

## EXPONENTIAL SUM ON ALGEBRAIC VARIETY

$$\frac{\alpha}{f_1(x, y)} + \frac{\beta}{f_2(X, Y)} = 1$$

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*Dedicated to Professor Imre Kátai on his 70th birthday*

**Abstract.** Let  $f_i(x, y) \in \mathbb{F}_q[x, y]$ ,  $i = 1, 2$ , be quadratic polynomials. We obtain nontrivial estimates for exponential sums on the algebraic variety  $\alpha f_1^{-1}(x, y) + \beta f_2^{-1}(X, Y) = 1$ , where  $\alpha, \beta \in \mathbb{F}_q$ .

### 1. Introduction

Let  $\mathbb{F}_q$ ,  $q = p^r$  be a finite field and let  $f(x, y)$ ,  $f_1(x, y)$ ,  $f_2(x, y)$  be quadratic polynomials over  $\mathbb{F}_q$ . For  $\alpha, \beta \in \mathbb{F}_q$  we define the algebraic variety

$$V(\alpha, \beta) = \left\{ (x, y, X, Y) \in \mathbb{F}_q^4 \mid \frac{\alpha}{f_1(x, y)} + \frac{\beta}{f_2(X, Y)} = 1 \right\}.$$

Let  $\chi$  be an additive character of field  $\mathbb{F}_q$ ,  $r, s \in \mathbb{F}_q$  with the condition  $r \neq 0$  or  $s \neq 0$ . Consider the exponential sum

$$S(\alpha, \beta) = \sum_{(x, y, X, Y) \in V(\alpha, \beta)} \chi(rx + sy + rX + sY).$$

Let  $\mathbb{F}_{q^n}$  be an extension of the field  $\mathbb{F}_q$  of degree  $n$ . For  $x \in \mathbb{F}_{q^n}$  we put

$$Tr(x) = x + x^q + \cdots + x^{q^{n-1}}, \quad Tr(x) \in \mathbb{F}_q.$$

We denote by  $\chi_n$  an extension of a character  $\chi$  in the field  $\mathbb{F}_{q^n}$ , i.e. for every  $x \in \mathbb{F}_{q^n}$   $\chi_n(x) := \chi(\text{Tr}(x))$ .

We define the algebraic variety

$$V_n(\alpha, \beta) = \left\{ (x, y, X, Y) \in \mathbb{F}_{q^n}^4 \mid \frac{\alpha}{f_1(x, y)} + \frac{\beta}{f_2(X, Y)} = 1 \right\},$$

$$S_n(\alpha, \beta) = \sum_{(x, y, X, Y) \in V_n(\alpha, \beta)} \chi_n(rx + sy + rX + sY).$$

Consider the function

$$\zeta(V(\alpha, \beta), t) = \exp \left( \sum_{n=1}^{\infty} s_n(\alpha, \beta) \frac{t^n}{n} \right).$$

From the paper of B. Dwork [1] it follows that  $\zeta(V(\alpha, \beta), t)$  is a rational function  $\frac{h(t)}{g(t)}$ , where  $h(t)$ ,  $g(t)$  relatively prime polynomials are with complex coefficients. We denote by  $\omega_1^{-1}, \dots, \omega_\ell^{-1}$  and  $\omega_{\ell+1}^{-1}, \dots, \omega_k^{-1}$  the roots of  $g(t)$  and  $h(t)$  (respectively). Moreover, the following equality

$$S_n(\alpha, \beta) = \omega_1^n + \dots + \omega_\ell^n - \omega_{\ell+1}^n - \dots - \omega_k^n, \quad n = 1, 2, \dots$$

holds. The complex numbers  $\omega_1, \dots, \omega_k$  are called the characteristic roots of the sum  $S(\alpha, \beta)$ .

The aim of this paper to construct an estimate for  $S(\alpha, \beta)$ .

B. Birch and E. Bombieri [1] obtained the estimate  $S(\alpha, \beta) \ll q^{\frac{3}{2}}$  in the case  $f_1(x, y) = f_2(x, y) = xy$ . This permitted to obtain the asymptotic formulae for the summatory function for  $\tau_3(n)$  in an arithmetic progression (see [7], [9]). Gunyavý [8] investigated the distribution of values of the function

$$\tilde{\tau}_3(n) = \sum_{n=(u^2+v^2)\omega} 1$$

in an arithmetic progression using the estimate  $S(\alpha, \beta) \ll q^{\frac{3}{2}}$  in the case  $f_1(x, y) = f_2(x, y) = x^2 + y^2$ .

In the sequel we shall use the following notation:

$$f(x, y) = c_{11}x^2 + 2c_{12}xy + c_{22}y^2 + 2c_{13}x - 2c_{23}y + c_{33}, \quad c_{ij} \in \mathbb{F}_q;$$

$$\omega = c_{11}s^2 - 2c_{12}sr + c_{22}r^2, \quad \delta = \begin{vmatrix} c_{11} & c_{12} \\ c_{12} & c_{22} \end{vmatrix}, \quad \Delta = \begin{vmatrix} c_{11} & c_{12} & c_{13} \\ c_{12} & c_{22} & c_{23} \\ c_{13} & c_{23} & c_{33} \end{vmatrix}.$$

The polynomials  $f_1(x, y)$ ,  $f_2(x, y)$  are defined similarly, their coefficients denoted by  $a_{ij}$ ,  $b_{ij}$  and parameters by  $\omega_1$ ,  $\delta_1$ ,  $\Delta_1$  and  $\omega_2$ ,  $\delta_2$ ,  $\Delta_2$ , respectively. We shall suppose that even one of coefficients  $c_{11}$ ,  $c_{12}$  or  $c_{22}$  differs from 0.

