{f}) = O(1)$  we get

$$\begin{aligned} T(r, (f)^{(k)}) &= m(r, (f)^{(k)}) + N(r, (f)^{(k)}) \leq \\ &\leq m(r, f) + N(r, f) + kN_1(r, f) + O(1) \leq \\ &\leq T(r, f) + kT(r, f) + O(1) = (k+1)T(r, f) + O(1). \end{aligned}$$

Lemma 2.5 is proved. ■

**Lemma 2.6.** ([12]) *Let  $f$  be a non-constant meromorphic function on  $\mathbb{K}$  and  $n, k$  be positive integers,  $n \geq k+1$ . Then*

$$T(r, f) \leq T(r, f^n)^{(k)} + O(1),$$

*in particular,  $(f^n)^{(k)}$  is not a constant.*

**Lemma 2.7.** ([12]) *Let  $f$  be a non-constant meromorphic function on  $\mathbb{K}$  and  $n, k$  be positive integers,  $n > 2k$ . Then*

1.  $(n-2k)T(r, f) + kN(r, f) + N(r, \frac{1}{(f^n)^{(k)}}) \leq T(r, (f^n)^{(k)}) + O(1)$ ;
2.  $N(r, \frac{1}{(f^n)^{(k)}}) \leq kT(r, f) + kN_1(r, f) + O(1)$ .

**Lemma 2.8.** *Let  $f$  be a non-constant meromorphic function on  $\mathbb{K}$  and  $n, k$  be positive integers,  $n > 2k$ , and let  $P(z)$  be a polynomial of degree  $d > 0$ . Then*

$$\begin{aligned}
 1. & (n-2k)dT(r, f) + kN(r, P(f)) + N(r, \frac{1}{\frac{((P(f))^n)^{(k)}}{(P(f))^{n-k}}}) \leq T(r, ((P(f))^n)^{(k)}) + \\
 & + O(1) \leq (k+1)ndT(r, f) + O(1). \\
 2. & N(r, \frac{1}{\frac{((P(f))^n)^{(k)}}{(P(f))^{n-k}}}) \leq kdT(r, f) + kN_1(r, P(f)) + O(1) = \\
 & = kdT(r, f) + kN_1(r, f) + O(1) \leq k(d+1)T(r, f) + O(1).
 \end{aligned}$$

**Proof.** 1. Set  $A = ((P(f))^n)^{(k)}$ ,  $C = P(f)$ . Then  $T(r, C) = T(r, P(f)) = dT(r, f) + O(1)$ ,  $T(r, P^n(f)) = ndT(r, f) + O(1)$ . Therefore,  $C, C^n$  are not constants. By Lemma 2.6 we see that  $A = (C^n)^{(k)}$  is not a constant. On the other hand, by Lemma 2.7 and Lemma 2.5 we get

$$(n-2k)T(r, C) + kN(r, C) + N(r, \frac{1}{\frac{A}{C^{n-k}}}) \leq T(r, A) + O(1) \leq (k+1)T(r, C^n) + O(1),$$

i.e.

$$\begin{aligned}
 & (n-2k)dT(r, f) + kN(r, P(f)) + N(r, \frac{1}{\frac{((P(f))^n)^{(k)}}{(P(f))^{n-k}}}) \leq \\
 & \leq T(r, ((P(f))^n)^{(k)}) + O(1) \leq (k+1)ndT(r, f) + O(1).
 \end{aligned}$$

2. By Lemma 2.7 we have

$$N(r, \frac{1}{\frac{A}{C^{n-k}}}) \leq kT(r, C) + kN_1(r, C) + O(1).$$

On the other hand,

$$T(r, C) = dT(r, f) + O(1), N_1(r, C) = N_1(r, f) \leq N(r, f) \leq T(r, f) + O(1).$$

Therefore,

$$\begin{aligned}
 & N(r, \frac{1}{\frac{((P(f))^n)^{(k)}}{(P(f))^{n-k}}}) \leq kdT(r, f) + kN_1(r, P(f)) + O(1) = \\
 & \frac{((P(f))^n)^{(k)}}{(P(f))^{n-k}} \\
 & = kdT(r, f) + kN_1(r, f) + O(1) \leq k(d+1)T(r, f) + O(1).
 \end{aligned}$$

