

ON THE UNIQUENESS PROBLEM OF NON-ARCHIMEDEAN MEROMORPHIC FUNCTIONS AND THEIR DIFFERENTIAL POLYNOMIALS

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Abstract. In this paper, we discuss the uniqueness problem for differential polynomials $(P^n(f))^{(k)}$, $(Q^n(g))^{(k)}$, sharing the same value, where P, Q are polynomials of Fermat-Waring type, f and g are meromorphic functions on a non-Archimedean field.

1. Introduction

Let \mathbb{K} be an algebraically closed field of characteristic zero, complete for a non-Archimedean absolute value. We denote by $\mathcal{A}(\mathbb{K})$ the ring of entire functions in \mathbb{K} , by $\mathcal{M}(\mathbb{K})$ the field of meromorphic functions, i.e., the field of fractions of $\mathcal{A}(\mathbb{K})$, and $\widehat{\mathbb{K}} = \mathbb{K} \cup \{\infty\}$. We assume that the reader is familiar with the notations in the non-Archimedean Nevanlinna theory (see [18]). Let f be a non-constant meromorphic function on \mathbb{K} . For every $a \in \mathbb{K}$, define the function $\nu_f^a : \mathbb{K} \rightarrow \mathbb{N}$ by

$$\nu_f^a(z) = \begin{cases} 0 & \text{if } f(z) \neq a \\ m & \text{if } f(z) = a \text{ with multiplicity } m, \end{cases}$$

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and set $\nu_f^\infty = \nu_{\frac{1}{f}}^0$. For $f \in \mathcal{M}(\mathbb{K})$ and $S \subset \mathbb{K} \cup \{\infty\}$, we define

$$E_f(S) = \bigcup_{a \in S} \{(z, \nu_f^a(z)) : z \in \mathbb{K}\}.$$

Let \mathcal{F} be a nonempty subset of $\mathcal{M}(\mathbb{K})$. Two functions f, g of \mathcal{F} are said to *share* S , *counting multiplicity*, if $E_f(S) = E_g(S)$. Let a set $S \subset \mathbb{K} \cup \{\infty\}$ and f and g be two non-constant meromorphic (entire) functions. If $E_f(S) = E_g(S)$ implies $f = g$ for any two non-constant meromorphic (entire) functions f, g , then S is called a unique range set for meromorphic(entire) functions or, in brief, *URSM(URSE)*. Several interesting results on *URSE* and *URSM* for non-Archimedean entire and meromorphic functions have been obtained (see [6], [13], [17] and [18]). The smallest unique range set for meromorphic functions has 10 elements and was given by Hu and Yang [17]. Recently, many results were obtained also for differential polynomials, for example, of the form $(f^n)^{(k)}$ (Khoai, An, and Lai [12]; An, Hoa, and Khoai [3]), and of the form $(f)^{(\prime)}P'(f)$, (Boussaf, Escassut and Ojeda [5]). In [12] Khoai, An, and Lai proved the following result.

Theorem A. *Let $f(z)$ and $g(z)$ be two non-constant meromorphic functions on \mathbb{K} , and let n, k be two positive integers with $n \geq 3k + 8$. If $(f^n)^{(k)}$ and $(g^n)^{(k)}$ share 1 CM, then $f(z) = tg(z)$ for a constant t such that $t^n = 1$.*

In [22] Yang posed the problem: is it true that the equality $f^{-1}(S) = g^{-1}(S)$ with $S = \{-1, 1\}$ for polynomials of the same degree f, g implies that either $f = g$ or $f = -g$? This problem was solved in [19] and [20].

In this paper, instead of functions f and g we consider differential operators of the form $(P^n(f))^{(k)}, (Q^n(g))^{(k)}$, sharing the same value, where P, Q are polynomials of Fermat-Waring type. Then we establish an uniqueness theorem for non-Archimedean meromorphic functions and their differential polynomials.

Concerning the mentioned above problem of Yang, and related topics (see, for example [20]), we consider the following problem. Let S, T be the zero sets of polynomials $P(z), Q(z)$, respectively, then how we can say about the relations of f, g , if $E_f(S) = E_g(T)$?

Now let us describe main results of the paper.