We define for every  $c \in \mathbb{F}_q$

$$K_f(c) := \sum_{\substack{x, y \in \mathbb{F}_q \\ f(x, y) = c}} \chi(rx + sy).$$

We shall distinguish seven cases:

1.  $\delta \neq 0$ ,  $\omega \neq 0$ ,  $\Delta = 0$ ;
2.  $\delta \neq 0$ ,  $\omega \neq 0$ ,  $\Delta \neq 0$ ;
3.  $\delta \neq 0$ ,  $\omega = 0$ ;
4.  $\delta = 0$ ,  $\omega \neq 0$ ,  $\Delta = 0$ ;
5.  $\delta = \omega = \Delta = 0$ ;
6.  $\delta = 0$ ,  $\omega = 0$ ,  $\Delta \neq 0$ ;
7.  $\delta = 0$ ,  $\omega \neq 0$ ,  $\Delta \neq 0$ .

Further if in the variety  $V(\alpha, \beta)$  the polynomial  $f_1(x, y)$  belongs to a case  $i$ ) and the polynomial  $f_2(x, y)$  belongs to a case  $j$ ), then we denote this case by  $(i, j)$ . Furthermore,  $(i, *)$  is the union of the cases  $(i, j)$ ,  $j = 1, \dots, 7$ .

The following statement is the main result of this paper.

**Theorem.** *There exist absolute constants  $c_0$  and  $c_1$  such that for  $p > c_0$  the following estimates*

$$S(\alpha, \beta) \ll \begin{cases} q\sqrt{q} & \text{for the cases } (7,1), (7,2), (1,1), (2,2), (7,7); \\ q^2 & \text{for the cases } (7,5), (5,1), (5,2); \\ q^2\sqrt{q} & \text{for the case } (5,5) \end{cases}$$

hold. Moreover,

$$S(\alpha, \beta) = 0 \quad \text{for the cases } (4, *), (6, *);$$

and for the cases  $(3, *)$

$$S(\alpha, \beta) = \begin{cases} \chi(ra_1 + sa_2) \cdot (K_{f_2}(0) + K_{f_2}(\beta)) & \text{if } \alpha = \frac{\Delta_1}{\delta_1}; \\ \chi(ra_1 + sa_2) \left( K_{f_2}(0) + K_{f_2}(\beta) + qK_{f_2} \left( \frac{\beta\Delta_1}{\Delta_1 - \alpha\delta_1} \right) \right) & \text{if } \alpha \neq \frac{\Delta_1}{\delta_1}. \end{cases}$$

## 2. Some lemmas

**Lemma 1.** (Deligne [4], [5]) *For the characteristic roots  $\omega_j$  we have the equality*

$$|\omega_j| = q^{\frac{m_j}{2}}, \quad m_j \in \mathbb{N} \cup \{0\}, \quad j = 1, \dots, k.$$

*Moreover, all conjugates with  $\omega_j$  over  $\mathbb{Q}$  have equal modules (number  $m_j$  is called the weight of root  $\omega_j$ ).*

**Lemma 2.** (Bombieri [2]) *Let  $f(x, y)$  be an absolutely irreducible polynomial over  $\mathbb{F}_p$ . Then*

$$\sum_{\substack{x, y \in \mathbb{F}_q^n \\ f(x, y) = 0}} \chi_n(rx + sy) \ll q^{\frac{n}{2}}.$$

**Lemma 3.** *Let  $f(x, y, z) \in \mathbb{F}_q[x, y, z]$  and let  $V$  be an algebraic variety, defined by the polynomial  $f$ . Suppose that for  $\alpha, \beta, \gamma \in \mathbb{F}_p$  and for all  $\tau \in \mathbb{F}_p$  except for  $O(1)$  values of them, the polynomial*

$$\varphi_\tau(x, y) = f(x, y, \tau\gamma^{-1} - \alpha\gamma^{-1}x - \beta\gamma^{-1}y)$$

*is absolutely irreducible over  $\mathbb{F}_p$ . Then*

$$\sum_{(x, y, z) \in V \cap \mathbb{F}_p^3} e^{2\pi i \frac{\tau r(\alpha x + \beta y + \gamma z)}{p}} \ll q.$$

**Proof.** We shall follow the scheme of C. Hooley [10].

Let us consider

$$M(\alpha, \beta, \gamma) = \sum_{\mu \in \mathbb{F}_q^*} |S(\mu\alpha, \mu\beta, \mu\gamma)|^2 = \sum_{\mu \in \mathbb{F}_q^*} \left| \sum_{(x, y, z) \in V} e^{2\pi i \frac{\tau r(\mu\alpha x + \mu\beta y + \mu\gamma z)}{p}} \right|^2$$

Let  $N(\tau)$  be the number of solutions of the system of the equations

$$f(\xi, \eta, \zeta) = 0, \quad \alpha\xi + \beta\eta + \gamma\zeta = \tau \quad (\tau \in \mathbb{F}_q).$$

Put

$$\bar{N} = \frac{1}{q} \sum_{\tau \in \mathbb{F}_q} N(\tau).$$

Then, we have (since  $\sum_{\tau \in \mathbb{F}_q} \chi(\tau) = 0$ )

$$S(\mu\alpha, \mu\beta, \mu\gamma) = \sum_{\tau \in \mathbb{F}_q} N(\tau) \chi(\mu\tau) = \sum_{\tau \in \mathbb{F}_q} (N(\tau) - \bar{N}) \chi(\mu\tau).$$

