EXPONENTIAL SUM ON ALGEBRAIC VARIETY

$$\frac{\alpha}{f_1(x,y)} + \frac{\beta}{f_2(X,Y)} = 1$$

O. Gunyavy and P. Varbanets

(Odessa, Ukraine)

Dedicated to Professor Imre Kátai on his 70th birthday

Abstract. Let $f_i(x,y) \in \mathbb{F}_q[x,y]$, i=1,2, be quadratic polynomials. We obtain nontrivial estimates for exponential sums on the algebraic variety $\alpha f_1^{-1}(x,y) + \beta f_2^{-1}(X,Y) = 1$, where $\alpha, \beta \in \mathbb{F}_q$.

1. Introduction

Let \mathbb{F}_q , $q=p^r$ be a finite field and let f(x,y), $f_1(x,y)$, $f_2(x,y)$ be quadratic polynomials over \mathbb{F}_q . For α , $\beta \in \mathbb{F}_q$ we define the algebraic variety

$$V(\alpha,\beta) = \left\{ (x,y,X,Y) \in \mathbb{F}_q^4 \; \middle|\; \frac{\alpha}{f_1(x,y)} + \frac{\beta}{f_2(X,Y)} = 1 \right\}.$$

Let χ be an additive character of field \mathbb{F}_q , $r, s \in \mathbb{F}_q$ with the condition $r \neq 0$ or $s \neq 0$. Consider the exponential sum

$$S(\alpha, \beta) = \sum_{(x,y,X,Y) \in V(\alpha,\beta)} \chi(rx + sy + rX + sY).$$

Let \mathbb{F}_{q^n} be an extension of the field \mathbb{F}_q of degree n. For $x \in \mathbb{F}_{q^n}$ we put

$$Tr(x) = x + x^q + \dots + x^{q^{n-1}}, \quad Tr(x) \in \mathbb{F}_q.$$

We denote by χ_n an extension of a character χ in the field \mathbb{F}_{q^n} , i.e. for every $x \in \mathbb{F}_{q^n} \chi_n(x) := \chi(Tr(x))$.

We define the algebraic variety

$$V_n(\alpha, \beta) = \left\{ (x, y, X, Y) \in \mathbb{F}_{q^n}^4 \mid \frac{\alpha}{f_1(x, y)} + \frac{\beta}{f_2(X, Y)} = 1 \right\},$$
$$S_n(\alpha, \beta) = \sum_{(x, y, X, Y) \in V_n(\alpha, \beta)} \chi_n(rx + sy + rX + sY).$$

Consider the function

$$\zeta(V(\alpha,\beta),t) = \exp\left(\sum_{n=1}^{\infty} s_n(\alpha,\beta) \frac{t^n}{n}\right).$$

From the paper of B. Dwork [1] it follows that $\zeta(V(\alpha,\beta),t)$ is a rational function $\frac{h(t)}{g(t)}$, where h(t), g(t) relatively prime polynomials are with complex coefficients. We denote by $\omega_1^{-1},\ldots,\omega_\ell^{-1}$ and $\omega_{\ell+1}^{-1},\ldots,\omega_k^{-1}$ the roots of g(t) and h(t) (respectively). Moreover, the following equality

$$S_n(\alpha,\beta) = \omega_1^n + \dots + \omega_\ell^n - \omega_{\ell+1}^n - \dots - \omega_k^n, \qquad n = 1,2,\dots$$

holds. The complex numbers $\omega_1, \ldots, \omega_k$ are called the characteristic roots of the sum $S(\alpha, \beta)$.

The aim of this paper to construct an estimate for $S(\alpha, \beta)$.

B. Birch and E. Bombieri [1] obtained the estimate $S(\alpha, \beta) \ll q^{\frac{3}{2}}$ in the case $f_1(x,y) = f_2(x,y) = xy$. This permitted to obtain the asymptotic formulae for the summatory function for $\tau_3(n)$ in an arithmetic progression (see [7], [9]). Gunyavy [8] investigated the distribution of values of the function

$$\widetilde{\tau}_3(n) = \sum_{n = (u^2 + v^2)\omega} 1$$

in an arithmetic progression using the estimate $S(\alpha,\beta) \ll q^{\frac{3}{2}}$ in the case $f_1(x,y) = f_2(x,y) = x^2 + y^2$.

In the sequel we shall use the following notation:

$$f(x,y) = c_{11}x^2 + 2c_{12}xy + c_{22}y^2 + 2c_{13}x - 2c_{23}y + c_{33}, \ c_{ij} \in \mathbb{F}_q;$$

$$\omega = c_{11}s^2 - 2c_{12}sr + c_{22}r^2, \quad \delta = \begin{vmatrix} c_{11} & c_{12} \\ c_{12} & c_{22} \end{vmatrix}, \quad \Delta = \begin{vmatrix} c_{11} & c_{12} & c_{13} \\ c_{12} & c_{22} & c_{23} \\ c_{13} & c_{23} & c_{33} \end{vmatrix}.$$

The polynomials $f_1(x,y)$, $f_2(x,y)$ are defined similarly, their coefficients denoted by a_{ij} , b_{ij} and parameters by ω_1 , δ_1 , Δ_1 and ω_2 , δ_2 , Δ_2 , respectively. We shall suppose that even one of coefficients c_{11} , c_{12} or c_{22} differs from 0.

We define for every $c \in \mathbb{F}_q$

$$K_f(c) := \sum_{\stackrel{x,y \in \mathbb{F}_q}{f(x,y) = c}} \chi(rx + sy).$$

We shall distinguish seven cases:

- 1. $\delta \neq 0$, $\omega \neq 0$, $\Delta = 0$;
- 2. $\delta \neq 0$, $\omega \neq 0$, $\Delta \neq 0$;
- 3. $\delta \neq 0$, $\omega = 0$;
- 4. $\delta = 0$, $\omega \neq 0$, $\Delta = 0$;
- 5. $\delta = \omega = \Delta = 0$;
- 6. $\delta = 0$, $\omega = 0$, $\Delta \neq 0$;
- 7. $\delta = 0$, $\omega \neq 0$, $\Delta \neq 0$.

