RESEARCH PROBLEMS IN NUMBER THEORY

Nguyen Cong Hao (Hue, Vietnam)

Imre Kátai and Bui Minh Phong (Budapest, Hungary)

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Abstract. We formulate some open problems, conjectures in the field of arithmetic functions.

1. Notation

Let $\mathcal{P} = \text{set of primes}$; $\mathbb{N} = \text{set of positive integers}$; $\mathbb{Z} = \text{set of integers}$; $\mathbb{Q} = \text{set of rational numbers}$; $\mathbb{R} = \text{set of real numbers}$; $\mathbb{C} = \text{set of complex numbers}$. Let G be an Abelian group, $\mathcal{A}_G = \text{set of additive arithmetical functions}$ mapping into G. $f : \mathbb{N} \to G$ belongs to \mathcal{A}_G , if f(mn) = f(m) + f(n) whenever m, n are coprimes. Let $\mathcal{A}_G^* = \text{set of completely additive arithmetical functions}$, $f : \mathbb{N} \to G$. $f \in \mathcal{A}_G^*$ ($\subseteq \mathcal{A}_G$) if f(mn) = f(m) + f(n) holds for every m and n. We shall write simply $\mathcal{A}, \mathcal{A}^*$ if $G = \mathbb{R}$.

Let \mathcal{M} be the set of multiplicative functions. We say that $g \in \mathcal{M}$ if $g : \mathbb{N} \to \mathbb{C}$ and $g(nm) = g(n) \cdot g(m)$ for every n, m coprime pairs of integers. Let

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 \mathcal{M}^* be the set of completely multiplicative functions. We say that $g: \mathbb{N} \to \mathbb{C}$ belongs to \mathcal{M}^* if $g(nm) = g(n) \cdot g(m)$ holds for every $n, m \in \mathbb{N}$.

p and q, with and without suffixes always denote primes. For some $x \in \mathbb{R}$ let $\{x\}$ be the fractional part of x, and $||x|| = \min(\{x\}, 1 - \{x\})$.

2. On the iteration of multiplicative functions

Let ϑ be a completely multiplicative function taking positive integer values. We shall define a directed graph G_{ϑ} on the set of primes according to the following rule: if q is a prime divisor of $\vartheta(p)$ then we lead an edge from p to q. Let E_p denote the set of those primes q which can be reached from p walking on G_{ϑ} . Let furthermore K be the set of that primes which are located on some circles.

The properties of K and E_p were investigated in [17], [35], [18] in the case $\vartheta(p) = p + a$, $a \in \mathbb{N}$. It was proved that K is a finite set, and that for every prime p there is a k such that all the prime factors of $\vartheta^{(k)}(p)$ belong to K. Here $\vartheta^{(k)}(n)$ is the k-fold iterate of $\vartheta(n)$, i.e. $\vartheta^{(0)}(n) = n$, $\vartheta^{(k+1)}(n) = \vartheta(\vartheta^{(k)}(n))$.

Conjecture 2.1. Let ϑ be a completely multiplicative function defined at prime places p by $\vartheta(p) = ap+b$, where $a \ge 2$, $2a+b \ge 1$, a, b be integers, (a,b) = 1. Then

(1) E_p is a finite set for every $p \in \mathcal{P}$, (2) K is a finite set.

Conjecture 2.2. Let ϑ be completely multiplicative, $\vartheta(p) = p^2 + 1$. Then K is infinite, and there is a $q \in \mathcal{P}$ for which E_q is an infinite set.

3. $\log n$ as an additive function

It is clear that $c \log n$ is an additive function. Erdős proved in [12] that if an additive function f(n) satisfies $f(n + 1) - f(n) \to 0$ $(n \to \infty)$, or $f(n + 1) \ge f(n)$ $(n \in \mathbb{N})$, then $f(n) = c \log n$. In the same paper Erdős formulated the conjecture that

$$\frac{1}{x}\sum_{n\leq x}|f(n+1) - f(n)| \to 0 \qquad (x\to\infty)$$

implies that $f(n) = c \log n$. This was proved by I. Kátai [19], and in a more general form by E. Wirsing ([38], [39]).

Iványi and Kátai proved the following result in [16].

If f(n) is a completely additive function, $N_1 < N_2 < \ldots$ an infinite sequence of integers, $\varepsilon > 0$ is an arbitrary positive constant, such that

 $f(n) \le f(n+1)$ when $n \in [N_j, N_j + (2+\varepsilon)\sqrt{N_j}],$

 $j = 1, 2, \dots$, then f(n) is a constant multiple of $\log n$ (Theorem 1 in [16]).