Lemma 2.8 is proved. ■

**Lemma 2.9.** *Let  $d \geq 2m+3$  and either  $m \geq 3$  or  $(d, m) = 1$  and  $m \geq 2$ ,  $c \neq 0$ , and let  $P(z), Q(z)$  be defined by (1). Assume that the equation  $P(f) = cQ(g)$  has a non-constant meromorphic solution  $(f, g)$ . Then  $g = hf$  for a constant  $h$  such that  $h^d = \frac{1}{c} = \frac{b_2}{b_1}$ ,  $h^m = \frac{a_2}{a_1}$ .*

**Proof.** Since  $P(f) = Q(g)$  we get

$$f^d + a_1 f^{d-m} + b_1 = c(g^d + a_2 g^{d-m} + b_2), \quad dT(r, f) + O(1) = dT(r, g),$$

$$(2.1) \quad T(r, f) + O(1) = T(r, g).$$

Equation (2.1) can be rewritten as

$$f_1 + f_2 = cb_2 - b_1, \text{ where } f_1 = f^{d-m}(f^m + a_1), \quad f_2 = -cg^{d-m}(g^m + a_2).$$

If  $cb_2 - b_1 \neq 0$ , then by Lemma 2.1, we have

$$\begin{aligned} T(r, f_1) &\leq N_1(r, f_1) + N_1(r, \frac{1}{f_1}) + N_1(r, \frac{1}{f_1 - (cb_2 - b_1)}) - \log r + O(1), \\ dT(r, f) &\leq N_1(r, f) + N_1(r, \frac{1}{f}) + N_1(r, \frac{1}{f^m + a_1}) + N_1(r, \frac{1}{g}) + \\ &\quad + N_1(r, \frac{1}{g^m + a_2}) - \log r + O(1), \\ dT(r, f) &\leq (2m+3)T(r, f) - \log r + O(1), \quad (d-2m-3)T(r, f) \leq \\ &\leq -\log r + O(1), \end{aligned}$$

which contradicts to  $d \geq 2m+3$ . Hence  $cb_2 - b_1 = 0$ . Thus, (2.1) becomes

$$(2.2) \quad f^d + a_1 f^{d-m} = cg^d + ca_2 g^{d-m}.$$

For simplicity, set  $h = \frac{g}{f}$ , and  $\alpha = \frac{1}{c} \neq 0$ ;  $\beta = \frac{a_1}{ca_2} \neq 0$ . Then we obtain

$$f^m(ch^d - 1) = -(ca_2 h^{d-m} - a_1), \quad f^m(h^d - \alpha) = -a_2(h^{d-m} - \beta),$$

$$(2.3) \quad f^m = -a_2 \frac{h^{d-m} - \beta}{h^d - \alpha}.$$

Assume that  $h$  is not a constant. Consider the following possible cases:

**Case 1.**  $m \geq 2$ ,  $(m, d) = 1$ . If  $h^d - \alpha$  and  $h^{d-m} - \beta$  have no common zeros, then all zeros of  $h^d - \alpha$  have multiplicities  $\geq m$ . Then

$$N_1(r, \frac{1}{h^d - \alpha}) \leq \frac{1}{m} N(r, \frac{1}{h^d - \alpha}).$$

By Lemma 2.1 we obtain

$$\begin{aligned} T(r, h^d) &\leq N_1(r, h^d) + N_1(r, \frac{1}{h^d}) + N_1(r, \frac{1}{h^d - \alpha}) - \log r + O(1), \\ dT(r, h) &\leq 2T(r, h) + \frac{1}{m}N(r, \frac{1}{h^d - \alpha}) - \log r + O(1) \leq \\ &\leq (2 + \frac{d}{m})T(r, h) - \log r + O(1), \\ (d - 2 - \frac{d}{m})T(r, h) &\leq -\log r + O(1), \end{aligned}$$

which leads to  $d(m - 1) < 2m$ , a contradiction to the condition  $d \geq 2m + 3$ .