Further if in the variety $V(\alpha, \beta)$ the polynomial $f_1(x, y)$ belongs to a case i) and the polynomial $f_2(x, y)$ belongs to a case j), then we denote this case by (i, j). Furthermore, (i, *) is the union of the cases (i, j), $j = 1, \ldots, 7$.

The following statement is the main result of this paper.

Theorem. There exist absolute constants c_0 and c_1 such that for $p > c_0$ the following estimates

$$S(\alpha, \beta) \ll \left\{ egin{array}{ll} q \sqrt{q} & \textit{for the cases} & (7,1), (7,2), (1,1), (2,2), (7,7); \\ q^2 & \textit{for the cases} & (7,5), (5,1), (5,2); \\ q^2 \sqrt{q} & \textit{for the case} & (5,5) \end{array}
ight.$$

hold. Moreover,

$$S(\alpha, \beta) = 0$$
 for the cases $(4, *), (6, *)$;

and for the cases (3,*)

$$S(\alpha,\beta) = \begin{cases} \chi(ra_1 + sa_2) \cdot (K_{f_2}(0) + K_{f_2}(\beta)) & \text{if } \alpha = \frac{\Delta_1}{\delta_1}; \\ \chi(ra_1 + sa_2) \left(K_{f_2}(0) + K_{f_2}(\beta) + qK_{f_2}\left(\frac{\beta\Delta_1}{\Delta_1 - \alpha\delta_1}\right)\right) & \text{if } \alpha \neq \frac{\Delta_1}{\delta_1}. \end{cases}$$

2. Some lemmas

Lemma 1. (Deligne [4], [5]) For the characteristic roots ω_j we have the equality

$$|\omega_j| = q^{\frac{m_j}{2}}, \ m_j \in \mathbb{N} \cup \{0\}, \ j = 1, \dots, k.$$

Moreover, all conjugates with ω_j over \mathbb{Q} have equal modules (number m_j is called the weight of root ω_j).

Lemma 2. (Bombieri [2]) Let f(x,y) be an absolutely irreducible polynomial over \mathbb{F}_p . Then

$$\sum_{\substack{x,y\in\mathbb{F}_{q^n}\\f(x,y)=0}}\chi_n(rx+sy)\ll q^{\frac{n}{n}}.$$

Lemma 3. Let $f(x,y,z) \in \mathbb{F}_q[x,y,z]$ and let V be an algebraic variety, defined by the polynomial f. Suppose that for $\alpha, \beta, \gamma \in \mathbb{F}_p$ and for all $\tau \in \overline{\mathbb{F}}_p$ except for O(1) values of them, the polynomial

$$\varphi_{\tau}(x,y) = f(x,y,\tau\gamma^{-1} - \alpha\gamma^{-1}x - \beta\gamma^{-1}y)$$

is absolutely irreducible over \mathbb{F}_p . Then

$$\sum_{(x,y,z)\in V\cap \mathbb{F}_p^3} e^{2\pi i \frac{TT(\alpha x+\beta y+\gamma z)}{p}} \ll q.$$

Proof. We shall follow the scheme of C. Hooley [10].

Let us consider

$$M(\alpha,\beta,\gamma) = \sum_{\mu \in \mathbb{F}_{q}^{*}} \left| S(\mu\alpha,\mu\beta,\mu\gamma) \right|^{2} = \sum_{\mu \in \mathbb{F}_{q}^{*}} \left| \sum_{(x,y,z) \in V} e^{2\pi i \frac{Tr(\mu\alpha x + \mu\beta y + \mu\gamma z)}{p}} \right|^{2}$$

Let $N(\tau)$ be the number of solutions of the system of the equations

$$f(\xi, \eta, \zeta) = 0$$
, $\alpha \xi + \beta \eta + \gamma \zeta = \tau$ $(\tau \in \mathbb{F}_q)$.

Put

$$\overline{N} = \frac{1}{q} \sum_{\tau \in \mathbb{F}_+} N(\tau).$$

Then, we have (since $\sum_{\tau \in \mathbb{F}_q} \chi(\tau) = 0$)

$$S(\mu\alpha, \mu\beta, \mu\gamma) = \sum_{\tau \in \mathbb{F}_q} N(\tau) \chi(\mu\tau) = \sum_{\tau \in \mathbb{F}_q} (N(\tau) - \overline{N}) \chi(\mu\tau).$$

Hence,

$$\begin{split} M &= M(\alpha,\beta,\gamma) = \sum_{\mu \in \mathbb{F}_q^*} \sum_{\tau_1,\tau_2} (N(\tau_1) - \overline{N})(N(\tau_2) - \overline{N}) e^{2\pi i \frac{T\tau(\mu(\tau_1 - \tau_2))}{p}} = \\ &= (q-1) \sum_{\tau \in \mathbb{F}_q} (N(\tau) - \overline{N})^2 + \sum_{\tau_1 \neq \tau_2} (N(\tau_1) - \overline{N})(N(\tau_2) - \overline{N}) \sum_{\mu \in \mathbb{F}_q^*} e^{2\pi i \frac{T\tau(\mu)}{p}} = \\ &= q \sum_{\tau \in \mathbb{F}_q} (N(\tau) - \overline{N})^2 - \sum_{\tau_1,\tau_2 \in \mathbb{F}_q} (N(\tau_1) - \overline{N})(N(\tau_2) - \overline{N}) = \\ &= q \sum_{\tau \in \mathbb{F}_q} (N(\tau) - \overline{N})^2 - \left(\sum_{\tau \in \mathbb{F}_q} (N(\tau) - \overline{N}) \right)^2 = q \sum_{\tau \in \mathbb{F}_q} (N(\tau) - \overline{N})^2 = \\ &= q \sum_{\tau \in \mathbb{F}_q} (N(\tau) - q)^2 - q^2(\overline{N} - q)^2 \le q \sum_{\tau \in \mathbb{F}_q} (N(\tau) - q)^2. \end{split}$$

