

## A GENERAL LACUNARY (0; 0, 1) INTERPOLATION PROCESS

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*Dedicated to Professor János Balázs on his 75th birthday*

**I. Introduction.** The author has introduced the following method of interpolation. Let be given an arbitrary system of real nodal points

$$(1) \quad -\infty < x_1 < x_2 < \cdots < x_k < \cdots < x_{n-1} < x_n < +\infty,$$

which generates the polynomial

$$(2) \quad \omega(x) = \omega_n(x) = \prod_{k=1}^n (x - x_k).$$

The roots of

$$(3) \quad \omega'_n(x) = \frac{d\omega(x)}{dx} = n \prod_{k=1}^{n-1} (x - x_k^*)$$

are interscaled between the roots of  $\omega_n(x)$  and so

$$(4) \quad -\infty < x_1 < x_1^* < x_2 < \cdots < x_k < x_k^* < x_{k+1} < \cdots \\ \cdots < x_{n-1} < x_{n-1}^* < x_n < +\infty.$$

He has proved, that if

$$(5) \quad \{y_k\}_{k=1}^n \quad \text{and} \quad \{y'_k\}_{k=1}^{n-1}$$

are two systems of given real numbers then there exists a polynomial  $P(x) = P_{2n-1}(x)$  of deg  $(2n - 1)$  satisfying the interpolation properties

$$(6) \quad \begin{aligned} P(x_k) &= y_k & (k = 1, 2, \dots, n), \\ P'(x_k^*) &= y'_k & (k = 1, 2, \dots, n-1) \end{aligned}$$

and he gave the explicit formula of this polynomial [1].

In order to insure the uniqueness of its polynomial he had introduced an additional nodal point  $a \neq x_k$  ( $k = 1, 2, \dots, n$ ) and required one more condition  $P(a) = 0$  for it.

Later many authors have dealt with the above method of interpolation. S.A.N. Eneđuanya investigated that special case when in (2)

$$\omega_n(x) = -n(n-1) \int_{-1}^x P_{n-1}(t) dt = (1-x^2)P'_{n-1}(x),$$

where  $P_{n-1}(x)$  is the  $(n-1)$ -th Legendre polynomial and  $P_{n-1}(1) = 1$  ([2]). L. Szili has considered the method when  $\omega_n(x) = H_n(x)$  is the  $n$ -th Hermite polynomial [3]. In every paper [2] and [3] were introduced additional nodal points  $x_0$  with respect to the value  $P(x_0) = y_0$  or  $x_0^*$  with respect to  $P'(x_0^*) = y_0'$  in order to insure the uniqueness. For the same reason in [3] was a necessary restriction on the order  $n$  of  $H_n(x)$ , namely  $n$  must be an even number. In [4] the authors considered the case when  $\omega_n(x) = P_n^{(\alpha, \beta)}(x)$  the  $n$ -th Jacobi polynomial having any pair of indexes  $\alpha \neq \beta$ , or if  $\alpha = \beta$  then  $n$  is an odd number. They introduced two additional nodal points  $x_0 = +1$  and  $x_{n+1} = -1$ . It was rather surprising that in the construction of their fundamental polynomials of second kind was used only the fact that under the above mentioned restriction  $P_n^{(\alpha, \beta)}(1) \neq P_n^{(\alpha, \beta)}(-1)$ . This result suggested me that in the original method in [1] would be useful to introduce not only one but two additional nodal points  $x_0$  and  $x_{n+1}$  to the system (1) satisfying the inequalities  $x_0 < x_k < x_{n+1}$  ( $k = 1, 2, \dots, n$ ). More precisely we have the following

**II. Basic problem.** *Let be given a finite system of nodal points (1). Without losing the generality we may suppose that*

$$(7) \quad -1 < x_1 < x_2 < \dots < x_k < \dots < x_n < +1$$

and let us consider the polynomial

$$(8) \quad \Omega_{n+2}(x) = (1-x^2) \prod_{k=1}^n (x-x_k) \equiv (1-x^2)\omega_n(x)$$

of order  $(n+2)$ , where  $\omega_n(x) = \prod_{k=1}^n (x-x_k)$  is the polynomial of order  $n$ . So

the roots of  $\Omega_{n+2}(x) = \prod_{k=0}^{n+1} (x-x_k)$  and  $\omega'_n(x)$  give us the following system of nodal points:

$$(9) \quad -1 = x_0 < x_1 < x_1^* < x_2 < \dots < x_{n-1} < x_{n-1}^* < x_n < x_{n+1} = 1.$$