Let $\Psi(N) = \exp\left(\frac{c \log N}{\log \log N}\right)$, c > 0 an absolute constant.

They proved: if f is an additive function,

$$f(n) \le f(n+1)$$
 in $[N_j, N_j + \Psi(N_j)\sqrt{N_j}]$

for $j = 1, 2, ..., where N_1 < N_2 < ... (N_j \to \infty)$, then f(n) is a constant multiple of log n.

Recently, it is proved in [3] that if f(n) is a completely additive function, c, d are positive integers with c > 2d, an infinite sequence of integers $1 < N_1 < N_2 < \ldots$ and an infinite sequence of reduced residues $\ell_1 \pmod{d}$, $\ell_2 \pmod{d}$, \ldots satisfies the relation

 $f(n) \leq f(n+d)$ if $n \in [N_{\nu}, N_{\nu} + c\sqrt{N_{\nu}}]$ and $n \equiv \ell_{\nu} \pmod{d}$ $(\nu \in \mathbb{N})$, then there exists a constant c such that $f(n) = c \log n$ for all $n \in \mathbb{N}$, (n, d) = 1.

Conjecture 3.1. If f(n) is an additive function such that

 $f(n) \le f(n+1)$ for $n \in [N_j, N_j + N_j^{\varepsilon}]$,

where $N_j \to \infty$, and $\varepsilon > 0$ is an arbitrary constant, then $f(n) = c \log n$.

Wirsing proved that $f \in \mathcal{A}^*$, $f(n+1) - f(n) = o(\log n)$ $(n \to \infty)$ implies that $f(n) = c \log n$ ([39]). This theorem is very deep, it is based upon the Bombieri-Vinogradov theorem. Hence we could deduce simply that, if $f, g \in \mathcal{A}^*$, $g(n+1) - f(n) = o(\log n)$ $(n \to \infty)$, then $f(n) = g(n) = c \log n$.

In some of his papers Kátai asked for a characterization of those $f_i \in \mathcal{A}$ (i = 1, ..., k) which satisfy

(3.1)
$$l(n) := f_1(n+1) + f_2(n+2) + \ldots + f_k(n+k) \to 0$$
 as $n \to \infty$.

Conjecture 3.2. Assume that $f_1, \ldots, f_k \in \mathcal{A}$, and $l(n) \to 0$ $(n \to \infty)$. Then there exist appropriate constants c_1, \ldots, c_k , and $v_1, \ldots, v_k \in \mathcal{A}$, such that $f_i(n) = c_i \log n + v_i(n)$, where $c_1 + \cdots + c_k = 0$,

(3.2)
$$\sum_{i=1}^{k} v_i(n+i) = 0 \qquad (n = 0, 1, 2, \ldots),$$

and v_1, \ldots, v_k are of finite support.

Definition 1. An additive function f is said to be of finite support, if $f(p^{\alpha}) = 0$ ($\alpha = 1, 2, ...$) holds for all but finitely many primes p.

The conjecture is true in the special case, when $f_i(n) = \lambda_i f_1(n)$, where $(1 =)\lambda_1, \lambda_2, \ldots, \lambda_k$ are constants. This assertion has been proved by Elliott [10], and by Kátai [20]. Let E be the shift operator E defined over $\{a_n\}$, by $a'_n := Ea_n = a_{n+1}$ $(n = 1, 2, \ldots)$. If $P(x) = \lambda_0 + \lambda_1 x + \ldots + \lambda_k x^k \in \mathbb{R}[x]$, then let $(a'_n :) = P(E)a_n := \sum_{j=0}^k \lambda_j a_{n+j}$. The developed method was suitable to prove the following assertions:

I. If $P(x) \in \mathbb{R}[x]$, $f \in \mathcal{A}$, and

$$\frac{1}{x}\sum_{n\leq x}|P(E)f(n)|\to 0,$$

then $f(n) = c \log n + u(n)$, where P(E)u(n) = 0 (n = 1, 2, ...). If $P(1) \neq 0$, then c = 0. Furthermore, u is of finite support.

II. If $f \in \mathcal{A}^*, P(x) \in \mathbb{R}[x]$, and $P(E)f(n) = o(\log n)$ as $n \to \infty$, then $f(n) = c \log n$.

For further generalization of these questions see the excellent book of Elliott [11].