It is clear that $N(\tau)$ is the number of solutions of the equation

$$f(\xi, \eta, (\tau - \alpha \xi - \beta \eta) \gamma^{-1}) = 0$$

or

$$\varphi_{\tau}(x,y)=0.$$

Now, using the Weil's estimate for the number of points on an algebraic curve over \mathbb{F}_q defined by an absolutely irreducible polynomial, we obtain

(1)
$$M = q \sum_{\substack{\tau \in \mathbb{F}_q \\ \varphi_T(x,y) \text{ is abs. irreducibility}}} \left(O(q^{\frac{1}{2}})\right)^2 + qO(1) \cdot O(q^2) = O(q^3).$$

Further, by Lemma 1

$$S(\mu\alpha, \mu\beta, \mu\gamma) = \omega_{1,\mu}^r + \dots - \omega_{\ell+1,\mu}^r - \dots - \omega_{k,\mu}^r, \ (\mu \in \mathbb{F}_q^*)$$

and $|\omega_{j,\mu}|$ does not depend on μ . Let

$$p^{\frac{N}{2}} = \max_{1 \le i \le k} |\omega_{j,\mu}|, \ \ (N \ge 0).$$

If $N \leq 2$ then we have $S(\alpha, \beta, \gamma) \ll q$. Thus we suppose that $N \geq 3$. Let k_0 be the number of ω_j , $j = 1, \ldots, k$, for which $|\omega_j| = q^{\frac{N}{2}}$. Then we have

$$S(\mu\alpha,\mu\beta,\mu\gamma) = e_1\omega_{1,\mu}^r + \dots + e_{k_0}\omega_{k_0,\mu}^r + O\left(q^{\frac{N-1}{2}}\right),$$

where $|\omega_{j,\mu}^r| = q^{\frac{N}{2}}$, $\omega_{j_1,\mu} \neq \pm \omega_{j_2,\mu}$ for $j_1 \neq j_2$, and e_1, \ldots, e_{k_0} are integers, $|e_0| + \cdots + |e_{k_0}| > 0$. Hence

(2)
$$S(\mu\alpha, \mu\beta, \mu\gamma) = q^{\frac{N}{2}}(e_1z_{1,\mu} + \dots + e_{k_0}z_{k_0,\mu}) + O\left(q^{\frac{N-1}{2}}\right),$$

where $z_{j,\mu}$ are complex numbers, $|z_{j,\mu}| = 1$, $z_{j_1,\mu} \neq \pm z_{j_2,\mu}$ for $j_1 \neq j_2$. Now, from (2) we obtain

$$q^{-N}M(\alpha,\beta,\gamma) = q^{-N} \sum_{\mu \in \mathbb{F}_q^*} |S(\mu\alpha,\mu\beta,\mu\gamma)|^2 \ge q^{-N} \sum_{\mu \in \mathbb{F}_p^*} |S(\mu\alpha,\mu\beta,\mu\gamma)|^2 =$$

$$= \sum_{\mu \in \mathbb{F}_q^*} |e_1 z_{1,\mu}^r + \dots + e_{k_0} z_{k_0,\mu}^r| + O(p^{1-\frac{r}{2}}).$$

Applying the Bombieri-Davenport lemma [3], we obtain

$$\sum_{\mu \in \mathbb{F}_p^*} \frac{1}{R} \sum_{\substack{r < 2R \\ r \equiv 1 \pmod{2}}} \left| e_1 z_{1,\mu}^r + \dots + e_{k_0} z_{k_0,\mu}^r \right|^2 = O(1) + O\left(\frac{\sqrt{p}}{R}\right),$$

and, hence,

$$(p-1)(e_1^2 + \dots + e_{k_0}^2) = \sum_{\mu \in \mathbb{F}_p^{\bullet}} \lim_{\substack{r \to \infty \\ r \text{ is odd}}} \left| e_1 z_{1,\mu}^r + \dots + e_{k_0} z_{k_0,\mu}^r \right|^2 =$$

$$= \sum_{\mu \in \mathbb{F}_p^{\bullet}} \overline{\lim_{R \to \infty}} \frac{1}{R} \sum_{\substack{r \le 2R \\ r \text{ odd}}} \left| e_1 z_{1,\mu}^r + \dots + e_{k_0} z_{k_0,\mu}^r \right|^2 = O(1).$$

But the equality $(p-1)(e_1^2+\cdots+e_{k_0}^2)=O(1)$ means that p=O(1). Hence, there exist $c_0>0$ such that for $p\geq c_0$ a greatest module of characteristic roots $|\omega_j|\leq p$. Consequently,

$$S(\alpha, \beta, \gamma) \ll q$$
.

Suppose that $r, s, c \in \mathbb{F}_q$, $f(x, y) \in \mathbb{F}_q[x, y]$, $\deg f(x, y) = 2$, and that χ is a character of the field \mathbb{F}_q . We define

$$K_f(c) = K_f(r,s;c) := \sum_{\stackrel{x,y \in \mathbb{F}_q}{f(x,y) = c}} \chi(rx + sy).$$

Lemma 4. If $(r,s) \in \mathbb{F}_q^2 \setminus \{0,0\}$, then we have

$$K_f(c) = \begin{cases} \ll \sqrt{q} & \text{in the cases 1),2) or 7);} \\ \ll q & \text{in the case 5);} \\ 0 & \text{in the cases 4),6);} \\ q-1 & \text{in the case } \delta \neq 0, \, \omega = 0, \, c = \frac{\Delta}{\delta}; \\ -1 & \text{in the case } \delta \neq 0, \, \omega = 0, \, c \neq \frac{\Delta}{\delta}. \end{cases}$$