If there are given two systems

$$(10) \quad \{y_k\}_{k=0}^{n+1} = Y \quad \text{and} \quad \{y'_k\}_{k=1}^{n-1} = Y'$$

of arbitrarily chosen real numbers how can we construct an algebraical polynomial  $P(x)$  of lowest possible degree for which the interpolation properties

$$(11) \quad \begin{aligned} P(x_k) &= y_k & (k = 0, 1, 2, \dots, n, n+1), \\ P'(x_k^*) &= y'_k & (k = 1, 2, \dots, n-1) \end{aligned}$$

are valid.

In order to give an answer firstly we prove two lemmas.

**Lemma 1.** *If we suppose that*

$$\omega_n(+1) \neq \omega_n(-1)$$

in our basic problem, then there exists a system  $\{B_k(x)\}_{k=1}^{n-1}$  of polynomials of order  $2n$  satisfying the conditions

$$(12) \quad \begin{aligned} (1^\circ) \quad B_k(x_l) &= 0; & (k = 1, 2, \dots, n-1; l = 0, 1, 2, \dots, n, n+1), \\ (2^\circ) \quad B'_k(x_j^*) &= \delta_{kj}; & (k = 1, 2, \dots, n-1; j = 1, 2, \dots, n-1), \end{aligned}$$

where  $\delta_{kj} = \begin{cases} 1, & k = j \\ 0, & k \neq j \end{cases}$  is the Kronecker symbol.

**Proof.** We assert that  $B_k(x)$  can be given in the form

$$(13) \quad B_k(x) = \omega_n(x)q_k(x),$$

where  $q_k(x)$  is a conveniently chosen polynomial of order  $n$  that will be determined by the conditions in (12). Really we can see at once, that from (13) follows the validity of  $(1^\circ)$  if  $q_k(x)$  satisfies the conditions

$$(14) \quad q_k(-1) = 0 \quad \text{and} \quad q_k(+1) = 0,$$

that will be insured later in our proof.

Similarly from (13) we get the conditions  $(2^\circ)$  of (12), i.e.

$$(15) \quad B'_k(x_j^*) = \omega_n(x_j^*)q'_k(x_j^*) = \delta_{kj}$$

if

$$(16) \quad \begin{aligned} q'_k(x) &= \frac{1}{\omega_n(x_k^*)} \left[ \frac{\omega'_n(x)}{\omega''_n(x_k^*)(x-x_k^*)} \right] + C_k^* \omega'_n(x) = \\ &= \frac{1}{\omega_n(x_k^*)} l_k^*(x) + C_k^* \omega'_n(x), \end{aligned}$$

where  $l_k^*(x)$  is the  $k$ -th fundamental Lagrange polynomial of the nodal points  $\{x_j^*\}_{j=1}^{n-1}$  and  $C_k^*$  can be any constant, since  $\omega'_n(x_j^*) = 0$  ( $j = 1, 2, \dots, n-1$ ) which was used in (15), too.

From (16) we get the equation

$$(17) \quad q_k(x) = \frac{1}{\omega_n(x_k^*)} \int_{-1}^x l_k^*(t) dt + C_k^* \{\omega_n(x) - \omega_n(-1)\}.$$

From this last equation follows automatically the first condition  $q_k(-1) = 0$  of (14) and so our remainder task to insure the second condition  $q_k(+1) = 0$ . For this aim we can determine the value of  $C_k^*$  from (17) and (14) by the substitution  $x = 1$ , which yields the equation

$$(18) \quad C_k^* = \frac{-1}{\omega_n(x_k^*)[\omega_n(1) - \omega_n(-1)]} \int_{-1}^{+1} l_k^*(x) dx.$$

Summarizing the equations (13), (17), and (18) we have proved that the polynomials

$$(19) \quad \begin{aligned} B_k(x) &= \\ &= \frac{\omega_n(x)}{\omega_n(x_k^*)} \left[ \int_{-1}^x \frac{\omega'_n(t)}{\omega''_n(x_k^*)(t-x_k^*)} dt - \frac{\omega_n(x) - \omega_n(-1)}{\omega_n(1) - \omega_n(-1)} \int_{-1}^{+1} \frac{\omega'_n(t)}{\omega''_n(x_k^*)(t-x_k^*)} dt \right] \end{aligned}$$

satisfy both of the conditions (1°) and (2°) of (12), and so our lemma is proved.