Let $d, m, n, k \in \mathbb{N}^*$ and $a_1, b_1, c, a_2, b_2 \in \mathbb{K}; a_1, b_1, c, a_2, b_2 \neq 0$.

We will let

$$(1.1) \quad P(z) = z^d + a_1 z^{d-m} + b_1, \quad Q(z) = z^d + a_2 z^{d-m} + b_2,$$

be polynomials of degree d of Fermat-Waring type in $\mathbb{K}[z]$ without multiple zeros. We shall prove the following theorems.

Theorem 1. *Let f, g be two non-constant meromorphic functions on \mathbb{K} and let $P(z), Q(z)$ be defined in (1.1). Assume that $n \geq 3k + 5$, $d \geq 2m + 8$ and either $m \geq 3$ or $(d, m) = 1$ and $m \geq 2$. If $(P^n(f))^{(k)}$ and $(Q^n(g))^{(k)}$ share 1 CM, then $g = hf$ for a constant h such that $h^d = \frac{b_2}{b_1}$, $h^{nd} = 1$, $h^m = \frac{a_2}{a_1}$.*

Theorem 2. *Let f, g be two non-constant meromorphic functions on \mathbb{K} and let $P(z), Q(z)$ be defined in (1.1). Assume that $d \geq 2m + 8$ and either $m \geq 3$ or $(d, m) = 1$ and $m \geq 2$. If $P(f)$ and $Q(g)$ share 0 CM, then $g = hf$ for a constant h such that $h^d = \frac{b_2}{b_1}$, $h^m = \frac{a_2}{a_1}$.*

As immediate consequences of Theorem 2, we have

Corollary 3. *Let S, T be the zero sets of the above polynomials $P(z), Q(z)$, respectively, and let f, g be two non-constant meromorphic functions on \mathbb{K} . Assume that $d \geq 2m + 8$ and either $m \geq 3$ or $(d, m) = 1$ and $m \geq 2$. If $E_f(S) = E_g(T)$, then $g = hf$ for a constant h such that $h^d = \frac{b_2}{b_1}$, $h^m = \frac{a_2}{a_1}$.*

Corollary 4. *Let S be the zero sets of the polynomial $P(z)$, and let f, g be two non-constant meromorphic functions on \mathbb{K} . Assume that $d \geq 2m + 8$ and $(d, m) = 1$ and $m \geq 2$. If $E_f(S) = E_g(S)$, then $f = g$.*

2. Lemmas

We assume that the reader is familiar with the notations in the non-Archimedean Nevanlinna theory (see [4], [8], [9] and [18]).

We first need the following Lemmas.

Lemma 2.1. ([18]) *Let f be a non-constant meromorphic function on \mathbb{K} and let a_1, a_2, \dots, a_q , be distinct points of $\mathbb{K} \cup \{\infty\}$. Then*

$$(q-2)T(r, f) \leq \sum_{i=1}^q N_1(r, \frac{1}{f-a_i}) - \log r + O(1).$$

Lemma 2.2. ([18]) *Let f be a non-constant meromorphic function on \mathbb{K} and let a_1, a_2, \dots, a_q , be distinct points of $\mathbb{K} \cup \{\infty\}$. Suppose either $f - a_i$ has no zeros, or $f - a_i$ has zeros, in which case all the zeros of the functions $f - a_i$ have multiplicity at least $m_i, i = 1, \dots, q$. Then*

$$\sum_{i=1}^q (1 - \frac{1}{m_i}) < 2.$$

Lemma 2.3. ([12]) *Let f and g be non-constant meromorphic functions on \mathbb{K} . If $E_f(1) = E_g(1)$, then one of the following three cases holds:*

1. $T(r, f) \leq N_2(r, f) + N_2(r, \frac{1}{f}) + N_2(r, g) + N_2(r, \frac{1}{g}) - \log r + O(1)$, and the same inequality holds for $T(r, g)$;
2. $fg = 1$;
3. $f = g$.

Lemma 2.4. ([12]) *Let f be a non-constant meromorphic function on \mathbb{K} and n, k be positive integers, $n > k$ and a be a pole of f . Then*

1. $(f^n)^{(k)} = \frac{\varphi_k}{(z-a)^{np+k}}$, where $p = \nu_f^\infty(a)$, $\varphi_k(a) \neq 0$.
2. $\frac{(f^n)^{(k)}}{f^{n-k}} = \frac{h_k}{(z-a)^{pk+k}}$, where $p = \nu_f^\infty(a)$, $h_k(a) \neq 0$.