If  $h^d - \alpha$  and  $h^{d-m} - \beta$  have common zeros, then there exists  $z_0$  such that  $h^d(z_0) = \alpha$ ,  $h^{d-m}(z_0) = \beta$ . From (2.3) we get

$$\alpha f^m((\frac{h}{h(z_0)})^d - 1) = -\beta a_2((\frac{h}{h(z_0)})^{d-m} - 1).$$

Since  $(m, d) = 1$ , the equations  $z^d - 1 = 0$  and  $z^{d-m} - 1 = 0$  have different roots, except for  $z = 1$ . Let  $r_i, i = 1, \dots, 2d - m - 2$ , be all the roots of them. Then all zeros of  $\frac{h}{h(z_0)} - r_i$  have multiplicities  $\geq m$ . Therefore, by Lemma 2.2 we obtain

$$(1 - \frac{1}{m})(2d - m - 2) < 2, \quad 2d(m - 1) < m^2 + 3m - 2,$$

which contradicts  $d \geq 2m + 3$ ,  $m \geq 2$ . Thus,  $h$  is a constant.

**Case 2.**  $m \geq 3$ . Note that equation  $z^d - \alpha = 0$  has  $d$  simple zeros, equation  $z^{d-m} - \beta = 0$  has  $d - m$  simple zeros. Then  $z^d - \alpha = 0$ ,  $z^{d-m} - \beta = 0$  have at most  $d - m$  common simple zeros. Therefore, the equation  $z^d - \alpha = 0$  has at least  $m$  distinct roots, which are not roots of  $z^{d-m} - \beta = 0$ . Let  $r_1, r_2, \dots, r_m$  be all these roots. Then all zeros of  $h - r_j, j = 1, \dots, m$ , have multiplicities  $\geq m$ . By Lemma 2.2 we have  $m(1 - \frac{1}{m}) < 2$ . Therefore,  $m < 3$ . From  $m \geq 3$ , we obtain a contradiction. Thus  $h$  is a constant. ■

### 3. Proof of main results

**3.1. Proof of Theorem 1.** We have

$$\begin{aligned} P(f) &= (f - e_1) \cdots (f - e_d), \quad e_i \in \mathbb{K}, \quad e_i \neq 0, \\ (P(f))^n &= (f - e_1)^n \cdots (f - e_d)^n, \\ Q(g) &= (g - k_1) \cdots (g - k_d), \quad k_i \in \mathbb{K}, \quad k_i \neq 0, \\ (Q(g))^n &= (g - k_1)^n \cdots (g - k_d)^n. \end{aligned}$$

Set

$$A = ((P(f))^n)^{(k)}, \quad B = ((Q(g))^n)^{(k)}, \quad C = P(f),$$

$$D = Q(g), \quad F = \frac{A}{C^{n-k}}, \quad Q = \frac{B}{D^{n-k}}.$$

Then

$$C = (f - e_1) \cdots (f - e_d), \quad D = (g - k_1) \cdots (g - k_d),$$

$$A = (C^n)^{(k)} = FC^{n-k}, \quad B = (D^n)^{(k)} = QD^{n-k}.$$

Applying Lemma 2.3 to  $(C^n)^{(k)}, (D^n)^{(k)}$  we have one of the following possibilities:

**Case 1.**

$$T(r, A) \leq N_2(r, A) + N_2(r, \frac{1}{A}) + N_2(r, B) + N_2(r, \frac{1}{B}) - \log r + O(1),$$

$$T(r, B) \leq N_2(r, A) + N_2(r, \frac{1}{A}) + N_2(r, B) + N_2(r, \frac{1}{B}) - \log r + O(1).$$

We see that, if  $a$  is a pole of  $A$ , then  $C(a) = \infty$  with  $\nu_A^\infty(a) \geq n + k \geq 2$ . Therefore,

$$N_1(r, C) = N_1(r, (f - e_1) \cdots (f - e_d)) = N_1(r, f) \leq T(r, f) + O(1),$$

$$N_1(r, \frac{1}{C}) = \sum_{i=1}^d N_1(r, \frac{1}{f - e_i}) \leq dT(r, f) + O(1),$$

$$N_2(r, A) = 2N_1(r, C) \leq 2T(r, f) + O(1),$$

$$N_2(r, \frac{1}{A}) \leq N_2(r, \frac{1}{C^{n-k}}) + N(r, \frac{1}{F}) = 2N_1(r, \frac{1}{C}) + N(r, \frac{1}{F}) \leq$$

$$\leq 2dT(r, f) + N(r, \frac{1}{F}) \leq 2dT(r, f) + kN_1(r, C) +$$

$$+ kdT(r, f) + O(1) = d(k+2)T(r, f) + kN_1(r, C) + O(1).$$

Similarly,

$$N_2(r, B) \leq 2T(r, g) + O(1),$$

$$N_2(r, \frac{1}{B}) \leq 2dT(r, g) + N(r, \frac{1}{Q}) \leq d(k+2)T(r, g) + kN_1(r, D) + O(1).$$