**Note.** From the obtained formula (19) we get at once the conditions (12) by simple substitution.

**Lemma 2.** *If we suppose again that  $\omega_n(+1) \neq \omega_n(-1)$  in our basic problem, then there exists a system  $\{A_k(x)\}_{k=0}^{n+1}$  of polynomials of order  $2n$  satisfying the conditions*

$$(20) \quad \begin{aligned} (3^\circ) \quad A_k(x_l) &= \delta_{kl}; \quad (k = 0, 1, 2, \dots, n, n+1; l = 0, 1, 2, \dots, n, n+1), \\ (4^\circ) \quad A'_k(x_j^*) &= 0; \quad (k = 0, 1, 2, \dots, n, n+1; j = 1, 2, \dots, n-1), \end{aligned}$$

**Proof.** Regarding the different quality of the nodal points  $\{x_\nu\}_{\nu=1}^n$  - which are the roots of  $\omega_n(x)$  - and the additional ones ( $x_0 = -1$  and  $x_{n+1} = +1$ ) we give firstly the polynomials  $A_\nu(x)$  for the indexes  $\nu = 1, 2, \dots, n$ .

We shall see that

$$(21) \quad A_\nu(x) = \frac{1 - x^2}{1 - x_\nu^2} \frac{\omega'_n(x)}{\omega'_n(x_\nu)} \frac{\omega_n(x)}{\omega'_n(x_\nu)(x - x_\nu)} + \omega_n(x)g_\nu(x)$$

$$(\nu = 1, 2, \dots, n),$$

where  $g_\nu(x)$  is a conveniently chosen polynomial of order  $n$  that will be determined by help of the conditions (20). Really we can see from (21) that

$$(22) \quad A_\nu(x_l) = \delta_{\nu l}$$

$$(\nu = 1, 2, \dots, n; \quad l = 0, 1, 2, \dots, n, n + 1)$$

if  $g_\nu(x)$  satisfies the equation

$$(23) \quad g_\nu(-1) = 0 \quad \text{and} \quad g_\nu(+1) = 0$$

that will be insured later in the proof.

Since for every index  $j$  ( $= 1, 2, \dots, n - 1$ )  $\omega'_n(x_j^*) = 0$  so from (21) we get at once, that

$$A'_\nu(x_j^*) = \frac{1 - (x_j^*)^2}{1 - x_\nu^2} \frac{\omega''_n(x_j^*)}{\omega'_n(x_\nu)} \frac{\omega_n(x_j^*)}{\omega'_n(x_\nu)(x_j^* - x_\nu)} + \omega_n(x_j^*)g'_\nu(x_j^*) = 0$$

$$(24) \quad (\nu = 1, 2, \dots, n; \quad j = 1, 2, \dots, n - 1)$$

if

$$(25) \quad g'_\nu(x_j^*) = - \frac{1 - (x_j^*)^2}{1 - x_\nu^2} \frac{\omega''_n(x_j^*)}{[\omega'_n(x_\nu)]^2} \cdot \frac{1}{(x_j^* - x_\nu)}$$

$$(\nu = 1, 2, \dots, n; \quad j = 1, 2, \dots, n - 1).$$

In order to insure the last equations we may choose for  $g'_\nu(x)$  any function of the form

$$(26) \quad g'_\nu(x) = - \frac{(1 - x^2)\omega''_n(x) + d_\nu\omega'_n(x)}{(1 - x_\nu^2)[\omega'_n(x_\nu)]^2(x - x_\nu)} + D_\nu\omega'_n(x)$$

where  $d_\nu$  and  $D_\nu$  may be any real constants.