Hence,

$$\begin{aligned} M &= M(\alpha, \beta, \gamma) = \sum_{\mu \in \mathbb{F}_q^*} \sum_{\tau_1, \tau_2} (N(\tau_1) - \bar{N})(N(\tau_2) - \bar{N}) e^{2\pi i \frac{Tr(\mu(\tau_1 - \tau_2))}{p}} = \\ &= (q-1) \sum_{\tau \in \mathbb{F}_q} (N(\tau) - \bar{N})^2 + \sum_{\tau_1 \neq \tau_2} (N(\tau_1) - \bar{N})(N(\tau_2) - \bar{N}) \sum_{\mu \in \mathbb{F}_q^*} e^{2\pi i \frac{Tr(\mu)}{p}} = \\ &= q \sum_{\tau \in \mathbb{F}_q} (N(\tau) - \bar{N})^2 - \sum_{\tau_1, \tau_2 \in \mathbb{F}_q} (N(\tau_1) - \bar{N})(N(\tau_2) - \bar{N}) = \\ &= q \sum_{\tau \in \mathbb{F}_q} (N(\tau) - \bar{N})^2 - \left( \sum_{\tau \in \mathbb{F}_q} (N(\tau) - \bar{N}) \right)^2 = q \sum_{\tau \in \mathbb{F}_q} (N(\tau) - \bar{N})^2 = \\ &= q \sum_{\tau \in \mathbb{F}_q} (N(\tau) - q)^2 - q^2 (\bar{N} - q)^2 \leq q \sum_{\tau \in \mathbb{F}_q} (N(\tau) - q)^2. \end{aligned}$$

It is clear that  $N(\tau)$  is the number of solutions of the equation

$$f(\xi, \eta, (\tau - \alpha\xi - \beta\eta)\gamma^{-1}) = 0$$

or

$$\varphi_\tau(x, y) = 0.$$

Now, using the Weil's estimate for the number of points on an algebraic curve over  $\mathbb{F}_q$  defined by an absolutely irreducible polynomial, we obtain

$$(1) \quad M = q \sum_{\substack{\tau \in \mathbb{F}_q \\ \varphi_\tau(x, y) \text{ is abs. irreducibility}}} \left( O(q^{\frac{1}{2}}) \right)^2 + qO(1) \cdot O(q^2) = O(q^3).$$

Further, by Lemma 1

$$S(\mu\alpha, \mu\beta, \mu\gamma) = \omega_{1,\mu}^r + \cdots - \omega_{\ell+1,\mu}^r - \cdots - \omega_{k,\mu}^r, \quad (\mu \in \mathbb{F}_q^*)$$

and  $|\omega_{j,\mu}|$  does not depend on  $\mu$ . Let

$$p^{\frac{N}{2}} = \max_{1 \leq j \leq k} |\omega_{j,\mu}|, \quad (N \geq 0).$$

If  $N \leq 2$  then we have  $S(\alpha, \beta, \gamma) \ll q$ . Thus we suppose that  $N \geq 3$ . Let  $k_0$  be the number of  $\omega_j$ ,  $j = 1, \dots, k$ , for which  $|\omega_j| = q^{\frac{N}{2}}$ . Then we have

$$S(\mu\alpha, \mu\beta, \mu\gamma) = e_1 \omega_{1,\mu}^r + \cdots + e_{k_0} \omega_{k_0,\mu}^r + O\left(q^{\frac{N-1}{2}}\right),$$

where  $|\omega_{j,\mu}^r| = q^{\frac{N}{2}}$ ,  $\omega_{j_1,\mu} \neq \pm \omega_{j_2,\mu}$  for  $j_1 \neq j_2$ , and  $e_1, \dots, e_{k_0}$  are integers,  $|e_0| + \cdots + |e_{k_0}| > 0$ . Hence

$$(2) \quad S(\mu\alpha, \mu\beta, \mu\gamma) = q^{\frac{N}{2}} (e_1 z_{1,\mu} + \cdots + e_{k_0} z_{k_0,\mu}) + O\left(q^{\frac{N-1}{2}}\right),$$

where  $z_{j,\mu}$  are complex numbers,  $|z_{j,\mu}| = 1$ ,  $z_{j_1,\mu} \neq \pm z_{j_2,\mu}$  for  $j_1 \neq j_2$ .

Now, from (2) we obtain

$$\begin{aligned} q^{-N} M(\alpha, \beta, \gamma) &= q^{-N} \sum_{\mu \in \mathbb{F}_q^*} |S(\mu\alpha, \mu\beta, \mu\gamma)|^2 \geq q^{-N} \sum_{\mu \in \mathbb{F}_p^*} |S(\mu\alpha, \mu\beta, \mu\gamma)|^2 = \\ &= \sum_{\mu \in \mathbb{F}_p^*} |e_1 z_{1,\mu}^r + \cdots + e_{k_0} z_{k_0,\mu}^r| + O(p^{1-\frac{r}{2}}). \end{aligned}$$

Applying the Bombieri-Davenport lemma [3], we obtain

$$\sum_{\mu \in \mathbb{F}_p^*} \frac{1}{R} \sum_{\substack{r < 2R \\ r \equiv 1 \pmod{2}}} |e_1 z_{1,\mu}^r + \cdots + e_{k_0} z_{k_0,\mu}^r|^2 = O(1) + O\left(\frac{\sqrt{p}}{R}\right),$$

and, hence,

$$\begin{aligned} (p-1)(e_1^2 + \cdots + e_{k_0}^2) &= \sum_{\mu \in \mathbb{F}_p^*} \lim_{\substack{r \rightarrow \infty \\ r \text{ is odd}}} |e_1 z_{1,\mu}^r + \cdots + e_{k_0} z_{k_0,\mu}^r|^2 = \\ &= \sum_{\mu \in \mathbb{F}_p^*} \lim_{R \rightarrow \infty} \frac{1}{R} \sum_{\substack{r \leq 2R \\ r \text{ is odd}}} |e_1 z_{1,\mu}^r + \cdots + e_{k_0} z_{k_0,\mu}^r|^2 = O(1). \end{aligned}$$

But the equality  $(p-1)(e_1^2 + \cdots + e_{k_0}^2) = O(1)$  means that  $p = O(1)$ . Hence, there exist  $c_0 > 0$  such that for  $p \geq c_0$  a greatest module of characteristic roots  $|\omega_j| \leq p$ . Consequently,

$$S(\alpha, \beta, \gamma) \ll q.$$

Suppose that  $r, s, c \in \mathbb{F}_q$ ,  $f(x, y) \in \mathbb{F}_q[x, y]$ ,  $\deg f(x, y) = 2$ , and that  $\chi$  is a character of the field  $\mathbb{F}_q$ . We define

$$K_f(c) = K_f(r, s; c) := \sum_{\substack{x, y \in \mathbb{F}_q \\ f(x, y) = c}} \chi(rx + sy).$$