Proof. We can suppose that $s \neq 0$. Then

$$K_f(c) = \sum_{ au \in \mathbb{F}_q} \sum_{f(x, \frac{ au - \iota \cdot x}{c}) = c} \chi(au) = \sum_{ au \in \mathbb{F}_q} N(au) \chi(au),$$

where $N(\tau)$ is the number of solutions of the equation $f\left(x, \frac{\tau - rx}{s}\right) = 0$ over \mathbb{F}_q :

$$f\left(x, \frac{\tau - rx}{s}\right) =$$

$$\frac{1}{s^2} \left[\omega x^2 + 2 (\tau (a_{12}s - a_{22}r) + s(a_{13}s - a_{23}r)) x + (a_{22}\tau^2 + 2a_{23}s\tau + a_{33}s^2) \right] =$$

$$(3) = c.$$

For the discriminant D of the equation (3) we have

$$rac{S^4D}{4} = au^2 \left[(a_{12}s - a_{22}r)^2 - \omega a_{22}
ight] + 2 au s \left[(a_{12}s - a_{22}r)(a_{13}s - a_{23}r) - \omega a_{23}
ight] +$$

$$+s^{2}\left[(a_{13}s-a_{23}r)^{2}-\omega a_{33}\right]+\omega cs^{2}.$$

If the curve f(x,y) = 0 has center (a,b) then

$$K_f(c) = \chi(ra + sb) \sum_{f(x+a,y+b)=c} \chi(rx + sy).$$

Denote

$$F(x,y) = f(x+a,y+b) = a_{11}x^2 + 2a_{12}xy + a_{22}y^2 + a'_{33}, \ a'_{33} = f(a,b).$$

Then we have

$$K_f(c) = \chi(ra+sb) \sum_{ au \in \mathbb{F}_a} N_1(au) \chi(au),$$

where $N_1(\tau)$ is the number of solutions of the equation

(4)
$$F\left(x, \frac{\tau - rx}{s}\right) = \frac{1}{s^2} \left[\omega x^2 + 2\tau (a_{12}s - a_{22}r)x + (a_{22}\tau^2 + a'_{33}s^2)\right] = c.$$

If $\omega \neq 0$, for a discriminant D of the quadratic equation (4) we have

$$\frac{s^2D}{4} = \omega(c - a_{33}') - \delta\tau^2,$$

and hence,

(5)
$$N(\tau) = 1 + \eta(D) = 1 + \eta(\omega(c - a_{33}') - \delta\tau^2).$$

Now we consider various cases:

1. $\delta \neq 0$, $\omega \neq 0$, $\Delta = 0$. In this case

$$a=rac{1}{\delta}(a_{13}a_{22}-a_{12}a_{23}), \quad b=rac{1}{\delta}(a_{11}a_{23}-a_{13}a_{12}), \quad a_{33}'=f(a,b)=rac{\Delta}{\delta}=0.$$

Thus by (1), (5)

$$egin{aligned} K_f(c) &= \chi(ra+sb) \sum_{ au \in \mathbb{F}_q} \eta(\omega c - \delta au^2) \chi(au) = \ &= \eta(-\delta) \chi(ra+sb) \sum_{xy=c} \chi\left(x + rac{\omega}{4\delta} y
ight) \ll \sqrt{q}. \end{aligned}$$

2.
$$\delta \neq 0$$
, $\omega \neq 0$, $\Delta \neq 0$.

From (1), (5) we obtain

$$K_f(c) = \chi(ar + bs) \sum_{\tau \in \mathbb{F}_q} \eta \left(\omega \left(c - \frac{\Delta}{\delta} \right) - \delta \tau^2 \right) \chi(\tau) =$$

$$= \eta(-\delta) \chi(ar + bs) \sum_{xy = c - \frac{\Delta}{\delta}} \chi \left(x + \frac{\omega}{4\delta} y \right) \ll \sqrt{q}.$$

3. $\delta \neq 0$, $\omega = 0$.

In this case the equation (4) has form

$$s^{2}F\left(x,\frac{\tau-rx}{s}\right)=2\tau(a_{12}s-a_{22}r)+(a_{22}\tau^{2}+a_{33}'s^{2})=cs^{2}.$$

Hence,

$$N_1(au) = \left\{ egin{array}{ll} 1 & ext{if} & au
eq 0, \ q & ext{if} & au = 0, \, c = rac{\Delta}{\delta}, \ 0 & ext{if} & au = 0, \, c
eq rac{\Delta}{\delta}, \end{array}
ight.$$

$$K_f(c) = \chi(ra + sb) \cdot \left\{ \begin{array}{ll} q - 1, & \text{if} & c = \frac{\Delta}{\delta}, \\ -1, & \text{if} & c \neq \frac{\Delta}{\delta}. \end{array} \right.$$

4. $\delta = 0, \, \omega \neq 0, \, \Delta = 0$

Then $\frac{s^2D}{4} = \omega(c - a'_{33})$, i.e. D is independent on τ . Hence,

$$K_f(c) = \chi(ra + sb) \sum_{\tau \in \mathbb{F}_q} (1 + \eta(D)) \chi(\tau) = 0.$$

5. $\delta = \omega = \Delta = 0$.

The equation (3) has the form

$$\frac{1}{s^2}(a_{22}\tau^2 + 2a_{23}s\tau + a_{33}s^2) = c.$$

Hence,

$$N_1(au) = \left\{ egin{array}{ll} q & ext{if } a_{22} au^2 + 2a_{23}s au + a_{33}'s^2 = cs^2, \\ 0 & ext{else.} \end{array}
ight.$$

$$K_f(c) = q \sum_{\substack{\tau \in \mathbb{F}_q \\ a_{22}\tau^2 + 2a_{23}s\tau + a'_{33}s^2 = cs^2}} \chi(\tau) = q\chi\left(-\frac{a_{23}}{a_{22}}s\right) \sum_{\substack{\tau \in \mathbb{F}_q \\ a_{22}\tau^2 = s^2(c - a'_{32})}} \chi(\tau) \ll q$$

(here $a'_{33} = a_{33} - \frac{a_{23}^2}{a_{22}}$).