In order to get a polynomial on the right side of (26) we choose

$$(27) \quad d_\nu = -\frac{(1-x_\nu^2)\omega_n''(x_\nu)}{\omega_n'(x_\nu)}$$

as a constant value. Really substituting this constant into (26) we may require that

$$(28) \quad g'_\nu(x) = \frac{(1-x^2)\omega_n''(x)\omega_n'(x_\nu) - (1-x_\nu^2)\omega_n''(x_\nu)\omega_n'(x)}{(1-x_\nu^2)[\omega_n'(x_\nu)]^3(x-x_\nu)} + D_\nu\omega_n'(x).$$

Using here the notation  $R(x) = (1-x^2)\omega_n''(x)$ , which is a polynomial of order  $n$ , we can write instead of (28) that

$$\begin{aligned} g'_\nu(x) &= -\frac{1}{(1-x_\nu^2)[\omega_n'(x_\nu)]^3} \left[ \frac{R(x)\omega_n'(x_\nu) - R(x_\nu)\omega_n'(x)}{(x-x_\nu)} \right] + D_\nu\omega_n'(x) = \\ &= -\frac{1}{(1-x_\nu^2)[\omega_n'(x_\nu)]^3} \left[ \omega_n'(x_\nu) \left( \frac{R(x) - R(x_\nu)}{x-x_\nu} \right) + \right. \\ &\quad \left. + R(x_\nu) \left( \frac{\omega_n'(x_\nu) - \omega_n'(x)}{x-x_\nu} \right) \right] + D_\nu\omega_n'(x), \end{aligned}$$

and so there is a polynomial of order  $(n-1)$  on the right of (28), which gives the polynomial

$$(29) \quad g_\nu(x) = -\frac{1}{(1-x_\nu^2)[\omega_n'(x_\nu)]^3} \int_{-1}^x \frac{(1-t^2)\omega_n''(t)\omega_n'(x_\nu) - (1-x_\nu^2)\omega_n''(x_\nu)\omega_n'(t)}{t-x_\nu} dt + D_\nu \{\omega_n(x) - \omega_n(-1)\}$$

of order  $n$ . This form of  $g_\nu(x)$  insures the first equation of (23), and the second one  $g_\nu(+1) = 0$  will be also true, if we write from (29) for the free parameter the value

$$(30) \quad D_\nu = \frac{1}{[\omega_n(1) - \omega_n(-1)](1-x_\nu^2)[\omega_n'(x_\nu)]^3} \int_{-1}^{+1} \psi(t) dt,$$

where  $\psi(t)$  denotes the integrand in (29).

Comparing the formulae (21), (29) and (30) we get finally that the polynomials

$$(31) \quad A_\nu(x) = \frac{(1-x^2)\omega'_n(x)\omega_n(x)}{(1-x_\nu^2)[\omega'_n(x_\nu)]^2(x-x_\nu)} - \frac{\omega_n(x)}{(1-x_\nu^2)[\omega'_n(x_\nu)]^3} \left[ \int_{-1}^x \frac{(1-t^2)\omega''_n(t)\omega'_n(x_\nu) - (1-x_\nu^2)\omega''_n(x_\nu)\omega'_n(t)}{t-x_\nu} dt - \frac{\omega_n(x) - \omega_n(-1)}{\omega_n(1) - \omega_n(-1)} \int_{-1}^{+1} \frac{(1-x^2)\omega''_n(x)\omega'_n(x_\nu) - (1-x_\nu^2)\omega''_n(x_\nu)\omega'_n(x)}{x-x_\nu} dx \right]$$

of order  $2n$  - according to the equations (22) and (24) - satisfy the conditions  $(3^\circ)$  and  $(4^\circ)$  in (20) for the indexes  $k = 1, 2, \dots, n$ .

Secondly we will give the polynomials  $A_0(x)$  and  $A_{n+1}(x)$  which have simpler forms than (31). Really we get that  $A_{n+1}(x)$  can be written in the form

$$(32) \quad A_{n+1}(x) = \frac{1+x}{2} \frac{\omega'_n(x)}{\omega'_n(1)} \frac{\omega_n(x)}{\omega_n(1)} + \omega_n(x)g_{n+1}(x),$$

where  $g_{n+1}(x)$  a conveniently chosen polynomial of order  $n$ , for which the requirements

$$(33) \quad g_{n+1}(-1) = 0 \quad \text{and} \quad g_{n+1}(+1) = 0$$

will be valid similarly to (23).