**Lemma 4.** *If  $(r, s) \in \mathbb{F}_q^2 \setminus \{0, 0\}$ , then we have*

$$K_f(c) = \begin{cases} \ll \sqrt{q} & \text{in the cases 1), 2) or 7);} \\ \ll q & \text{in the case 5);} \\ 0 & \text{in the cases 4), 6);} \\ q-1 & \text{in the case } \delta \neq 0, \omega = 0, c = \frac{\Delta}{\delta}; \\ -1 & \text{in the case } \delta \neq 0, \omega = 0, c \neq \frac{\Delta}{\delta}. \end{cases}$$

**Proof.** We can suppose that  $s \neq 0$ . Then

$$K_f(c) = \sum_{\tau \in \mathbb{F}_q} \sum_{f\left(x, \frac{\tau - rx}{s}\right) = c} \chi(\tau) = \sum_{\tau \in \mathbb{F}_q} N(\tau) \chi(\tau),$$

where  $N(\tau)$  is the number of solutions of the equation  $f\left(x, \frac{\tau - rx}{s}\right) = 0$  over  $\mathbb{F}_q$ :

$$f\left(x, \frac{\tau - rx}{s}\right) =$$

$$\frac{1}{s^2} [\omega x^2 + 2(\tau(a_{12}s - a_{22}r) + s(a_{13}s - a_{23}r))x + (a_{22}\tau^2 + 2a_{23}s\tau + a_{33}s^2)] =$$

$$(3) \quad = c.$$

For the discriminant  $D$  of the equation (3) we have

$$\frac{S^4 D}{4} = \tau^2 [(a_{12}s - a_{22}r)^2 - \omega a_{22}] + 2\tau s [(a_{12}s - a_{22}r)(a_{13}s - a_{23}r) - \omega a_{23}] +$$

$$+s^2 [(a_{13}s - a_{23}r)^2 - \omega a_{33}] + \omega cs^2.$$

If the curve  $f(x, y) = 0$  has center  $(a, b)$  then

$$K_f(c) = \chi(ra + sb) \sum_{f(x+a, y+b)=c} \chi(rx + sy).$$

Denote

$$F(x, y) = f(x + a, y + b) = a_{11}x^2 + 2a_{12}xy + a_{22}y^2 + a'_{33}, \quad a'_{33} = f(a, b).$$

Then we have

$$K_f(c) = \chi(ra + sb) \sum_{\tau \in \mathbb{F}_q} N_1(\tau) \chi(\tau),$$

where  $N_1(\tau)$  is the number of solutions of the equation

$$(4) \quad F\left(x, \frac{\tau - rx}{s}\right) = \frac{1}{s^2} [\omega x^2 + 2\tau(a_{12}s - a_{22}r)x + (a_{22}\tau^2 + a'_{33}s^2)] = c.$$

If  $\omega \neq 0$ , for a discriminant  $D$  of the quadratic equation (4) we have

$$\frac{s^2 D}{4} = \omega(c - a'_{33}) - \delta \tau^2,$$

and hence,

$$(5) \quad N(\tau) = 1 + \eta(D) = 1 + \eta(\omega(c - a'_{33}) - \delta \tau^2).$$

Now we consider various cases:

1.  $\delta \neq 0, \omega \neq 0, \Delta = 0$ .

In this case

$$a = \frac{1}{\delta}(a_{13}a_{22} - a_{12}a_{23}), \quad b = \frac{1}{\delta}(a_{11}a_{23} - a_{13}a_{12}), \quad a'_{33} = f(a, b) = \frac{\Delta}{\delta} = 0.$$

Thus by (1), (5)

$$\begin{aligned} K_f(c) &= \chi(ra + sb) \sum_{\tau \in \mathbb{F}_q} \eta(\omega c - \delta \tau^2) \chi(\tau) = \\ &= \eta(-\delta) \chi(ra + sb) \sum_{xy=c} \chi\left(x + \frac{\omega}{4\delta}y\right) \ll \sqrt{q}. \end{aligned}$$

2.  $\delta \neq 0, \omega \neq 0, \Delta \neq 0$ .



From (1), (5) we obtain

$$\begin{aligned} K_f(c) &= \chi(ar + bs) \sum_{\tau \in \mathbb{F}_q} \eta \left( \omega \left( c - \frac{\Delta}{\delta} \right) - \delta \tau^2 \right) \chi(\tau) = \\ &= \eta(-\delta) \chi(ar + bs) \sum_{xy = c - \frac{\Delta}{\delta}} \chi \left( x + \frac{\omega}{4\delta} y \right) \ll \sqrt{q}. \end{aligned}$$

3.  $\delta \neq 0, \omega = 0$ .

In this case the equation (4) has form

$$s^2 F \left( x, \frac{\tau - rx}{s} \right) = 2\tau(a_{12}s - a_{22}r) + (a_{22}\tau^2 + a'_{33}s^2) = cs^2.$$

Hence,

$$N_1(\tau) = \begin{cases} 1 & \text{if } \tau \neq 0, \\ q & \text{if } \tau = 0, c = \frac{\Delta}{\delta}, \\ 0 & \text{if } \tau = 0, c \neq \frac{\Delta}{\delta}, \end{cases}$$

$$K_f(c) = \chi(ra + sb) \cdot \begin{cases} q - 1, & \text{if } c = \frac{\Delta}{\delta}, \\ -1, & \text{if } c \neq \frac{\Delta}{\delta}. \end{cases}$$

4.  $\delta = 0, \omega \neq 0, \Delta = 0$ .

Then  $\frac{s^2 D}{4} = \omega(c - a'_{33})$ , i.e.  $D$  is independent on  $\tau$ . Hence,

$$K_f(c) = \chi(ra + sb) \sum_{\tau \in \mathbb{F}_q} (1 + \eta(D)) \chi(\tau) = 0.$$

5.  $\delta = \omega = \Delta = 0$ .