Lemma 2.5. *Let $f, (f)^{(k)}$ be non-constant meromorphic functions on \mathbb{K} and k be a positive integer. Then*

$$T(r, (f)^{(k)}) \leq (k+1)T(r, f) + O(1).$$

Proof. By Lemma 2.4, and noting that $m(r, \frac{(f)^{(k)}}{f}) = O(1)$ we get

$$\begin{aligned} T(r, (f)^{(k)}) &= m(r, (f)^{(k)}) + N(r, (f)^{(k)}) \leq \\ &\leq m(r, f) + N(r, f) + kN_1(r, f) + O(1) \leq \\ &\leq T(r, f) + kT(r, f) + O(1) = (k+1)T(r, f) + O(1). \end{aligned}$$

Lemma 2.5 is proved. ■

Lemma 2.6. ([12]) *Let f be a non-constant meromorphic function on \mathbb{K} and n, k be positive integers, $n \geq k+1$. Then*

$$T(r, f) \leq T(r, f^n)^{(k)} + O(1),$$

in particular, $(f^n)^{(k)}$ is not a constant.

Lemma 2.7. ([12]) *Let f be a non-constant meromorphic function on \mathbb{K} and n, k be positive integers, $n > 2k$. Then*

1. $(n-2k)T(r, f) + kN(r, f) + N(r, \frac{1}{(f^n)^{(k)})}) \leq T(r, (f^n)^{(k)}) + O(1)$;
2. $N(r, \frac{1}{(f^n)^{(k)})}) \leq kT(r, f) + kN_1(r, f) + O(1)$.

Lemma 2.8. *Let f be a non-constant meromorphic function on \mathbb{K} and n, k be positive integers, $n > 2k$, and let $P(z)$ be a polynomial of degree $d > 0$. Then*

$$1. (n-2k)dT(r, f) + kN(r, P(f)) + N\left(r, \frac{1}{\frac{((P(f))^n)^{(k)}}{(P(f))^{n-k}}}\right) \leq T(r, ((P(f))^n)^{(k)}) +$$

$$+ O(1) \leq (k+1)ndT(r, f) + O(1).$$

$$2. N\left(r, \frac{1}{\frac{((P(f))^n)^{(k)}}{(P(f))^{n-k}}}\right) \leq kdT(r, f) + kN_1(r, P(f)) + O(1) =$$

$$= kdT(r, f) + kN_1(r, f) + O(1) \leq k(d+1)T(r, f) + O(1).$$

Proof. 1. Set $A = ((P(f))^n)^{(k)}, C = P(f)$. Then $T(r, C) = T(r, P(f)) = dT(r, f) + O(1), T(r, P^n(f)) = ndT(r, f) + O(1)$. Therefore, C, C^n are not constants. By Lemma 2.6 we see that $A = (C^n)^{(k)}$ is not a constant. On the other hand, by Lemma 2.7 and Lemma 2.5 we get

$$(n-2k)T(r, C) + kN(r, C) + N\left(r, \frac{1}{\frac{A}{C^{n-k}}}\right) \leq T(r, A) + O(1) \leq (k+1)T(r, C^n) + O(1),$$

i.e.

$$(n-2k)dT(r, f) + kN(r, P(f)) + N\left(r, \frac{1}{\frac{((P(f))^n)^{(k)}}{(P(f))^{n-k}}}\right) \leq$$

$$\leq T(r, ((P(f))^n)^{(k)}) + O(1) \leq (k+1)ndT(r, f) + O(1).$$

2. By Lemma 2.7 we have

$$N\left(r, \frac{1}{\frac{A}{C^{n-k}}}\right) \leq kT(r, C) + kN_1(r, C) + O(1).$$

On the other hand,

$$T(r, C) = dT(r, f) + O(1), N_1(r, C) = N_1(r, f) \leq N(r, f) \leq T(r, f) + O(1).$$