4. Characterization of n^s as a multiplicative function $\mathbb{N} \to \mathbb{C}$

In a series of papers [21-26] there were considered functions $f \in \mathcal{M}$ under the conditions that $\Delta f(n) = f(n+1) - f(n)$ tends to zero in some sense. There were determined all the functions $f, g \in \mathcal{M}$ for which the relation

(4.1)
$$\sum_{n=1}^{\infty} \frac{1}{n} |g(n+k) - f(n)| < \infty$$

with some fixed $k \in \mathbb{N}$ holds. In the special case k = 1, $f, g \in \mathcal{M}^*$ the relation (4.1) implies that either

$$\sum \frac{|f(n)|}{n} < \infty, \quad \sum \frac{|g(n)|}{n} < \infty,$$

or

$$f(n) = g(n) = n^{\sigma - i\tau}, \quad \sigma, \tau \in \mathbb{R}, \quad 0 \le \sigma < 1.$$

Hence it follows especially that

$$\sum_{n=1}^{\infty} \frac{1}{n} |\lambda(n+1) - \lambda(n)| = \infty,$$

where $\lambda(n)$ is the Liouville function, i.e. $\lambda \in \mathcal{M}^*$, $\lambda(p) = -1$ for every $p \in \mathcal{P}$.

In [13-15] the following assertion has been proved: if $f, g \in \mathcal{M}^*$ and

$$\sum_{n \le x} |g(n+1) - f(n)| = O(x),$$

then either $\sum_{n \le x} |f(n)| = O(x)$, $\sum_{n \le x} |g(n)| = O(x)$, or

$$f(n) = g(n) = n^s, \quad 0 \le Re \ s < 1.$$

Conjecture 4.1. Let $f, g \in \mathcal{M}$, $k \in \mathbb{N}$ such that $\liminf \frac{1}{x} \sum_{n \leq x} |f(n)| > 0$,

and

(4.2)
$$\frac{1}{x}\sum_{n\leq x}|g(n+k)-f(n)|\to 0 \qquad (x\to\infty).$$

Then there exist $U, V \in \mathcal{M}$ and $s \in \mathbb{C}$ with $0 \leq \text{Re } s < 1$ such that $f(n) = U(n) \cdot n^s$, $g(n) = V(n) \cdot n^s$, and

$$(4.3) V(n+k) = U(n) (n \in \mathbb{N})$$

holds.

Even a complete solution of (4.3) is not trivial. (4.3) was treated and all solutions found in the papers [28], [29], [30].

Celebrating P. Erdős on his 70th anniversary in a conference in Ootacamund (India) Kátai gave a talk, proving that $f \in \mathcal{M}$, $|\Delta f(n)|(\log n)^2 = O(1)$ implies that either $f(n) = n^s$, $0 \leq Re \ s < 1$, or $f(n) \to 0$, and formulated the conjecture:

Conjecture 4.2. If $f \in \mathcal{M}$, $\Delta f(n) \to 0$ $(n \to \infty)$, then either $f(n) = n^s$, $0 \leq \operatorname{Re} s < 1$, or $f(n) \to 0$ $(n \to \infty)$.

This conjecture was proved by E. Wirsing. He sent the proof to Kátai [40]. Tang Yuansheng and Shao Pintsung, being unaware of the existing proof of his conjecture, gave an independent proof. They have written a paper together with Wirsing [42]. In a paper of B. M. Phong and I. Kátai [30] they characterized all those $f, g \in \mathcal{M}$ for which $g(n+k) - f(n) \to 0$ $(n \to \infty)$.

As an immediate consequence of the theorem of E. Wirsing the following assertion is true:

If $F(n) \in \mathcal{A}$, and $\parallel \Delta F(n) \parallel \to 0$, then either $\parallel F(n) \parallel \to 0$ or $F(n) - \tau \log n \equiv O \pmod{1}$ for every n, with suitable $\tau \in \mathbb{R}$.

Conjecture 4.3. Let f be a completely multiplicative function, |f(n)| = 1 $(n \in \mathbb{N})$, $\delta_f(n) = f(n+1)\overline{f}(n)$.

Let $\mathcal{A}_k = \{\alpha_1, \ldots, \alpha_k\}$ be the set of limit points of $\{\delta_f(n)|n \in \mathbb{N}\}$. Then $\mathcal{A}_k = S_k$, where S_k is the set of k'th complex units, i.e. $S_k = \{w|w^k = 1\}$, furthermore $f(n) = n^{i\tau}F(n)$ with a suitable $\tau \in \mathbb{R}$, and $F(N) = S_k$, and for every $w \in S_k$ there exists a sequence $n_{\nu} \nearrow \infty$ such that $F(n_{\nu} + 1)\overline{F}(n_{\nu}) = w$ $(\nu = 1, 2, \ldots)$.