The equation (3) has the form

$$\frac{1}{s^2} (a_{22}\tau^2 + 2a_{23}s\tau + a_{33}s^2) = c.$$

Hence,

$$N_1(\tau) = \begin{cases} q & \text{if } a_{22}\tau^2 + 2a_{23}s\tau + a_{33}s^2 = cs^2, \\ 0 & \text{else.} \end{cases}$$

$$K_f(c) = q \sum_{\substack{\tau \in \mathbb{F}_q \\ a_{22}\tau^2 + 2a_{23}s\tau + a'_{33}s^2 = c}} \chi(\tau) = q\chi\left(-\frac{a_{23}}{a_{22}}s\right) \sum_{\substack{\tau \in \mathbb{F}_q \\ a_{22}\tau^2 = s^2(c - a'_{33})}} \chi(\tau) \ll q$$

(here  $a'_{33} = a_{33} - \frac{a_{23}^2}{a_{22}}$ ).

Now we consider the cases, when the curve  $f(x, y) = 0$  is a noncentral curve.

6.  $\delta = 0, \omega = 0, \Delta \neq 0$ .

The equation (3) accepts the form

$$\frac{1}{s^2} [2s(a_{13}s - a_{23}r)x + (a_{22}\tau^2 + 2a_{23}s\tau + a'_{33}s^2)] = c.$$

Then  $N_1(\tau) = 1$ . Hence,

$$K_f(c) = \sum_{\tau \in \mathbb{F}_q} \chi(\tau) = 0 \quad \text{for any } c \in \mathbb{F}_q.$$

7.  $\delta = 0, \omega \neq 0, \Delta \neq 0$ .

Denote

$$a = \frac{1}{s} [(a_{12}s - a_{22}r)(a_{13}s - a_{23}r) - \omega a_{23}], \quad b = (a_{13}s - a_{23}r)^2 - \omega a_{33}.$$

Then for a discriminant  $D$  of the equation (3) we have

$$\frac{s^2 D}{4} = 2\tau a + b + \omega c.$$

From  $\delta = 0, \Delta = 0$  we easily infer that  $a \neq 0$ . Thus

$$\begin{aligned} K_f(c) &= \sum_{\tau \in \mathbb{F}_q} (1 + \eta(D))\chi(\tau) = \sum_{\tau \in \mathbb{F}_q} \eta(2\tau a + b + \omega c)\chi(\tau) = \\ &= \eta(2a)\chi\left(-\frac{b + \omega c}{2a}\right) \sum_{\tau \in \mathbb{F}_q} \eta(\tau)\chi(\tau) \ll \sqrt{q}. \end{aligned}$$

### 3. Auxiliary sum

We consider the auxiliary sum

$$\sum_{c \in \mathbb{F}_q} K_f(c) \overline{K_f(uc)}, \quad u \in \mathbb{F}_q^*$$

(here  $\bar{a}$  is the complex conjugate of  $a$ ). We have

$$\sum_{c \in \mathbb{F}_q} K_f(c) = \sum_{x, y \in \mathbb{F}_q} \chi(rx + sy) = 0.$$

Let  $\delta \neq 0$ ,  $\omega \neq 0$ , i.e. the conditions 1) or 2) from the Section 2 are carried out. We have

$$\begin{aligned} & \sum_{c \in \mathbb{F}_q} K_f(c) \overline{K_f(cu)} = \\ &= \sum_{c \in \mathbb{F}_q} \sum_{\tau_1, \tau_2 \in \mathbb{F}_q} \eta \left( c\omega - \frac{\omega\Delta}{\delta} - \delta\tau_1^2 \right) \eta \left( uc\omega - \frac{\omega\Delta}{\delta} - \delta\tau_2^2 \right) \chi(\tau_1) \chi(\tau_2) = \\ &= \eta(-u) \sum_{\tau_1, \tau_2 \in \mathbb{F}_q} \chi(\tau_1 + \tau_2) \sum_{c \in \mathbb{F}_q} \eta \left( c - \left( \frac{\Delta}{\delta} + \frac{\delta\tau_1^2}{\omega} \right) \right) \eta \left( \frac{1}{u} \left( \frac{\Delta}{\delta} + \frac{\delta\tau_2^2}{\omega} \right) - c \right) \\ &= \eta(-u) \sum_{\tau_1, \tau_2 \in \mathbb{F}_q} \chi(\tau_1 + \tau_2) \mathfrak{J}_{\frac{1}{u} \left( \frac{\Delta}{\delta} + \frac{\delta\tau_2^2}{\omega} \right) - \left( \frac{\Delta}{\delta} + \frac{\delta\tau_1^2}{\omega} \right)}(\eta, \eta) = \\ &= \eta(-u) \sum_{\tau_1, \tau_2 \in \mathbb{F}_q} \chi(\tau_1 + \tau_2) + q\eta(u) \sum_{\substack{\tau_1, \tau_2 \in \mathbb{F}_q \\ \delta^2\tau_2^2 + \omega\Delta = u(\delta^2\tau_1^2 + \omega\Delta)}} \chi(\tau_1 + \tau_2) = \\ &= q\eta(u) \sum_{\substack{\tau_1, \tau_2 \in \mathbb{F}_q \\ \delta^2\tau_2^2 + \omega\Delta = u(\delta^2\tau_1^2 + \omega\Delta)}} \chi(\tau_1 + \tau_2). \end{aligned}$$

Hence, we make use the relations

$$\mathfrak{J}_a(\eta, \eta) = \mathfrak{J}_1(\eta, \eta) = -\eta(-1), \quad \text{if } a \in \mathbb{F}_q^*,$$

$$\mathfrak{J}_0(\eta, \eta) = \eta(-1) \cdot (q-1),$$

where  $\mathfrak{J}_a(\eta, \eta)$  is the Jacobi sum

$$\mathfrak{J}_a(\eta, \eta) = \sum_{\substack{x, y \in \mathbb{F}_q \\ x+y=a}} \eta(x)\eta(y).$$