Therefore,

$$N\left(r, \frac{1}{\frac{((P(f))^n)^{(k)}}{(P(f))^{n-k}}}\right) \leq kdT(r, f) + kN_1(r, P(f)) + O(1) =$$

$$= kdT(r, f) + kN_1(r, f) + O(1) \leq k(d+1)T(r, f) + O(1).$$

Lemma 2.8 is proved. ■

Lemma 2.9. *Let $d \geq 2m+3$ and either $m \geq 3$ or $(d, m) = 1$ and $m \geq 2$, $c \neq 0$, and let $P(z), Q(z)$ be defined by (1). Assume that the equation $P(f) = cQ(g)$ has a non-constant meromorphic solution (f, g) . Then $g = hf$ for a constant h such that $h^d = \frac{1}{c} = \frac{b_2}{b_1}$, $h^m = \frac{a_2}{a_1}$.*

Proof. Since $P(f) = Q(g)$ we get

$$f^d + a_1 f^{d-m} + b_1 = c(g^d + a_2 g^{d-m} + b_2), \quad dT(r, f) + O(1) = dT(r, g),$$

$$(2.1) \quad T(r, f) + O(1) = T(r, g).$$

Equation (2.1) can be rewritten as

$$f_1 + f_2 = cb_2 - b_1, \quad \text{where } f_1 = f^{d-m}(f^m + a_1), \quad f_2 = -cg^{d-m}(g^m + a_2).$$

If $cb_2 - b_1 \neq 0$, then by Lemma 2.1, we have

$$\begin{aligned} T(r, f_1) &\leq N_1(r, f_1) + N_1\left(r, \frac{1}{f_1}\right) + N_1\left(r, \frac{1}{f_1 - (cb_2 - b_1)}\right) - \log r + O(1), \\ dT(r, f) &\leq N_1(r, f) + N_1\left(r, \frac{1}{f}\right) + N_1\left(r, \frac{1}{f^m + a_1}\right) + N_1\left(r, \frac{1}{g}\right) + \\ &\quad + N_1\left(r, \frac{1}{g^m + a_2}\right) - \log r + O(1), \\ dT(r, f) &\leq (2m+3)T(r, f) - \log r + O(1), \quad (d-2m-3)T(r, f) \leq \\ &\leq -\log r + O(1), \end{aligned}$$

which contradicts to $d \geq 2m+3$. Hence $cb_2 - b_1 = 0$. Thus, (2.1) becomes

$$(2.2) \quad f^d + a_1 f^{d-m} = cg^d + ca_2 g^{d-m}.$$

For simplicity, set $h = \frac{g}{f}$, and $\alpha = \frac{1}{c} \neq 0$; $\beta = \frac{a_1}{ca_2} \neq 0$. Then we obtain

$$f^m(ch^d - 1) = -(ca_2 h^{d-m} - a_1), \quad f^m(h^d - \alpha) = -a_2(h^{d-m} - \beta),$$

$$(2.3) \quad f^m = -a_2 \frac{h^{d-m} - \beta}{h^d - \alpha}.$$

Assume that h is not a constant. Consider the following possible cases:

Case 1. $m \geq 2$, $(m, d) = 1$. If $h^d - \alpha$ and $h^{d-m} - \beta$ have no common zeros, then all zeros of $h^d - \alpha$ have multiplicities $\geq m$. Then

$$N_1\left(r, \frac{1}{h^d - \alpha}\right) \leq \frac{1}{m} N\left(r, \frac{1}{h^d - \alpha}\right).$$

By Lemma 2.1 we obtain

$$\begin{aligned} T(r, h^d) &\leq N_1(r, h^d) + N_1(r, \frac{1}{h^d}) + N_1(r, \frac{1}{h^d - \alpha}) - \log r + O(1), \\ dT(r, h) &\leq 2T(r, h) + \frac{1}{m}N(r, \frac{1}{h^d - \alpha}) - \log r + O(1) \leq \\ &\leq (2 + \frac{d}{m})T(r, h) - \log r + O(1), \end{aligned}$$

$$(d - 2 - \frac{d}{m})T(r, h) \leq -\log r + O(1),$$

which leads to $d(m - 1) < 2m$, a contradiction to the condition $d \geq 2m + 3$.