Now we consider the cases, when the curve f(x,y)=0 is a noncentrical curve.

6. $\delta = 0$, $\omega = 0$, $\Delta \neq 0$.

The equation (3) accepts the form

$$\frac{1}{s^2} \left[2s(a_{13}s - a_{23}r)x + (a_{22}\tau^2 + 2a_{23}s\tau + a_{33}'s^2) \right] = c.$$

Then $N_1(\tau) = 1$. Hence,

$$K_f(c) = \sum_{\tau \in \mathbb{F}_q} \chi(\tau) = 0$$
 for any $c \in \mathbb{F}_q$.

7. $\delta = 0, \, \omega \neq 0, \, \Delta \neq 0.$

Denote

$$a = \frac{1}{s} [(a_{12}s - a_{22}r)(a_{13}s - a_{23}r) - \omega a_{23}], \ \ b = (a_{13}s - a_{23}r)^2 - \omega a_{33}.$$

Then for a discriminant D of the equation (3) we have

$$\frac{s^2D}{4} = 2\tau a + b + \omega c.$$

From $\delta = 0$, $\Delta = 0$ we easily infer that $a \neq 0$. Thus

$$K_f(c) = \sum_{\tau \in \mathbb{F}_q} (1 + \eta(D)) \chi(\tau) = \sum_{\tau \in \mathbb{F}_q} \eta(2\tau a + b + \omega c) \chi(\tau) =$$
$$= \eta(2a) \chi\left(-\frac{b + \omega c}{2a}\right) \sum_{\tau \in \mathbb{F}_q} \eta(\tau) \chi(\tau) \ll \sqrt{q}.$$

3. Auxiliary sum

We consider the auxiliary sum

$$\sum_{c \in \mathbb{F}_q} K_f(c) \overline{K_f(uc)}, \qquad u \in \mathbb{F}_q^*$$

(here \overline{a} is the complex conjugate of a). We have

$$\sum_{c\in\mathbb{F}_q}K_f(c)=\sum_{x.y\in\mathbb{F}_q}\chi(rx+sy)=0.$$

Let $\delta \neq 0$, $\omega \neq 0$, i.e. the conditions 1) or 2) from the Section 2 are carried out. We have

$$\sum_{c \in \mathbb{F}_q} K_f(c) \overline{K_f(cu)} =$$

$$= \sum_{c \in \mathbb{F}_q} \sum_{\tau_1, \tau_2 \in \mathbb{F}_q} \eta \left(c\omega - \frac{\omega \Delta}{\delta} - \delta \tau_1^2 \right) \eta \left(uc\omega - \frac{\omega \Delta}{\delta} - \delta \tau_2^2 \right) \chi(\tau_1) \chi(\tau_2) =$$

$$= \eta(-u) \sum_{\tau_1, \tau_2 \in \mathbb{F}_q} \chi(\tau_1 + \tau_2) \sum_{c \in \mathbb{F}_q} \eta \left(c - \left(\frac{\Delta}{\delta} + \frac{\delta \tau_1^2}{\omega} \right) \right) \eta \left(\frac{1}{u} \left(\frac{\Delta}{\delta} + \frac{\delta \tau_2^2}{\omega} \right) - c \right)$$

$$= \eta(-u) \sum_{\tau_1, \tau_2 \in \mathbb{F}_q} \chi(\tau_1 + \tau_2) \mathfrak{I}_{\frac{1}{u} \left(\frac{\Delta}{\delta} + \frac{\delta \tau_2^2}{\omega} \right) - \left(\frac{\Delta}{\delta} + \frac{\delta \tau_1^2}{\omega} \right)} (\eta, \eta) =$$

$$= \eta(-u) \sum_{\tau_1, \tau_2 \in \mathbb{F}_q} \chi(\tau_1 + \tau_2) + q \eta(u) \sum_{\delta^2 \tau_2^2 + \omega \Delta = u(\delta^2 + \tau_1^2 + \omega \Delta)} \chi(\tau_1 + \tau_2) =$$

$$= q \eta(u) \sum_{\delta^2 \tau_2^2 + \omega \Delta = u(\delta^2 + \tau_1^2 + \omega \Delta)} \chi(\tau_1 + \tau_2).$$

Hence, we make use the relations

$$\mathfrak{J}_a(\eta,\eta) = \mathfrak{J}_1(\eta,\eta) = -\eta(-1), \text{ if } a \in \mathbb{F}_q^*,$$

$$\mathfrak{J}_0(\eta,\eta) = \eta(-1) \cdot (q-1),$$

where $\mathfrak{J}_a(\eta, \eta)$ is the Jacobi sum

$$\mathfrak{J}_a(\eta,\eta) = \sum_{\substack{x,y \in \mathbb{F}_q \\ x+y=a}} \eta(x) \eta(y).$$

Let $N_2(\tau)$ be the number of solutions of the system

$$\tau_1 + \tau_2 = \tau$$
, $\delta_2 \tau_2^2 + \omega \Delta = u(\delta^2 \tau_1^2 + \omega \Delta)$.