Let  $N_2(\tau)$  be the number of solutions of the system

$$\tau_1 + \tau_2 = \tau, \quad \delta_2 \tau_2^2 + \omega \Delta = u(\delta^2 \tau_1^2 + \omega \Delta).$$

Then

$$\sum_{c \in \mathbb{F}_q} K_f(c) \overline{K_f(uc)} = q\eta(u) \sum_{c \in K} N_2(\tau) \chi(\tau).$$

If put  $\tau_2 = \tau - \tau_1$ , then we obtain that  $N_2(\tau)$  equals the number of the solutions of the equation

$$(6) \quad \delta^2(u-1)\tau_1^2 + 2\delta^2\tau\tau_1 + \omega\Delta(u-1) - \delta^2\tau^2 = 0.$$

If  $u = 1$ , we have

$$N_2(\tau) = \begin{cases} 1 & \text{if } \tau \in \mathbb{F}_q, \\ q & \text{if } \tau = 0. \end{cases}$$

Hence, for  $u = 1$  we obtain

$$\sum_{c \in \mathbb{F}_q} K_f(c) \overline{K_f(uc)} = q(q-1).$$

If  $u \neq 1$ , we have for a discriminant of quadratic equation (6)

$$\frac{D_1}{4\delta^2} = u\delta^2\tau^2 - \omega\Delta(u-1)^2.$$

Hence,

$$N_2(\tau) = 1 + \eta(u\delta^2\tau^2 - \omega\Delta(u-1)^2),$$

$$\sum_{c \in \mathbb{F}_q} K_f(c) \overline{K_f(uc)} = q\eta(u) \sum_{\tau \in \mathbb{F}_q} \eta(u\delta^2\tau^2 - \omega\Delta(u-1)^2) \chi(\tau).$$

Thus we infer

$$\sum_{c \in \mathbb{F}_q} K_f(c) \overline{K_f(cu)} = \begin{cases} q(q-1) & \text{if } u = 1, \\ -q & \text{if } u \neq 1, \Delta = 0, \\ q\tilde{K}(u) \ll q^{\frac{3}{2}} & \text{if } u \neq 1, \Delta \neq 0, \end{cases}$$

where  $\tilde{K}(u) = \eta(u) \sum_{\tau \in \mathbb{F}_q} \eta(u\delta^2\tau^2 - \omega\Delta(u-1)^2) \chi(\tau).$

Let  $\delta = \omega = \Delta = 0$  (i.e. the conditions of (5) are carried out). As in the previous case we obtain

$$\sum_{c \in \mathbb{F}_q} K_f(c) \overline{K_f(cu)} = \begin{cases} q^2(q-1) & \text{if } u = 1, \\ -\eta(u)q^2 & \text{if } u \neq 1, a'_{33} = 0, \\ q^2 \tilde{K}(u) & \text{if } u \neq 1, a'_{33} \neq 0, \end{cases}$$

where

$$a'_{33} = a_{33} - \frac{a_{23}^2}{a_{22}}, \quad \tilde{K}(u) = \sum_{\tau \in \mathbb{F}_q} \eta(ua_{22}^2\tau^2 - s^2a_{22}a'_{33}(u-1)^2)\chi(\tau) \ll \sqrt{q}.$$

At last, let  $\delta = 0$ ,  $\omega \neq 0$ ,  $\Delta \neq 0$ . Then

$$K_f(c) = \eta(2a)\chi\left(-\frac{b+\omega c}{2a}\right)G(\eta, \chi),$$

where

$$a \neq 0, \quad b = (a_{13}s - a_{23}r)^2 - \omega a_{33}, \quad G(\eta, \chi) = \sum_{\tau \in \mathbb{F}_q} \eta(\tau)\chi(\tau)$$

is the Gauss sum. Hence,

$$(7) \quad \sum_{c \in \mathbb{F}_q} K_f(c) \overline{K_f(uc)} = \begin{cases} q^2 & \text{if } u = 1, \\ 0 & \text{if } u \neq 1. \end{cases}$$

#### 4. Proof of Theorem

Let  $\alpha, \beta \in \mathbb{F}_q^*$ . Define the algebraic variety

$$V(\alpha, \beta) = \left\{ (x, y, X, Y) \in \mathbb{F}_q^4 \mid \frac{\alpha}{f_1(x, y)} + \frac{\beta}{f_2(X, Y)} = 1 \right\},$$

where  $f_1(x, y), f_2(x, y) \in \mathbb{F}_q[x, y]$ ,  $\deg f_i = 2$ ,  $i = 1, 2$ . Let  $\chi$  be an additive character of the field  $\mathbb{F}_q$ ,  $(r, s) \in \mathbb{F}_q^2 \setminus \{(0, 0)\}$ . Define

$$S(\alpha, \beta) = \sum_{x, y, X, Y \in V(\alpha, \beta)} \chi(rx + sy + rX + sY).$$

Let

$$L_j(c) = K_{f_j}(c) = \sum_{\substack{x, y \in \mathbb{F}_q \\ f_j(x, y) = c}} \chi(rx + sy) \quad (j = 1, 2).$$

Then

$$(8) \quad S(\alpha, \beta) = \sum_{u \in \mathbb{F}_q^* \setminus \{1\}} L_1\left(\frac{\alpha}{u}\right) L_2\left(\frac{\beta}{1-u}\right).$$

In order to prove the Theorem we consider the various pairs  $(i, j)$ ,  $i, j = 1, \dots, 7$ , which correspond the various sets of the polynomials  $f_1(x, y)$  and  $f_2(x, y)$ . Let, for example,  $f_1(x, y)$  belong to the case 3), i.e.  $\delta_1 \neq 0$ ,  $\omega = 0$ . Let  $(a_1, a_2)$  be the center of the curve  $f_1(x, y) = 0$ . Then

$$L_1(c) = \begin{cases} \chi(ra_1 + sa_2)(q-1) & \text{if } c = \frac{\Delta_1}{\delta_1}, \\ -\chi(ra_1 + sa_2) & \text{if } c \neq \frac{\Delta_1}{\delta_1}. \end{cases}$$