If $h^d - \alpha$ and $h^{d-m} - \beta$ have common zeros, then there exists z_0 such that $h^d(z_0) = \alpha$, $h^{d-m}(z_0) = \beta$. From (2.3) we get

$$\alpha f^m \left(\left(\frac{h}{h(z_0)} \right)^d - 1 \right) = -\beta a_2 \left(\left(\frac{h}{h(z_0)} \right)^{d-m} - 1 \right).$$

Since $(m, d) = 1$, the equations $z^d - 1 = 0$ and $z^{d-m} - 1 = 0$ have different roots, except for $z = 1$. Let $r_i, i = 1, \dots, 2d - m - 2$, be all the roots of them. Then all zeros of $\frac{h}{h(z_0)} - r_i$ have multiplicities $\geq m$. Therefore, by Lemma 2.2 we obtain

$$\left(1 - \frac{1}{m}\right)(2d - m - 2) < 2, \quad 2d(m - 1) < m^2 + 3m - 2,$$

which contradicts $d \geq 2m + 3$, $m \geq 2$. Thus, h is a constant.

Case 2. $m \geq 3$. Note that equation $z^d - \alpha = 0$ has d simple zeros, equation $z^{d-m} - \beta = 0$ has $d - m$ simple zeros. Then $z^d - \alpha = 0$, $z^{d-m} - \beta = 0$ have at most $d - m$ common simple zeros. Therefore, the equation $z^d - \alpha = 0$ has at least m distinct roots, which are not roots of $z^{d-m} - \beta = 0$. Let r_1, r_2, \dots, r_m be all these roots. Then all zeros of $h - r_j, j = 1, \dots, m$, have multiplicities $\geq m$. By Lemma 2.2 we have $m(1 - \frac{1}{m}) < 2$. Therefore, $m < 3$. From $m \geq 3$, we obtain a contradiction. Thus h is a constant. ■

3. Proof of main results

3.1. Proof of Theorem 1. We have

$$\begin{aligned} P(f) &= (f - e_1) \cdots (f - e_d), \quad e_i \in \mathbb{K}, \quad e_i \neq 0, \\ (P(f))^n &= (f - e_1)^n \cdots (f - e_d)^n, \\ Q(g) &= (g - k_1) \cdots (g - k_d), \quad k_i \in \mathbb{K}, \quad k_i \neq 0, \\ (Q(g))^n &= (g - k_1)^n \cdots (g - k_d)^n. \end{aligned}$$

Set

$$A = ((P(f))^n)^{(k)}, \quad B = ((Q(g))^n)^{(k)}, \quad C = P(f),$$

$$D = Q(g), \quad F = \frac{A}{C^{n-k}}, \quad Q = \frac{B}{D^{n-k}}.$$

Then

$$C = (f - e_1) \cdots (f - e_d), \quad D = (g - k_1) \cdots (g - k_d),$$

$$A = (C^n)^{(k)} = FC^{n-k}, \quad B = (D^n)^{(k)} = QD^{n-k}.$$

Applying Lemma 2.3 to $(C^n)^{(k)}$, $(D^n)^{(k)}$ we have one of the following possibilities:

Case 1.

$$T(r, A) \leq N_2(r, A) + N_2(r, \frac{1}{A}) + N_2(r, B) + N_2(r, \frac{1}{B}) - \log r + O(1),$$

$$T(r, B) \leq N_2(r, A) + N_2(r, \frac{1}{A}) + N_2(r, B) + N_2(r, \frac{1}{B}) - \log r + O(1).$$

We see that, if a is a pole of A , then $C(a) = \infty$ with $\nu_A^\infty(a) \geq n + k \geq 2$. Therefore,

$$N_1(r, C) = N_1(r, (f - e_1) \cdots (f - e_d)) = N_1(r, f) \leq T(r, f) + O(1),$$

$$N_1(r, \frac{1}{C}) = \sum_{i=1}^d N_1(r, \frac{1}{f - e_i}) \leq dT(r, f) + O(1),$$

$$N_2(r, A) = 2N_1(r, C) \leq 2T(r, f) + O(1),$$

$$N_2(r, \frac{1}{A}) \leq N_2(r, \frac{1}{C^{n-k}}) + N(r, \frac{1}{F}) = 2N_1(r, \frac{1}{C}) + N(r, \frac{1}{F}) \leq$$

$$\leq 2dT(r, f) + N(r, \frac{1}{F}) \leq 2dT(r, f) + kN_1(r, C) +$$

$$+ kdT(r, f) + O(1) = d(k+2)T(r, f) + kN_1(r, C) + O(1).$$

Similarly,

$$N_2(r, B) \leq 2T(r, g) + O(1),$$

$$N_2(r, \frac{1}{B}) \leq 2dT(r, g) + N(r, \frac{1}{Q}) \leq d(k+2)T(r, g) + kN_1(r, D) + O(1).$$