From (32) and (33) we can see that

$$(34) \quad A_{n+1}(x_l) = \delta_{n+1,l}, \quad l = 0, 1, 2, \dots, n, n+1,$$

and by differentiation of (32) we get that

$$A'_{n+1}(x_j^*) = \frac{1+x_j^*}{2} \frac{\omega''_n(x_j^*)}{\omega'_n(1)} \frac{\omega_n(x_j^*)}{\omega_n(1)} + \omega_n(x_j^*)g'_{n+1}(x_j^*) = 0$$

$$(35) \quad (j = 1, 2, \dots, n-1),$$

if the polynomial  $g_{n+1}(x)$  satisfies the equation

$$g'_{n+1}(x) = -\frac{(1+x)\omega_n''(x)}{2\omega_n'(1)\omega_n(1)} + D_{n+1}\omega_n'(x),$$

where  $D_{n+1}$  may be any constant, and so

$$(36) \quad g_{n+1}(x) = -\frac{1}{2\omega_n'(1)\omega_n(1)} \int_{-1}^x (1+t)\omega_n''(t)dt + D_{n+1}\{\omega_n(x) - \omega_n(-1)\}.$$

This equation insures automatically that  $g_{n+1}(x)$  is a polynomial of order  $n$ , which satisfies the first condition (33), i.e.  $g_{n+1}(-1) = 0$ . In order to insure the second condition  $g_{n+1}(+1) = 0$  we have to choose

$$(37) \quad D_{n+1} = \frac{1}{2\omega_n'(1)\omega_n(1)} \left( \int_{-1}^{+1} (1+x)\omega_n''(x)dx \right) \frac{1}{\omega_n(1) - \omega_n(-1)},$$

which follows from (36) by the substitution  $x = 1$ .

Comparing the equations (32), (36) and (37) we could see that the polynomial

$$(38) \quad A_{n+1}(x) = \frac{1+x}{2} \frac{\omega_n'(x)}{\omega_n'(1)} \frac{\omega_n(x)}{\omega_n(1)} - \frac{\omega_n(x)}{2\omega_n'(1)\omega_n(1)} \left[ \int_{-1}^x (1+t)\omega_n''(t)dt - \frac{\omega_n(x) - \omega_n(-1)}{\omega_n(1) - \omega_n(-1)} \int_{-1}^{+1} (1+x)\omega_n''(x)dx \right]$$

of order  $2n$  satisfies the conditions (3°) and (4°) of (20) for  $k = n + 1$ .

We can prove quite similarly that

$$(39) \quad A_0(x) = \frac{1-x}{2} \frac{\omega_n'(x)}{\omega_n'(-1)} \frac{\omega_n(x)}{\omega_n(-1)} - \frac{\omega_n(x)}{2\omega_n'(-1)\omega_n(-1)} \left[ \int_{-1}^x (1-t)\omega_n''(t)dt - \frac{\omega_n(x) - \omega_n(-1)}{\omega_n(1) - \omega_n(-1)} \int_{-1}^{+1} (1-x)\omega_n''(x)dx \right]$$

is a polynomial of order  $2n$  which satisfies also the conditions (3°) and (4°) of (20) for the index  $k = 0$ .



Taking into account the formulae (31), (38) and (39) and the properties of their polynomials we could give a constructive proof of our Lemma 2.

**III.** Having our two lemmas we can solve the basic problem by means of

**Theorem 1.** *For any system of nodal points (9) defined by the roots of  $\Omega_{n+2}(x)$  in (8) and by the roots of  $\omega'_n(x)$  - supposing the only condition  $\omega_n(1) \neq \omega_n(-1)$  - there exists a polynomial  $P(x) = P_{2n}(x)$  of order  $2n$  satisfying the interpolation properties (11) and it can be written in the canonical form*

$$(40) \quad P(x) = P_{2n}(x) = \sum_{k=0}^{n+1} y_k A_k(x) + \sum_{k=1}^{n-1} y'_k B_k(x),$$

where the polynomials  $B_k(x)$  and  $A_k(x)$  are given by the explicit formulae (19), (31), (38) and (39).

**Proof.** The theorem is an immediate consequence of the properties (12) and (20) which had been proved for these polynomials  $\{A_k(x)\}_{k=0}^{n+1}$  and  $\{B_k(x)\}_{k=1}^{n-1}$  in our two lemmas.