Then

$$\sum_{c \in \mathbb{F}_q} K_f(c) \overline{K_f(uc)} = q \eta(u) \sum_{c \in K} N_2(\tau) \chi(\tau).$$

If put $\tau_2 = \tau - \tau_1$, then we obtain that $N_2(\tau)$ equals the number of the solutions of the equation

(6)
$$\delta^{2}(u-1)\tau_{1}^{2} + 2\delta^{2}\tau\tau_{1} + \omega\Delta(u-1) - \delta^{2}\tau^{2} = 0.$$

If u = 1, we have

$$N_2(\tau) = \begin{cases} 1 & \text{if } \tau \in \mathbb{F}_q, \\ q & \text{if } \tau = 0. \end{cases}$$

Hence, for u = 1 we obtain

$$\sum_{c \in \mathbb{F}_q} K_f(c) \overline{K_f(uc)} = q(q-1).$$

If $u \neq 1$, we have for a discriminant of quadratic equation (6)

$$\frac{D_1}{4\delta^2} = u\delta^2\tau^2 - \omega\Delta(u-1)^2.$$

Hence,

$$\begin{split} N_2(\tau) &= 1 + \eta(u\delta^2\tau^2 - \omega\Delta(u-1)^2),\\ \sum_{c \in \mathbb{F}_q} K_f(c)\overline{K_f(uc)} &= q\eta(u)\sum_{\tau \in \mathbb{F}_q} \eta(u\delta^2\tau^2 - \omega\Delta(u-1)^2)\chi(\tau). \end{split}$$

Thus we infer

$$\sum_{c\in\mathbb{F}_q}K_f(c)\overline{K_f(cu)}=\begin{cases} q(q-1) & \text{if } u=1,\\ -q & \text{if } u\neq 1,\, \Delta=0,\\ q\widetilde{K}(u)\ll q^{\frac{3}{2}} & \text{if } u\neq 1,\, \Delta\neq 0, \end{cases}$$

where
$$\widetilde{K}(u) = \eta(u) \sum_{\tau \in \mathbb{F}_q} \eta(u\delta^2\tau^2 - \omega\Delta(u-1)^2)\chi(\tau)$$
.

Let $\delta=\omega=\Delta=0$ (i.e. the conditions of (5) are carried out). As in the previous case we obtain

$$\sum_{c \in \mathbb{F}_q} K_f(c) \overline{K_f(cu)} = \begin{cases} q^2(q-1) & \text{if } u = 1, \\ -\eta(u)q^2 & \text{if } u \neq 1, \ a'_{33} = 0, \\ q^2 \widetilde{K}(u) & \text{if } u \neq 1, \ a'_{33} \neq 0, \end{cases}$$

where

$$a'_{33} = a_{33} - \frac{a_{23}^2}{a_{22}}, \qquad \widetilde{K}(u) = \sum_{\tau \in \mathbb{F}_q} \eta(ua_{22}^2 \tau^2 - s^2 a_{22} a'_{33} (u-1)^2) \chi(\tau) \ll \sqrt{q}.$$

At last, let $\delta = 0$, $\omega \neq 0$, $\Delta \neq 0$. Then

$$K_f(c) = \eta(2a)\chi\left(-\frac{b+\omega c}{2a}\right)G(\eta,\chi),$$

where

$$a \neq 0$$
, $b = (a_{13}s - a_{23}r)^2 - \omega a_{33}$, $G(\eta, \chi) = \sum_{\tau \in \mathbb{R}} \eta(\tau)\chi(\tau)$

is the Gauss sum. Hence,

(7)
$$\sum_{c \in \mathbb{F}_q} K_f(c) \overline{K_f(uc)} = \begin{cases} q^2 & \text{if } u = 1, \\ 0 & \text{if } u \neq 1. \end{cases}$$

4. Proof of Theorem

Let $\alpha, \beta \in \mathbb{F}_q^*$. Define the algebraic variety

$$V(lpha,eta)=\left\{(x,y,X,Y)\in \mathbb{F}_q^4\; \left|\; rac{lpha}{f_1(x,y)}+rac{eta}{f_2(X,Y)}=1
ight\},$$

where $f_1(x,y)$, $f_2(x,y) \in \mathbb{F}_q[x,y]$, $\deg f_i = 2$, i = 1,2. Let χ be an additive character of the field \mathbb{F}_q , $(r,s) \in \mathbb{F}_q^2 \setminus \{(0,0)\}$. Define

$$S(\alpha, \beta) = \sum_{x,y,X,Y \in V(\alpha,\beta)} \chi(rx + sy + rX + sY).$$

Let

$$L_{j}(c) = K_{f_{j}}(c) = \sum_{\substack{x, y \in \mathbb{F}_{q} \\ f_{1}(x, y) = c}} \chi(rx + sy) \qquad (j = 1, 2).$$

Then

(8)
$$S(\alpha, \beta) = \sum_{u \in \mathbb{F}^* \setminus \{1\}} L_1\left(\frac{\alpha}{u}\right) L_2\left(\frac{\beta}{1-u}\right).$$

In order to prove the Theorem we consider the various pairs (i, j), i, j = 1, ..., 7, which correspond the various sets of the polynomials $f_1(x, y)$ and $f_2(x, y)$. Let, for example, $f_1(x, y)$ belong to the case 3), i.e. $\delta_1 \neq 0$, $\omega = 0$. Let (a_1, a_2) be the center of the curve $f_1(x, y) = 0$. Then

$$L_1(c) = \left\{ egin{aligned} \chi(ra_1 + sa_2)(q-1) & ext{if } c = rac{\Delta_1}{\delta_1}, \ -\chi(ra_1 + sa_2) & ext{if } c
eq rac{\Delta_1}{\delta_1}. \end{aligned}
ight.$$

Hence, in view of (8)

(9)
$$S\left(\frac{\Delta_1}{\delta_1}, \beta\right) = \chi(ra_1 + sa_2)(L_2(0) + L_2(\beta)),$$

and for $\alpha \neq \frac{\Delta_1}{\delta_1}$

(10)
$$S(\alpha,\beta) = \chi(ra_1 + sa_2) \left(L_2(0) + L_2(\beta) + qL_2 \left(\frac{\beta \Delta_1}{\Delta_1 - \alpha \delta_1} \right) \right).$$

Hence, in this case an estimate of the sum $S(\alpha, \beta)$ depends from an estimate of $L_2(c), c \in K$.

Further, for the cases 4) and 6) we have L(c) = 0, $c \in \mathbb{F}_q$, and, hence, in view of (8) we infer $S(\alpha, \beta) = 0$ for the pairs (4, *) and (6, *).

We now shall consider the combinations of the cases 1), 2), 5) and 7).