Combining the above inequalities, we get

$$T(r, A) \leq (2+2d+kd)T(r, f) + (2+2d)T(r, g) + kN_1(r, C) + N(r, \frac{1}{Q}) - \log r + O(1),$$

$$T(r, B) \leq (2+2d+kd)T(r, g) + (2+2d)T(r, f) + kN_1(r, D) + N(r, \frac{1}{F}) - \log r + O(1).$$

$$\begin{aligned} T(r, A) + T(r, B) &\leq (4 + 4d + kd)(T(r, f) + T(r, g)) + kN_1(r, C) + \\ &+ N(r, \frac{1}{Q}) + kN_1(r, D) + N(r, \frac{1}{F}) - 2 \log r + O(1). \end{aligned}$$

By Lemma 2.8 we obtain

$$(n - 2k)dT(r, f) + kN(r, C) + N(r, \frac{1}{F}) \leq T(r, A) + O(1),$$

$$(n - 2k)dT(r, g) + kN(r, D) + N(r, \frac{1}{Q}) \leq T(r, B) + O(1).$$

Thus,

$$\begin{aligned} (n - 2k)d(T(r, f) + T(r, g)) + kN(r, C) + N(r, \frac{1}{F}) + kN(r, D) + N(r, \frac{1}{Q}) &\leq \\ &\leq T(r, A) + T(r, B) + O(1), \end{aligned}$$

$$\begin{aligned} (n - 2k)d(T(r, f) + T(r, g)) + kN(r, C) + N(r, \frac{1}{F}) + kN(r, D) + N(r, \frac{1}{Q}) &\leq \\ &\leq (4 + 4d + kd)(T(r, f) + T(r, g)) + kN_1(r, C) + N(r, \frac{1}{Q}) + \\ &+ kN_1(r, D) + N(r, \frac{1}{F}) - 2 \log r + O(1). \end{aligned}$$

Therefore,

$$(n - 2k)d(T(r, f) + T(r, g)) \leq (4 + 4d + kd)(T(r, f) + T(r, g)) - 2 \log r + O(1),$$

$$((n - 2k)d - 4 - 4d - kd)(T(r, f) + T(r, g)) \leq -2 \log r + O(1).$$

Since $n \geq 3k + 5 > 2k + \frac{4+4d+kd}{d}$, we obtain a contradiction.

Case 2. $(P(f))^n (Q(g))^n = 1$. Then we have

$$C = P(f) = (f - e_1) \cdots (f - e_d), (C^n)^{(k)} = C^{n-k} F, D = Q(g).$$

Therefore

$$(f - e_1)^{n-k} \cdots (f - e_d)^{n-k} \cdot F \cdot (D^n)^{(k)} = (C^n)^{(k)} (D^n)^{(k)} = 1.$$

Because $n \geq 3k + 5$ we see that, if z_0 is a zero of $f - e_i$ with $1 \leq i \leq d$, then z_0 is a zero of C , and therefore, z_0 is a zero of $(C^n)^{(k)}$, and then z_0 is a pole

of $(D^n)^{(k)}$ and $\nu_{(D^n)^{(k)}}^\infty(z_0) = (n-k)\nu_f^{e_i}(z_0)$. Thus, z_0 is a pole of g , and by Lemma 2.4 we get

$$\nu_{(D^n)^{(k)}}^\infty(z_0) = nd\nu_g^\infty(z_0) + k \geq nd + k.$$

So $\nu_f^{e_i}(z_0) = \frac{nd\nu_g^\infty(z_0)+k}{n-k} \geq \frac{nd+k}{n-k}, i = 1, 2, \dots, d$. Applying Lemma 2.2, we obtain:

$$\sum_{i=1}^d \left(1 - \frac{n-k}{nd+k}\right) < 2.$$

From this we have $n(d^2 - 3d) < 2k(1 - d)$, and so we obtain a contradiction to $d \geq 12$.