The motivation of this problem, and partial results can be read in [31], [32]. E. Wirsing [41] proved a very important result by proving that if the conditions of Conjecture 4.3 are satisfied, then $f(n) = n^{i\tau}F(n)$, and $F^l(n) = 1$ $(n \in \mathbb{N})$ holds with a fixed l. He was not able to prove that l = k. Even he proved this theorem in the more general setting of additive functions mapping into a locally compact Abelian group.

5. Additive functions (mod 1)

Let $T = \mathbb{R}/\mathbb{Z}$. We say that $F \in \mathcal{A}_T$ (= set of additive functions mapping into T) is of finite support if $F(p^{\alpha}) = 0$ holds for every large prime p.

Let $F_0, F_1, \ldots, F_{k-1} \in \mathcal{A}_T$, and

(5.1)
$$L_n(F_0, \dots, F_{k-1}) := F_0(n) + F_1(n+1) + \dots + F_{k-1}(n+k-1).$$

Conjecture 5.1. Let \mathcal{L}_0 be the space of those k-tuples (F_0, \ldots, F_{k-1}) , $F_{\nu} \in \mathcal{A}_T$ $(\nu = 0, \ldots, k-1)$ for which

$$L_n(F_0,\ldots,F_{k-1}) = 0 \qquad (n \in \mathbb{N})$$

holds. Then every F_{ν} is of finite support, and \mathcal{L}_0 is a finite dimensional \mathbb{Z} module.

The function $F(n) := \tau \log n \pmod{1}$ can be extended to $\mathbb{R}_x(=$ multiplicative group of positive reals) continuously, namely by defining $F(x) := \tau \log x \pmod{1}$. We say that $\tau \log n = F(n)$ is the restriction of a continuous homomorphism from \mathbb{R}_x to \mathbb{N} .

It is clear that if $\tau_0, \ldots, \tau_{k-1}$ are such that $\tau_0 + \ldots + \tau_{k-1} = 0$, then

$$L_n(\tau_0 \log_{\cdot}, \tau_1 \log_{\cdot}, \ldots, \tau_{k-1} \log_{\cdot}) \to 0 \qquad (n \to \infty).$$

Conjecture 5.2. If $F_{\nu} \in \mathcal{A}_T$ $(\nu = 0, \dots, k-1)$,

$$L_n(F_0,\ldots,F_{k-1})\to 0 \quad (n\to\infty),$$

then there exist suitable real numbers $\tau_0, \ldots, \tau_{k-1}$ such that $\tau_0 + \ldots + \tau_{k-1} = 0$, and if $H_j(n) := F_j(n) - \tau_j \log n$, then

$$L_n(H_0, \ldots, H_{k-1}) = 0 \quad (n = 1, 2, \ldots).$$

Remarks.

- 1. Conjecture 5.2 for k = 1 can be deduced from Wirsing's theorem.
- 2. Conjecture 5.1 for k = 3 was proved assuming that F_{ν} are completely additive ([27]).
- 3. Conjecture 5.1 for k = 4, 5 was proved assuming that F_{ν} are completely additive functions which are defined in the set of non-zero integers by $F_{\nu}(-n) := F_{\nu}(n) \quad (n \in \mathbb{N}) \text{ and } F_{\nu}(0) := 0 \ ([1], [34]).$
- 4. Conjecture 5.1 for k = 2 has been proved by R. Styer [36].
- 5. Marijke van Rossum treated similar problems for functions defined on the set of Gaussian integers. See [37], [33].
- 6. It is proved in [2] that if an additive commutative semigroup \mathbb{G} (with identity element 0) and \mathbb{G} -valued completely additive functions f_0 , f_1 , f_2 satisfy the relation $f_0(n) + f_1(2n+1) + f_2(n+2) = 0$ for all $n \in \mathbb{N}$, then $f_0(n) = f_1(2n+1) = f_2(n) = 0$ for all $n \in \mathbb{N}$. The same result is proved when the relation $f_0(n) + f_1(2n-1) + f_2(n+2) = 0$ holds for all $n \in \mathbb{N}$.

Let K be the closure of the set $\{L_n(F_0, \ldots, F_{k-1}) | n \in \mathbb{N}\}$.