Combining the above inequalities, we get

$$T(r, A) \leq (2+2d+kd)T(r, f) + (2+2d)T(r, g) + kN_1(r, C) + N(r, \frac{1}{Q}) - \log r + O(1),$$



$$T(r, B) \leq (2+2d+kd)T(r, g) + (2+2d)T(r, f) + kN_1(r, D) + N(r, \frac{1}{F}) - \log r + O(1).$$

$$\begin{aligned} T(r, A) + T(r, B) &\leq (4 + 4d + kd)(T(r, f) + T(r, g)) + kN_1(r, C) + \\ &\quad + N(r, \frac{1}{Q}) + kN_1(r, D) + N(r, \frac{1}{F}) - 2 \log r + O(1). \end{aligned}$$

By Lemma 2.8 we obtain

$$(n - 2k)dT(r, f) + kN(r, C) + N(r, \frac{1}{F}) \leq T(r, A) + O(1),$$

$$(n - 2k)dT(r, g) + kN(r, D) + N(r, \frac{1}{Q}) \leq T(r, B) + O(1).$$

Thus,

$$(n - 2k)d(T(r, f) + T(r, g)) + kN(r, C) + N(r, \frac{1}{F}) + kN(r, D) + N(r, \frac{1}{Q}) \leq$$

$$\leq T(r, A) + T(r, B) + O(1),$$

$$(n - 2k)d(T(r, f) + T(r, g)) + kN(r, C) + N(r, \frac{1}{F}) + kN(r, D) + N(r, \frac{1}{Q}) \leq$$

$$\leq (4 + 4d + kd)(T(r, f) + T(r, g)) + kN_1(r, C) + N(r, \frac{1}{Q}) +$$

$$+ kN_1(r, D) + N(r, \frac{1}{F}) - 2 \log r + O(1).$$

Therefore,

$$(n - 2k)d(T(r, f) + T(r, g)) \leq (4 + 4d + kd)(T(r, f) + T(r, g)) - 2 \log r + O(1),$$

$$((n - 2k)d - 4 - 4d - kd)(T(r, f) + T(r, g)) \leq -2 \log r + O(1).$$

Since  $n \geq 3k + 5 > 2k + \frac{4+4d+kd}{d}$ , we obtain a contradiction.

**Case 2.**  $(P(f))^n)^{(k)} ((Q(g))^n)^{(k)} = 1$ . Then we have

$$C = P(f) = (f - e_1) \cdots (f - e_d), (C^n)^{(k)} = C^{n-k} F, D = Q(g).$$

Therefore

$$(f - e_1)^{n-k} \cdots (f - e_d)^{n-k} \cdot F \cdot (D^n)^{(k)} = (C^n)^{(k)} (D^n)^{(k)} = 1.$$

Because  $n \geq 3k + 5$  we see that, if  $z_0$  is a zero of  $f - e_i$  with  $1 \leq i \leq d$ , then  $z_0$  is a zero of  $C$ , and therefore,  $z_0$  is a zero of  $(C^n)^{(k)}$ , and then  $z_0$  is a pole

of  $(D^n)^{(k)}$  and  $\nu_{(D^n)^{(k)}}^\infty(z_0) = (n-k)\nu_f^{e_i}(z_0)$ . Thus,  $z_0$  is a pole of  $g$ , and by Lemma 2.4 we get

$$\nu_{(D^n)^{(k)}}^\infty(z_0) = nd\nu_g^\infty(z_0) + k \geq nd + k.$$

So  $\nu_f^{e_i}(z_0) = \frac{nd\nu_g^\infty(z_0)+k}{n-k} \geq \frac{nd+k}{n-k}, i = 1, 2, \dots, d$ . Applying Lemma 2.2, we obtain:

$$\sum_{i=1}^d \left(1 - \frac{n-k}{nd+k}\right) < 2.$$

From this we have  $n(d^2 - 3d) < 2k(1-d)$ , and so we obtain a contradiction to  $d \geq 12$ .