Hence, in view of (8)

$$(9) \quad S\left(\frac{\Delta_1}{\delta_1}, \beta\right) = \chi(ra_1 + sa_2)(L_2(0) + L_2(\beta)),$$

and for  $\alpha \neq \frac{\Delta_1}{\delta_1}$

$$(10) \quad S(\alpha, \beta) = \chi(ra_1 + sa_2) \left( L_2(0) + L_2(\beta) + qL_2\left(\frac{\beta\Delta_1}{\Delta_1 - \alpha\delta_1}\right) \right).$$

Hence, in this case an estimate of the sum  $S(\alpha, \beta)$  depends from an estimate of  $L_2(c)$ ,  $c \in K$ .

Further, for the cases 4) and 6) we have  $L(c) = 0$ ,  $c \in \mathbb{F}_q$ , and, hence, in view of (8) we infer  $S(\alpha, \beta) = 0$  for the pairs  $(4, *)$  and  $(6, *)$ .

We now shall consider the combinations of the cases 1), 2), 5) and 7).

**Case (7,7).** Let  $a, b \in \mathbb{F}_q^*$ . We have

$$\sum_{u \in \mathbb{F}_q^* \setminus \{1\}} \chi\left(\frac{a}{u}\right) \chi\left(\frac{b}{1-u}\right) = \sum_{\tau \in \mathbb{F}_q} N(\tau) \chi(\tau),$$

where  $N(\tau)$  is the number of the solutions of the equation

$$\frac{a}{u} + \frac{b}{1-u} = \tau.$$

But we have

$$\frac{a}{u} + \frac{b}{1-u} = \tau \Leftrightarrow \tau u^2 + (b-a-\tau)u + a = 0.$$

The last equation has the discriminant  $D = (b-a-\tau)^2 - 4a\tau$ .

a)  $a = b$ . Then  $N(0) = 0$ ,  $N(\tau) = 1 - \eta(\tau^2 - 4a\tau)$  for  $\tau \neq 0$ . Hence,

$$(11) \quad \sum_{u \in \mathbb{F}_q^* \setminus \{1\}} \chi\left(\frac{a}{u}\right) \chi\left(\frac{b}{1-u}\right) = -1 + \sum_{\tau \in \mathbb{F}_q} \eta(\tau^2 - 4a\tau) \chi(\tau).$$

b)  $a \neq b$ . Then  $N(0) = 1$ ,  $N(\tau) = 1 + \eta((b-a-\tau)^2 - 4a\tau)$  for  $\tau \neq 0$ , and then

$$(12) \quad \sum_{u \in \mathbb{F}_q^* \setminus \{1\}} \chi\left(\frac{a}{u}\right) \chi\left(\frac{b}{1-u}\right) = -1 + \sum_{\tau \in \mathbb{F}_q} \eta((b-a-\tau)^2 - 4a\tau) \chi(\tau).$$

The sums on the right hand side of the relations (11), (12) are the Kloosterman sums and, hence,

$$S(\alpha, \beta) \ll q\sqrt{q}.$$

**Case (7,5).** We have

$$\begin{aligned} & \sum_{\alpha \in \mathbb{F}_q} |S(\alpha, \beta)|^2 = \\ &= \sum_{\alpha \in \mathbb{F}_q} \sum_{u \in \mathbb{F}_q^* \setminus \{1\}} L_1\left(\frac{\alpha}{u}\right) L_2\left(\frac{\beta}{1-u}\right) \sum_{\nu \in \mathbb{F}_q^* \setminus \{1\}} \overline{L_1\left(\frac{\alpha}{\nu}\right) L_2\left(\frac{\beta}{1-\nu}\right)} = \end{aligned}$$

$$\begin{aligned}
(13) \quad &= \sum_{u, \nu \in \mathbb{F}_q^* \setminus \{1\}} \overline{L_2\left(\frac{\beta}{1-\nu}\right)} L_2\left(\frac{\beta}{1-\nu}\right) \sum_{\alpha \in \mathbb{F}_q} L_1\left(\frac{\alpha}{u}\right) \overline{L_1\left(\frac{\alpha}{\nu}\right)} = [\text{in view of (8)}] = \\
&= q^2 \sum_{u \neq 0,1} \left| L_2\left(\frac{\beta}{1-u}\right) \right|^2 = [\text{by (7)}] = q^2 [q^2(q-1) - |L_2(0)|^2 - |L_2(\beta)|^2] \leq \\
&\leq q^5 - q^4.
\end{aligned}$$

Hence,  $|S(\alpha, \beta)| < q^{\frac{5}{2}}$ .

For the case 5)

$$L_2(c) = q\chi\left(-\frac{b_{23}}{b_{22}}s\right) \sum_{\substack{\tau \in \mathbb{F}_q \\ b_{22}\tau^2 = s^2(c-b'_{33})}} \chi(\tau),$$

moreover,

$$\sum_{\substack{\tau \in \mathbb{F}_q \\ b_{22}\tau^2 = s^2(c-b'_{33})}} \chi(\tau) \in \mathbb{R}.$$

Hence,  $\overline{L_2(c)} = \varepsilon L_2(c)$ , where  $\varepsilon$  is a fixed number for any  $c \in K$ ,  $|\varepsilon| = 1$ ,  $\chi(-a) = \overline{\chi(a)}$ . Thus, from the representation of  $L_1(c)$  (for the case 7)) and the relation (8) we infer

$$(14) \quad S(-\alpha, \beta) = \varepsilon' \overline{S(\alpha, \beta)}, \quad |\varepsilon'| = 1.$$