Case (7,7). Let $a, b \in \mathbb{F}_q^*$. We have

$$\sum_{u \in \mathbb{F}_{\mathfrak{g}}^* \setminus \{1\}} \chi\left(\frac{a}{u}\right) \chi\left(\frac{b}{1-u}\right) = \sum_{\tau \in \mathbb{F}_{\mathfrak{g}}} N(\tau) \chi(\tau),$$

where $N(\tau)$ is the number of the solutions of the equation

$$\frac{a}{u} + \frac{b}{1 - u} = \tau.$$

But we have

$$\frac{a}{u} + \frac{b}{1-u} = \tau \iff \tau u^2 + (b-a-\tau)u + a = 0.$$

The last equation has the discriminant $D = (b - a - \tau)^2 - 4a\tau$.

a) a = b. Then N(0) = 0, $N(\tau) = 1 - \eta(\tau^2 - 4a\tau)$ for $\tau \neq 0$. Hence,

(11)
$$\sum_{u \in \mathbb{F}_q^* \setminus \{1\}} \chi\left(\frac{a}{u}\right) \chi\left(\frac{b}{1-u}\right) = -1 + \sum_{\tau \in \mathbb{F}_q} \eta(\tau^2 - 4a\tau) \chi(\tau).$$

b) $a \neq b$. Then N(0) = 1, $N(\tau) = 1 + \eta((b - a - \tau)^2 - 4a\tau)$ for $\tau \neq 0$, and then

$$(12) \sum_{u \in \mathbb{F}_{\bullet}^{\star} \setminus \{1\}} \chi\left(\frac{a}{u}\right) \chi\left(\frac{b}{1-u}\right) = -1 + \sum_{\tau \in \mathbb{F}_{q}} \eta((b-a-\tau)^{2} - 4a\tau) \chi(\tau).$$

The sums on the right hand side of the relations (11), (12) are the Kloosterman sums and, hence,

$$S(\alpha, \beta) \ll q\sqrt{q}$$
.

Case (7,5). We have

$$\sum_{\alpha\in\mathbb{F}_q}|S(\alpha,\beta)|^2=$$

$$=\sum_{\alpha\in\mathbb{F}_q}\sum_{u\in\mathbb{F}_q^*\backslash\{1\}}L_1\left(\frac{\alpha}{u}\right)L_2\left(\frac{\beta}{1-u}\right)\sum_{\nu\in\mathbb{F}_q^*\backslash\{1\}}\overline{L_1\left(\frac{\alpha}{\nu}\right)L_2\left(\frac{\beta}{1-\nu}\right)}=$$

(13)
$$= \sum_{u,\nu \in \mathbb{F}_q^* \setminus \{1\}} \overline{L_2\left(\frac{\beta}{1-\nu}\right)} L_2\left(\frac{\beta}{1-\nu}\right) \sum_{\alpha \in \mathbb{F}_q} L_1\left(\frac{\alpha}{u}\right) \overline{L_1\left(\frac{\alpha}{\nu}\right)} = [\text{in view of } (8)] =$$

$$= q^2 \sum_{u \neq 0,1} \left| L_2\left(\frac{\beta}{1-u}\right) \right|^2 = [\text{by } (7)] = q^2 \left[q^2(q-1) - |L_2(0)|^2 - |L_2(\beta)|^2 \right] \le$$

 $< a^5 - a^4$.

Hence, $|S(\alpha, \beta)| < q^{\frac{5}{2}}$.

For the case 5)

$$L_2(c) = q\chi\left(-rac{b_{23}}{b_{22}}s
ight) \sum_{{r\in \mathbb{F}_q}top b_{22}{ au^2=s^2(c-b_{33}')}}\chi(au),$$

moreover,

$$\sum_{\substack{\tau \in \mathbb{F}_q \\ b_{22}\tau^2 = s^2(c-b_{33}')}} \chi(\tau) \in \mathbb{R}.$$

Hence, $\overline{L_2(c)} = \varepsilon L_2(c)$, where ε is a fixed number for any $c \in K$, $|\varepsilon| = 1$, $\chi(-a) = \overline{\chi(a)}$. Thus, from the representation of $L_1(c)$ (for the case 7)) and the relation (8) we infer

(14)
$$S(-\alpha, \beta) = \varepsilon' \overline{S(\alpha, \beta)}, \qquad |\varepsilon'| = 1.$$

Suppose that there exists $\alpha^0 \in \mathbb{F}_q$ such that $S(\alpha^0, \beta)$ has a characteristic root of weight 5. Then from Lemma 2 and the relation (13) it follows that for $\alpha \neq \alpha^0$ the sum $S(\alpha, \beta)$ has characteristic roots of weight < 5. But from (14) the sum $S(-\alpha^0, \beta)$ has such a root. Hence, for any $\alpha \in \mathbb{F}_q$ the sum $S(\alpha, \beta)$ has some characteristic roots of weight ≤ 4 . Thus for any $\alpha, \beta \in \mathbb{F}_q^*$

$$S(\alpha, \beta) \ll q^2$$
.

Cases (7,1) and (7,2). For the cases 1) and 2) (i.e. $\delta\omega \neq 0$) we have

$$L(c) = \chi(ra + sb) \sum_{\tau \in \mathbb{F}_q} \eta\left(\omega\left(c - \frac{\Delta}{\delta}\right) - \delta\tau^2\right) \chi(\tau),$$

$$\sum_{\tau \in \mathbb{F}_a} \eta \left(\omega \left(c - \frac{\Delta}{\delta} \right) - \delta \tau^2 \right) \chi(\tau) \in \mathbb{R}.$$

Hence, $\overline{L_2(c)} = \varepsilon L_1(c)$, where ε is a fixed number for any $c \in \mathbb{F}_q$, $|\varepsilon| = 1$. Thus, using 6) and 8) we similarly have

$$S(\alpha, \beta) \ll q\sqrt{q}$$
 for any $\alpha, \beta \in \mathbb{F}_q^*$.