**Case 3.**  $((P(f))^n)^{(k)} = ((Q(g))^n)^{(k)}$ . Then  $(P(f))^n - s = (Q(g))^n$ , where  $s$  is a polynomial of degree  $< k$ . We prove  $s \equiv 0$ . If it is not the case, then

$$\begin{aligned} \frac{((P(f))^n)}{s} - 1 &= \frac{(g-k_1)^n \cdots (g-k_d)^n}{s}, \\ \frac{(g-k_1)^n \cdots (g-k_d)^n}{s} + 1 &= \frac{(f-e_1)^n \cdots (f-e_d)^n}{s}. \end{aligned}$$

Set  $H = \frac{C^n}{s}, G = \frac{D^n}{s}$ . Since  $f, g$  are not constants, and so are  $C, D, C^n, D^n, H, G$ . Applying Lemma 2.1 to  $H$  with values  $\infty, 0, 1$ , we get

$$T(r, H) \leq N_1(r, H) + N_1(r, \frac{1}{H}) + N_1(r, \frac{1}{H-1}) - \log r + O(1).$$

On the other hand,

$$T(r, C^n) = nT(r, C) + O(1) \leq T(r, H) + T(r, s) \leq T(r, H) + (k-1)\log r + O(1),$$

$$nT(r, C) - (k-1)\log r \leq T(r, H) + O(1), \quad ndT(r, f) - (k-1)\log r \leq T(r, H) + O(1).$$

$$N_1(r, H) \leq N_1(r, C^n) + N_1(r, \frac{1}{s}) \leq N_1(r, f) + (k-1)\log r \leq T(r, f) + (k-1)\log r,$$

$$N_1(r, \frac{1}{H}) \leq N_1(r, \frac{1}{C^n}) = N_1(r, \frac{1}{C}) \leq T(r, C) + O(1) = dT(r, f) + O(1),$$

$$N_1(r, \frac{1}{H-1}) = N_1(r, \frac{1}{G}) \leq N_1(r, \frac{1}{D^n}) = N_1(r, \frac{1}{D}) \leq T(r, D) + O(1) = dT(r, g)$$

$$+ O(1), \quad ndT(r, f) - (k-1)\log r \leq T(r, f) + (k-1)\log r + d(T(r, f) + T(r, g)) + O(1).$$

From this, and noting that  $\log r \leq T(r, f)$ , we get

$$(nd - 2(k-1))T(r, f) \leq T(r, f) + d(T(r, f) + T(r, g)) + O(1).$$

Applying Lemma 2.1 to  $G$  with values  $\infty, 0, -1$ , and noting that  $\log r \leq T(r, g)$  we obtain

$$T(r, G) \leq N_1(r, G) + N_1(r, \frac{1}{G}) + N_1(r, \frac{1}{G+1}) - \log r + O(1),$$

$$ndT(r, g) - (k-1)\log r \leq T(r, g) + (k-1)\log r + d(T(r, f) + T(r, g)) - \log r + O(1),$$

$$(nd - 2(k-1))T(r, g) \leq T(r, g) + d(T(r, f) + T(r, g)) - \log r + O(1).$$

So

$$(nd - 2(k-1))(T(r, f) + T(r, g)) \leq T(r, f) + T(r, g) + 2d(T(r, f) + T(r, g)) - 2\log r + O(1),$$

$$(nd - 2d - 2k + 1)(T(r, f) + T(r, g)) + 2\log r \leq O(1).$$

We obtain a contradiction to  $n \geq 3k + 5 > \frac{2d+2k-1}{d}$ . So  $s = 0$ . Then  $(P(f))^n = (Q(g))^n$ . Therefore,  $P(f) = cQ(g)$ ,  $c^n = 1$ . From this and by Lemma 2.9, we obtain the conclusion of Theorem 1. ■

### 3.2 Proof of Theorem 2. Set

$$C = P(f) = f^d + a_1 f^{d-m} + b_1, \quad D = Q(g) = g^d + a_2 g^{d-m} + b_2,$$

$$M = -\frac{f^{d-m}(f^m + a_1)}{b_1}, \quad N = -\frac{g^{d-m}(g^m + a_2)}{b_2}.$$