**Theorem 2.** *The polynomial  $P_{2n}(x)$  in (40) is the unique solution of our basic problem.*

**Proof.** Let us suppose that there exists another polynomial  $Q_{2n}(x)$  of degree  $\leq 2n$  which satisfies the same conditions (11) with respect to the system of nodal points (9). From this follows that

$$(41) \quad R_{2n}(x) = P_{2n}(x) - Q_{2n}(x)$$

is a polynomial of degree  $\leq 2n$  satisfying the conditions

$$(42) \quad \begin{aligned} R_{2n}(x_k) &= 0 & (k = 0, 1, 2, \dots, n, n + 1), \\ R'_{2n}(x'_k) &= 0 & (k = 1, 2, \dots, n - 1). \end{aligned}$$

From the first group of the above conditions follows that we can write

$$(43) \quad R_{2n}(x) = [(1 - x^2)\omega_n(x)] T_{n-2}(x)$$

where  $T_{n-2}(x)$  is a polynomial of order  $\leq n - 2$ . By differentiation we get from (43) the equation

$$R'_{2n}(x) = \omega'_n(x) [(1 - x^2)T_{n-2}(x)] + \omega_n(x) [(1 - x^2)T_{n-2}(x)]'$$

and so from the second conditions of (42) follows that

$$(44) \quad R'_{2n}(x_k^*) = \omega_n(x_k^*) [(1-x^2)T_{n-2}(x)]'_{x=x_k^*} = 0,$$

$$(k = 1, 2, \dots, n-1),$$

where we used that  $\omega'_n(x_k^*) = 0$  ( $k = 1, 2, \dots, n-1$ ). Since  $\omega_n(x_k^*) \neq 0$ , from the right hand side of the equations (44) we get that

$$(45) \quad \frac{d}{dx} [(1-x^2)T_{n-2}(x)] = C \cdot \omega'_n(x)$$

taking into account, that on both sides of (45) is a polynomial of order  $(n-1)$ , where  $C$  is a constant. By integration of (45) we can conclude that

$$\int_{-1}^x [(1-t^2)T_{n-2}(t)]' dt = (1-x^2)T_{n-2}(x) = C\{\omega_n(x) - \omega_n(-1)\}$$

and so finally we get from (43) that

$$R_{2n}(x) = \omega_n(x) [(1-x^2)T_{n-2}(x)] = C\omega_n(x)\{\omega_n(x) - \omega_n(-1)\}.$$

If we substitute  $x = x_{n+1} = 1$  into the last equation we find from the first conditions of (42) the validity for  $k = n+1$

$$R_{2n}(x_{n+1}) = R_{2n}(+1) = C\omega_n(1)\{\omega_n(1) - \omega_n(-1)\} = 0$$

and so - since  $\omega_n(1) \neq 0$  and  $\omega_n(1) - \omega_n(-1) \neq 0$  -  $C$  must be equal to 0, and therefore (45) yields

$$\frac{d}{dx} [(1-x^2)T_{n-2}(x)] \equiv 0.$$

At last - using the basic theorem of the elementary analysis - from the above identity we get

$$(1-x^2)T_{n-2}(x) \equiv \text{constant},$$

and it can be true if and only if

$$(46) \quad T_{n-2}(x) \equiv 0$$

since  $(1-x^2)$  is not constant and  $T_{n-2}(x)$  is a polynomial of degree  $\leq n-2$ . Comparing (43) and (46) we get from (41) the identity

$$P_{2n}(x) \equiv Q_{2n}(x)$$

and the theorem is proved.

**Corollaries.** *If  $R(x)$  is a polynomial of degree  $\leq 2n$  then*

$$(47) \quad R(x) \equiv \sum_{k=0}^{n+1} R(x_k)A_k(x) + \sum_{k=1}^{n-1} R'(x_k^*)B_k(x),$$

*i.e.  $R(x)$  is identically equal to its interpolation polynomial, where  $y_k = R(x_k)$  ( $k = 0, 1, 2, \dots, n, n+1$ ) and  $y'_k = R'(x_k^*)$  ( $k = 1, 2, \dots, n-1$ ).*

*Specially if  $R(x) \equiv 1$  then (47) yields the identity*

$$(48) \quad \sum_{k=0}^{n+1} A_k(x) \equiv 1$$

*for the sum of the polynomials  $A_k(x)$  of first kind.*

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