Suppose that there exists  $\alpha^0 \in \mathbb{F}_q$  such that  $S(\alpha^0, \beta)$  has a characteristic root of weight 5. Then from Lemma 2 and the relation (13) it follows that for  $\alpha \neq \alpha^0$  the sum  $S(\alpha, \beta)$  has characteristic roots of weight  $< 5$ . But from (14) the sum  $S(-\alpha^0, \beta)$  has such a root. Hence, for any  $\alpha \in \mathbb{F}_q$  the sum  $S(\alpha, \beta)$  has some characteristic roots of weight  $\leq 4$ . Thus for any  $\alpha, \beta \in \mathbb{F}_q^*$

$$S(\alpha, \beta) \ll q^2.$$

**Cases (7,1) and (7,2).** For the cases 1) and 2) (i.e.  $\delta\omega \neq 0$ ) we have

$$L(c) = \chi(ra + sb) \sum_{\tau \in \mathbb{F}_q} \eta\left(\omega\left(c - \frac{\Delta}{\delta}\right) - \delta\tau^2\right) \chi(\tau),$$



$$\sum_{\tau \in \mathbb{F}_q} \eta \left( \omega \left( c - \frac{\Delta}{\delta} \right) - \delta \tau^2 \right) \chi(\tau) \in \mathbb{R}.$$

Hence,  $\overline{L_2(c)} = \varepsilon L_1(c)$ , where  $\varepsilon$  is a fixed number for any  $c \in \mathbb{F}_q$ ,  $|\varepsilon| = 1$ . Thus, using 6) and 8) we similarly have

$$S(\alpha, \beta) \ll q\sqrt{q} \quad \text{for any } \alpha, \beta \in \mathbb{F}_q^*.$$

**Cases (1,1) and (2,2).** The algebraic variety from the paper Birch, Bombieri [1] belongs to this case. Moreover, repeating the proof in [1] almost word for word, we obtain

$$S(\alpha, \beta) \ll q\sqrt{q}.$$

**Case (5,5).** In this case we can take the polynomials  $f_1(x, y)$  and  $f_2(x, y)$  in the form

$$f_1(x, y) = (rx + sy)^2 + a, \quad f_2(x, y) = (rx + sy)^2 + b.$$

Then we have

$$\begin{aligned} S(\alpha, \beta) &= \sum_{(x, y) \in V(\alpha, \beta)} \chi(rx + sy + rX + sY) = q^2 \sum_{\substack{x, y \in \mathbb{F}_q \\ \frac{\alpha}{x^2+a} + \frac{\beta}{y^2+b} = 1}} \chi(x + y) = \\ &= q^2 \sum_{\alpha(y^2+b) + \beta(x^2+a) = (x^2+a)(y^2+b)} \chi(x + y) - q^2 \sum_{x^2+a=0} \chi(x) \sum_{y^2+b=0} \chi(y) = \\ &= q^2 (\Sigma_1 - \Sigma_2), \end{aligned}$$

say. By Lemma 3 we have  $\Sigma_1 \ll \sqrt{q}$ . The second sum is  $O(1)$ . Thus  $S(\alpha, \beta) \ll \ll q^2 \sqrt{q}$ .

**Cases (1,5) and (2,5).** In this case we can take

$$f_1(x, y) = f(x, y) = a_{11}x^2 + 2a_{12}xy + a_{22}y^2 + a,$$

where  $\delta = a_{11}a_{22} - a_{12}^2 \neq 0$ ,  $\omega = a_{11}s^2 - 2a_{12}sr + a_{22}r^2 \neq 0$ . Moreover, in the case (1,5)  $a = 0$ , and in the case (2,5)  $a \neq 0$ ,  $f_2(x, y) = (rx + sy)^2 + b$ . Then

$$S(\alpha, \beta) = \sum_{V(\alpha, \beta)} \chi(rx + sy + rX + sY) = q \sum_{\frac{\alpha}{f(x, y)} + \frac{\beta}{g(x)} = 1} \chi(rx + sy + z),$$

where  $g(z) = z^2 + b$ . Hence,

$$(15) \quad S(\alpha, \beta) = q \sum_{f(x,y)g(z) - \alpha g(z) - \beta f(x,y) = 0} \chi(rx + sy + z) - L_1(0)L_2(0).$$

The last summand is  $4q\sqrt{q}$ . The first summand can be estimated by Lemma 3 with  $f(x, y, z) = f(x, y)g(z) - \alpha g(z) - \beta f(x, y)$ . In this case for any  $\tau \in \mathbb{F}_q$  the polynomial  $f_\tau(x, y)f(x, y, \tau - rx - sy)$  is absolutely irreducible over  $\mathbb{F}_p$ . In view of the relation (13) and Lemma 3 we conclude

$$S(\alpha, \beta) \ll q^2 \text{ for } \alpha, \beta \in \mathbb{F}_q^*.$$

Collecting together the estimates of  $S(\alpha, \beta)$  we obtain the assertion of Theorem.

**Remark 1.** The case (1,2) remained without consideration.

**Remark 2.** Let  $\varphi(x, y)$  be a quadratic form over  $\mathbb{Z}$  and let

$$d(\varphi; n) = \# \{ \varphi(u, v)\omega = n \mid u, v, \omega \in \mathbb{Z} \}.$$

Let  $M(x, q; \varphi)$  (respectively,  $\Delta(x, q; \varphi)$ ) be the main term (respectively, the error term) in an asymptotic formula

$$\sum_{\substack{n \equiv a \pmod{q} \\ n \leq x}} d(\varphi; n) = M(x, q; \varphi) + \Delta(x, q; \varphi).$$

Then, applying the method of Heath-Brown [9] one can prove that

$$\Delta(x, q; \varphi) \ll \begin{cases} x^{\frac{86}{107} + \varepsilon} + q^{-\frac{66}{107}} & \text{if } \varphi(x, y) \text{ is a hyperbole,} \\ x^{\frac{26}{33} + \varepsilon} + q^{-\frac{58}{99}} & \text{if } \varphi(x, y) \text{ is an ellipse.} \end{cases}$$

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