Case 3. $((P(f))^n)^{(k)} = ((Q(g))^n)^{(k)}$. Then $(P(f))^n - s = (Q(g))^n$, where s is a polynomial of degree $< k$. We prove $s \equiv 0$. If it is not the case, then

$$\begin{aligned} \frac{((P(f))^n)}{s} - 1 &= \frac{(g - k_1)^n \cdots (g - k_d)^n}{s}, \\ \frac{(g - k_1)^n \cdots (g - k_d)^n}{s} + 1 &= \frac{(f - e_1)^n \cdots (f - e_d)^n}{s}. \end{aligned}$$

Set $H = \frac{C^n}{s}, G = \frac{D^n}{s}$. Since f, g are not constants, and so are C, D, C^n, D^n, H, G . Applying Lemma 2.1 to H with values $\infty, 0, 1$, we get

$$T(r, H) \leq N_1(r, H) + N_1\left(r, \frac{1}{H}\right) + N_1\left(r, \frac{1}{H-1}\right) - \log r + O(1).$$

On the other hand,

$$T(r, C^n) = nT(r, C) + O(1) \leq T(r, H) + T(r, s) \leq T(r, H) + (k-1)\log r + O(1),$$

$$nT(r, C) - (k-1)\log r \leq T(r, H) + O(1), \quad ndT(r, f) - (k-1)\log r \leq T(r, H) + O(1).$$

$$N_1(r, H) \leq N_1(r, C^n) + N_1\left(r, \frac{1}{s}\right) \leq N_1(r, f) + (k-1)\log r \leq T(r, f) + (k-1)\log r,$$

$$N_1\left(r, \frac{1}{H}\right) \leq N_1\left(r, \frac{1}{C^n}\right) = N_1\left(r, \frac{1}{C}\right) \leq T(r, C) + O(1) = dT(r, f) + O(1),$$

$$N_1\left(r, \frac{1}{H-1}\right) = N_1\left(r, \frac{1}{G}\right) \leq N_1\left(r, \frac{1}{D^n}\right) = N_1\left(r, \frac{1}{D}\right) \leq T(r, D) + O(1) = dT(r, g)$$

$$+ O(1), \quad ndT(r, f) - (k-1)\log r \leq T(r, f) + (k-1)\log r + d(T(r, f) + T(r, g)) + O(1).$$

From this, and noting that $\log r \leq T(r, f)$, we get

$$(nd - 2(k-1))T(r, f) \leq T(r, f) + d(T(r, f) + T(r, g)) + O(1).$$

Applying Lemma 2.1 to G with values $\infty, 0, -1$, and noting that $\log r \leq T(r, g)$ we obtain

$$T(r, G) \leq N_1(r, G) + N_1(r, \frac{1}{G}) + N_1(r, \frac{1}{G+1}) - \log r + O(1),$$

$$ndT(r, g) - (k-1)\log r \leq T(r, g) + (k-1)\log r + d(T(r, f) + T(r, g)) - \log r + O(1),$$

$$(nd - 2(k-1))T(r, g) \leq T(r, g) + d(T(r, f) + T(r, g)) - \log r + O(1).$$

So

$$(nd - 2(k-1))(T(r, f) + T(r, g)) \leq T(r, f) + T(r, g) + 2d(T(r, f) + T(r, g)) - 2\log r + O(1),$$

$$(nd - 2d - 2k + 1)(T(r, f) + T(r, g)) + 2\log r \leq O(1).$$

We obtain a contradiction to $n \geq 3k + 5 > \frac{2d+2k-1}{d}$. So $s = 0$. Then $(P(f))^n = (Q(g))^n$. Therefore, $P(f) = cQ(g), c^n = 1$. From this and by Lemma 2.9, we obtain the conclusion of Theorem 1. ■

3.2 Proof of Theorem 2. Set

$$C = P(f) = f^d + a_1 f^{d-m} + b_1, \quad D = Q(g) = g^d + a_2 g^{d-m} + b_2,$$

$$M = -\frac{f^{d-m}(f^m + a_1)}{b_1}, \quad N = -\frac{g^{d-m}(g^m + a_2)}{b_2}.$$