Conjecture 5.3. If $F_0, \ldots, F_{k-1} \in \mathcal{A}_T^*$ and K contains an element of infinite order, then K = T.

6. Characterizations of continuous homomorphism as elements of \mathcal{A}_G , where G is a compact Abelian group

This topic is investigated in a series of papers written by Z. Daróczy and I. Kátai [4]-[9].

Assume in §6 that G is a metrically compact Abelian group supplied with some translation invariant metric ϱ . An infinite sequence $\{x_n\}_{n=1}^{\infty}$ in G is said to belong to \mathcal{E}_D , if for every convergent subsequence x_{n_1}, x_{n_2}, \ldots the "shifted subsequence" $x_{n_1+1}, x_{n_2+1}, \ldots$ is convergent, too. Let \mathcal{E}_Δ be the set of those sequences $\{x_n\}_{n=1}^{\infty}$ for which $\Delta x_n = x_{n+1} - x_n \to 0$ $(n \to \infty)$ holds. Then $\mathcal{E}_\Delta \subseteq \mathcal{E}_D$. We say that $f \in \mathcal{A}_G^*$ belongs to $\mathcal{A}_G^*(\Delta)$ (resp. $\mathcal{A}_G^*(\mathcal{D})$) if the sequence $\{f(n)\}_{n=1}^{\infty}$ belongs to \mathcal{E}_Δ (resp. \mathcal{E}_D).

The following results are proved:

1. $\mathcal{A}_G^*(\Delta) = \mathcal{A}_G^*(\mathcal{D}).$

2. If $f \in \mathcal{A}_{G}^{*}(\mathcal{D})$, then there exists a continuous homomorphism $\Phi : \mathbb{R}_{x} \to G$ such that $f(n) = \Phi(n)$ for every $n \in \mathbb{N}$.

The proof is based upon the theorem of Wirsing [42].

The set of all limit points of $\{f(n)\}_{n=1}^{\infty}$ form a compact subgroup in G which is denoted by S_f .

3. $f \in \mathcal{A}_{G}^{*}(\mathcal{D})$ if and only if there exists a continuous functions $H: S_{f} \to S_{f}$ such that $f(n+1) - H(f(n)) \to 0$ as $n \to \infty$.

Now we formulate the main unsolved problems.

Let $f_j \in \mathcal{A}_{G_j}$ (j = 0, 1, ..., k - 1), and consider the sequence $e_n := \{f_0(n), f_1(n+1), \ldots, f_{k-1}(n+k-1)\}$. Then $e_n \in S_{f_0} \times S_{f_1} \times \ldots \times S_{f_{k-1}} = U$. What can we say about the functions f_j , if the set of limit points of e_n is not everywhere dense in U?

Conjecture 6.1. Let $f \in \mathcal{A}_T^*$, $S_f = T$, $e_n := (f(n), \ldots, f(n+k-1))$. Then either $\{e_n | n \in \mathbb{N}\}$ is everywhere dense in $T_k = T \times \ldots \times T$, or $f(n) = \lambda \log n$ (mod \mathbb{Z}) with some $\lambda \in \mathbb{R}$.

Conjecture 6.2. Let $f, g \in \mathcal{A}_T^*$, $S_f = S_g = T$, $e_n := (f(n), g(n+1))$. If e_n is not everywhere dense in T^2 , then f and g are rationally dependent continuous characters, i.e. there exists $\lambda \in \mathbb{R}$, $s \in \mathbb{Q}$ such that g(n) = sf(n) (mod \mathbb{Z}), $f(n) = \lambda \log n \pmod{\mathbb{Z}}$.

7. A conjecture on primes

Conjecture 7.1. For every integer $k \ge 1$ there exists a constant c_k such that for every prime p greater than c_k

(7.1)
$$\min_{\substack{j=1,...,p-1 \ l = -k, \dots, k \\ l \neq 0}} \max_{\substack{p = 1,...,p-1 \ l \neq 0, \\ l \neq 0}} P(jp+l) < p.$$

Here P(n) is the largest prime factor of n. This problem is unsolved even in the case k = 2. Some heuristic arguments support our opinion that Conjecture 7.1 is true. Hence Conjecture 5.1 it would follow.

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Nguyen Cong Hao	Imre Kátai and Bui Minh Phong
Hue University	Eötvös Loránd University
3 Le Loi	Pázmány Péter sét. $1/C$
Hue City, Vietnam	H-1117 Budapest, Hungary
nchao@hueuni.vn	katai@compalg.inf.elte.hu
	bui@compalg.inf.elte.hu