Cases (1,1) and (2,2). The algebraic variety from the paper Birch, Bombieri [1] belongs to this case. Moreover, repeating the proof in [1] almost word for word, we obtain

$$S(\alpha, \beta) \ll q\sqrt{q}$$
.

Case (5,5). In this case we can take the polynomials $f_1(x,y)$ and $f_2(x,y)$ in the form

$$f_1(x,y) = (rx + sy)^2 + a,$$
 $f_2(x,y) = (rx + sy)^2 + b.$

Then we have

$$S(\alpha,\beta) = \sum_{\substack{(x,y) \in V(\alpha,\beta)}} \chi(rx + sy + rX + sY) = q^2 \sum_{\substack{x,y \in \mathbb{F}_q \\ \frac{\alpha}{x^2 + \alpha} + \frac{\beta}{y^2 + b} = 1}} \chi(x + y) =$$

$$= q^{2} \sum_{\alpha(y^{2}+b)+\beta(x^{2}+a)=(x^{2}+a)(y^{2}+b)} \chi(x+y) - q^{2} \sum_{x^{2}+a=0} \chi(x) \sum_{y^{2}+b=0} \chi(y) =$$

$$= q^{2} (\sum_{1} - \sum_{2})$$

say. By Lemma 3 we have $\sum_1 \ll \sqrt{q}$. The second sum is O(1). Thus $S(\alpha, \beta) \ll \ll q^2 \sqrt{q}$.

Cases (1,5) and (2,5). In this case we can take

$$f_1(x,y) = f(x,y) = a_{11}x^2 + 2a_{12}xy + a_{22}y^2 + a_{12}xy + a_{23}y^2 + a_{14}xy + a_{24}y^2 + a_{15}y^2 + a_{15}y^2$$

where $\delta = a_{11}a_{22} - a_{12}^2 \neq 0$, $\omega = a_{11}s^2 - 2a_{12}sr + a_{22}r^2 \neq 0$. Moreover, in the case (1,5) a = 0, and in the case (2,5) $a \neq 0$, $f_2(x, y) = (rx + sy)^2 + b$. Then

$$S(\alpha,\beta) = \sum_{V(\alpha,\beta)} \chi(rx + sy + rX + sY) = q \sum_{\frac{\alpha}{T(x,y)} + \frac{\beta}{g(z)} = 1} \chi(rx + sy + z),$$

where $g(z) = z^2 + b$. Hence,

(15)
$$S(\alpha, \beta) = q \sum_{f(x,y)g(z) - \alpha g(z) - \beta f(x,y) = 0} \chi(rx + sy + z) - L_1(0)L_2(0).$$

The last summand is $4q\sqrt{q}$. The first summand can be estimated by Lemma 3 with $f(x,y,z) = f(x,y)g(z) - \alpha g(z) - \beta f(x,y)$. In this case for any $\tau \in \mathbb{F}_q$ the polynomial $f_{\tau}(x,y)f(x,y,\tau-rx-sy)$ is absolutely irreducible over \mathbb{F}_p . In view of the relation (13) and Lemma 3 we conclude

$$S(\alpha, \beta) \ll q^2 \text{ for } \alpha, \beta \in \mathbb{F}_q^*$$

Collecting together the estimates of $S(\alpha, \beta)$ we obtain the assertion of Theorem.

Remark 1. The case (1,2) remained without consideration.

Remark 2. Let $\varphi(x,y)$ be a quadratic form over \mathbb{Z} and let

$$d(\varphi; n) = \# \{ \varphi(u, v)\omega = n \mid u, v, \omega \in \mathbb{Z} \}.$$

Let $M(x,q;\varphi)$ (respectively, $\Delta(x,q;\varphi)$) be the main term (respectively, the error term) in an asymptotic formula

$$\sum_{\substack{n \equiv a \pmod{q} \\ n < x}} d(\varphi; n) = M(x, q; \varphi) + \Delta(x, q; \varphi).$$

Then, applying the method of Heath-Brown [9] one can prove that

$$\Delta(x,q;\varphi) \ll \begin{cases} x^{\frac{86}{107}+\varepsilon} + q^{-\frac{66}{107}} & \text{if } \varphi(x,y) \text{ is a hyperbole,} \\ x^{\frac{26}{33}+\varepsilon} + q^{-\frac{58}{99}} & \text{if } \varphi(x,y) \text{ is an ellipse.} \end{cases}$$

References

- [1] Birch B. and Bombieri E., On some exponential sums, Ann. Math., 121 (1985), 345-350.
- [2] **Bombieri E.**, On exponential sums in finite fields, *Invent. Math.*, **47** (1978), 29-39.

- [3] Bombieri D.E. and Davenport H., On two problems of Mordell, Amer. J. Math., 88 (1966), 61-70.
- [4] Deligne P., La conjecture de Weil I., Inst. Hautes Etudes Sci. Publ. Math., 43 (1974), 273-307.
- [5] Deligne P., La conjecture de Weil II., Inst. Hautes Etudes Sci. Publ. Math., 52 (1980), 137-252.
- [6] Dwork B., On the rationality of the zeta function of an algebraic variety, Amer. J. Math., 82 (1960), 631-648.
- [7] Friedlander J. and Ivaniec H., Incomplete Kloosterman sums and divisor problem, Ann. Math., 121 (1985), 319-344.
- [8] Гунявый О., Тригонометрические суммы и их приложения, диссертация, Одесса, 2003. (Gunyavy O., Exponential sums and their applications, Dissertation, Odessa, 2003.)
- [9] **Heath-Brown D.R.**, The divisor function $d_3(n)$ in arithmetic progressions, Acta Arithm., **XLVII** (1) (1986), 26-56.
- [10] **Hooley C.**, On the numbers that are representable as the sum of two cubes, *J. reine angew. Math.*, **314** (1980), 145-173.

O. Gunyavy and P. Varbanets

Department of Computer Algebra and Discrete Mathematics Odessa National University Dvoryanskaya str. 2 65026 Odessa, Ukraine gugelo@yandex.ru

varb@sana.od.ua