Since $P(f)$ and $Q(g)$ share 0 CM, we get $E_M(1) = E_N(1)$. Applying Lemma 2.3 to M, N , we have one of the following possibilities:

Case 1.

$$T(r, M) \leq N_2(r, M) + N_2(r, \frac{1}{M}) + N_2(r, N) + N_2(r, \frac{1}{N}) - \log r + O(1),$$

$$T(r, N) \leq N_2(r, M) + N_2(r, \frac{1}{M}) + N_2(r, N) + N_2(r, \frac{1}{N}) - \log r + O(1).$$

Moreover,

$$T(r, M) = dT(r, f) + O(1) \quad N_1(r, M) = N_1(r, f) \leq T(r, f) + O(1),$$

$$N_2(r, M) = 2N_1(r, f) \leq 2T(r, f) + O(1),$$

$$N_2(r, \frac{1}{M}) \leq 2N_1(r, \frac{1}{f}) + N_2(r, \frac{1}{f^m + a_1}) \leq 2T(r, f) + mT(r, f) + O(1).$$

Similarly

$$N_2(r, N) \leq 2T(r, g) + O(1), \quad N_2(r, \frac{1}{N}) \leq 2T(r, g) + mT(r, g) + O(1).$$

Therefore,

$$T(r, M) = dT(r, f) + O(1) \leq 4(T(r, f) + T(r, g)) + m(T(r, f) + T(r, g)) - \log r + O(1).$$

Similarly

$$T(r, N) = dT(r, g) + O(1) \leq 4(T(r, f) + T(r, g)) + m(T(r, f) + T(r, g)) - \log r + O(1).$$

Combining the above inequalities we get

$$\begin{aligned} d(T(r, f) + T(r, g)) &\leq 8(T(r, f) + T(r, g)) + 2m(T(r, f) + T(r, g)) - 2 \log r + O(1), \\ (d - 2m - 8)(T(r, f) + T(r, g)) + 2 \log r &\leq O(1). \end{aligned}$$

We obtain a contradiction to $d \geq 2m + 8$.

Case 2. $M.N = 1$, i.e. $f^{d-m}(f^m + a_1)g^{d-m}(g^m + a_2) = \frac{b_1}{b_2}$.

Note that equation $z^m + a_1 = 0$ has m simple zeros. Let r_1, r_2, \dots, r_m be all these roots. Therefore

$$(3.1) \quad f^{d-m}(f - r_1) \dots (f - r_m) g^{d-m}(g^m + a_2) = \frac{b_1}{b_2}.$$

From (3.1) it follows that all zeros of $f - r_j, j = 1, \dots, m$, have multiplicities $\geq d$, and all zeros of f have multiplicities $\geq \frac{d}{d-m}$. By Lemma 2.2 we have $1 - \frac{d-m}{d} + m(1 - \frac{1}{d}) < 2$. Then $m < 2$. Since $m \geq 2$, we obtain a contradiction.

Case 3. $M = N$, i.e. $\frac{f^{d-m}(f^m + a_1)}{b_1} = \frac{g^{d-m}(g^m + a_2)}{b_2}$. Then

$$(3.2) \quad f^d + a_1 f^{d-m} + b_1 = \frac{b_1}{b_2} (g^d + a_2 g^{d-m} + b_2).$$

Applying Lemma 2.9 to (3.2), we obtain we obtain the conclusion of Theorem 2. ■

3.3 Proof of Corollary 3. Since $P(z), Q(z)$ have no multiple zeros, we see that $E_f(S) = E_g(T)$ if and only if $P(f)$ and $Q(g)$ share 0 CM. From this and Theorem 2, we obtain the conclusion of Corollary 3. ■

3.4 Proof of Corollary 4. By $E_f(S) = E_g(S)$ and Corollary 3, we obtain $g = hf$ for a constant h , such that $h^d = \frac{b_2}{b_1}, h^m = \frac{a_2}{a_1}$ with $b_1 = b_2, a_1 = a_2$. Therefore, $h^d = 1$ and $h^m = 1$. Because $(d, m) = 1$ we have $h = 1$. So $f = g$. ■

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