Since  $P(f)$  and  $Q(g)$  share 0 CM, we get  $E_M(1) = E_N(1)$ . Applying Lemma 2.3 to  $M, N$ , we have one of the following possibilities:

#### Case 1.

$$T(r, M) \leq N_2(r, M) + N_2(r, \frac{1}{M}) + N_2(r, N) + N_2(r, \frac{1}{N}) - \log r + O(1),$$

$$T(r, N) \leq N_2(r, M) + N_2(r, \frac{1}{M}) + N_2(r, N) + N_2(r, \frac{1}{N}) - \log r + O(1).$$

Moreover,

$$T(r, M) = dT(r, f) + O(1) \quad N_1(r, M) = N_1(r, f) \leq T(r, f) + O(1),$$

$$N_2(r, M) = 2N_1(r, f) \leq 2T(r, f) + O(1),$$

$$N_2(r, \frac{1}{M}) \leq 2N_1(r, \frac{1}{f}) + N_2(r, \frac{1}{f^m + a_1}) \leq 2T(r, f) + mT(r, f) + O(1).$$

Similarly

$$N_2(r, N) \leq 2T(r, g) + O(1), \quad N_2(r, \frac{1}{N}) \leq 2T(r, g) + mT(r, g) + O(1).$$

Therefore,

$$T(r, M) = dT(r, f) + O(1) \leq 4(T(r, f) + T(r, g)) + m(T(r, f) + T(r, g)) - \log r + O(1).$$

Similarly

$$T(r, N) = dT(r, g) + O(1) \leq 4(T(r, f) + T(r, g)) + m(T(r, f) + T(r, g)) - \log r + O(1).$$

Combining the above inequalities we get

$$d(T(r, f) + T(r, g)) \leq 8(T(r, f) + T(r, g)) + 2m(T(r, f) + T(r, g)) - 2 \log r + O(1),$$

$$(d - 2m - 8)(T(r, f) + T(r, g)) + 2 \log r \leq O(1).$$

We obtain a contradiction to  $d \geq 2m + 8$ .

**Case 2.**  $M.N = 1$ , i.e.  $f^{d-m}(f^m + a_1)g^{d-m}(g^m + a_2) = \frac{b_1}{b_2}$ .

Note that equation  $z^m + a_1 = 0$  has  $m$  simple zeros. Let  $r_1, r_2, \dots, r_m$  be all these roots. Therefore

$$(3.1) \quad f^{d-m}(f - r_1) \dots (f - r_m) g^{d-m}(g^m + a_2) = \frac{b_1}{b_2}.$$

From (3.1) it follows that all zeros of  $f - r_j, j = 1, \dots, m$ , have multiplicities  $\geq d$ , and all zeros of  $f$  have multiplicities  $\geq \frac{d}{d-m}$ . By Lemma 2.2 we have  $1 - \frac{d-m}{d} + m(1 - \frac{1}{d}) < 2$ . Then  $m < 2$ . Since  $m \geq 2$ , we obtain a contradiction.

**Case 3.**  $M = N$ , i.e.  $\frac{f^{d-m}(f^m + a_1)}{b_1} = \frac{g^{d-m}(g^m + a_2)}{b_2}$ . Then

$$(3.2) \quad f^d + a_1 f^{d-m} + b_1 = \frac{b_1}{b_2} (g^d + a_2 g^{d-m} + b_2).$$

Applying Lemma 2.9 to (3.2), we obtain we obtain the conclusion of Theorem 2. ■

**3.3 Proof of Corollary 3.** Since  $P(z), Q(z)$  have no multiple zeros, we see that  $E_f(S) = E_g(T)$  if and only if  $P(f)$  and  $Q(g)$  share 0 CM. From this and Theorem 2, we obtain the conclusion of Corollary 3. ■

**3.4 Proof of Corollary 4.** By  $E_f(S) = E_g(S)$  and Corollary 3, we obtain  $g = hf$  for a constant  $h$ , such that  $h^d = \frac{b_2}{b_1}, h^m = \frac{a_2}{a_1}$  with  $b_1 = b_2, a_1 = a_2$ . Therefore,  $h^d = 1$  and  $h^m = 1$ . Because  $(d, m) = 1$  we have  $h = 1$ . So  $f = g$ . ■

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